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**CUL-2<sup>LRR-1</sup> and UBXN-3 drive replisome disassembly during DNA replication termination and mitosis**

Sonneville, Remi; Priego Moreno, Sara; Knebel, Axel; Johnson, Clare; Hastie, C. James; Gartner, Anton

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1 CUL-2<sup>LRR-1</sup> and UBXN-3/FAF1 drive replisome disassembly during DNA  
2 replication termination and mitosis

3

4 Remi Sonnevile<sup>1</sup>, Sara Priego Moreno<sup>2</sup>, Axel Knebel<sup>1</sup>, Clare Johnson<sup>1</sup>,  
5 James Hastie<sup>1</sup>, Anton Gartner<sup>3</sup>, Agnieszka Gambus<sup>2</sup> and Karim Labib<sup>1</sup>

6

7 <sup>1</sup>MRC Protein Phosphorylation and Ubiquitylation Unit, School of Life  
8 Sciences, University of Dundee, Dow Street, Dundee, DD1 5EH, U.K.

9 <sup>2</sup>Institute of Cancer and Genomic Sciences, College of Medical and Dental  
10 Sciences, University of Birmingham, Edgbaston, Birmingham, B15 2TT, U.K.

11 <sup>3</sup>Centre for Gene Regulation and Expression, School of Life Sciences,  
12 University of Dundee, Dow Street, Dundee, DD1 5EH, U.K.

13

14 Correspondence should be addressed to [a.gambus@bham.ac.uk](mailto:a.gambus@bham.ac.uk) or  
15 [kpmlabib@dundee.ac.uk](mailto:kpmlabib@dundee.ac.uk).

16

1 Abstract

2           Replisome disassembly is the final step of DNA replication in  
3 eukaryotes, involving the ubiquitylation and CDC48-dependent dissolution of  
4 the CMG helicase (Cdc45-MCM-GINS). Using *Caenorhabditis elegans* early  
5 embryos and *Xenopus* egg extracts, we show that the E3 ligase CUL-2<sup>LRR-1</sup>  
6 associates with the replisome and drives ubiquitylation and disassembly of  
7 CMG, together with the CDC-48 co-factors UFD-1 and NPL-4. Removal of  
8 CMG from chromatin in frog egg extracts requires CUL2 neddylation, and our  
9 data identify chromatin recruitment of CUL2<sup>LRR1</sup> as a key regulated step  
10 during DNA replication termination. Interestingly, however, CMG persists on  
11 chromatin until prophase in worms that lack CUL-2<sup>LRR-1</sup>, but is then removed  
12 by a mitotic pathway that requires the CDC-48 co-factor UBXM-3, orthologous  
13 to the human tumour suppressor FAF1. Partial inactivation of *Irr-1* and *ubxn-3*  
14 leads to synthetic lethality, suggesting future strategies by which a deeper  
15 understanding of CMG disassembly in metazoa could be exploited  
16 therapeutically.

17

18 Keywords: DNA replication termination; replisome disassembly; CMG  
19 helicase; *Caenorhabditis elegans*; *Xenopus laevis*; Cullin; CUL-2; LRR-1;  
20 UBXM-3; FAF1; CUL2; LRR1; CDC-48, UFD-1; NPL-4; p97; VCP; ULP-4

1 Chromosome replication in eukaryotes is initiated by the assembly of  
2 the CMG helicase at origins of DNA replication<sup>1, 2</sup>. CMG then controls the  
3 progression of DNA replication forks, by unwinding the parental DNA duplex  
4 to form the single-strand substrate for DNA polymerases<sup>3, 4</sup>. The CMG  
5 helicase forms the core of the eukaryotic replisome<sup>1, 5</sup> and must remain  
6 associated with replication forks throughout elongation, since it cannot be  
7 reloaded<sup>6</sup>. The catalytic core of the helicase is formed by a hexameric ring of  
8 the MCM2-7 proteins, which is topologically trapped around the DNA template  
9 and is stabilised and activated by association with CDC45 and GINS<sup>1, 7</sup>.

10 The remarkably stable association of CMG with replication forks means  
11 that a specialized mechanism is needed to remove the helicase and trigger  
12 replisome disassembly during DNA replication termination<sup>8</sup>. In budding yeast  
13 and *Xenopus* egg extracts, the CMG helicase was found to be ubiquitylated  
14 on its Mcm7 subunit in a late step of DNA replication<sup>9-11</sup>, leading rapidly to a  
15 disassembly reaction that requires the CDC48/p97 AAA+ ATPase<sup>10, 11</sup>.

16 In *Saccharomyces cerevisiae*, the cullin 1-based E3 ligase SCF<sup>Dia2</sup>  
17 associates with the replisome and is essential for CMG ubiquitylation and  
18 disassembly<sup>10, 12, 13</sup>. Orthologues of the F-box protein Dia2 are not apparent  
19 in metazoa, but a putative role for a metazoan cullin ligase during DNA  
20 replication termination was suggested by the fact that CMG ubiquitylation and  
21 disassembly are inhibited in *Xenopus* egg extracts<sup>11</sup> by the neddylation  
22 inhibitor MLN4924<sup>14</sup>, since the major role of neddylation is to activate cullin  
23 ligases<sup>15, 16</sup>.

24 Here we describe a screen for factors controlling CMG helicase  
25 disassembly in the *C. elegans* early embryo, leading to the identification of a

1 cullin ligase that we show is also essential for chromatin extraction of CMG  
2 during S-phase in *Xenopus* egg extracts, where we find that recruitment of the  
3 ligase to chromatin is a key regulated step during DNA replication termination.  
4 We also identify a second pathway for CMG helicase disassembly during  
5 mitosis in *C. elegans*, indicating that replisome disassembly in metazoa  
6 involves additional mechanisms not previously identified in yeast.

7

8 Results

9 A cytological assay for replisome dissolution in *C. elegans* early embryos

10 We established an *in vivo* assay for defects in replisome disassembly  
11 in live *C. elegans* early embryos (Figure 1), by time-lapse analysis of embryos  
12 simultaneously expressing mCherry-Histone H2B and GFP-tagged CMG  
13 components<sup>17, 18</sup>. We initially examined GFP-tagged versions of CDC-45 and  
14 the GINS component SLD-5, after depletion of CDC-48. As shown in  
15 Supplementary Figure 1a, both GFP-CDC-45 and GFP-SLD-5 were absent  
16 from chromatin during prophase in control embryos, but were chromatin-  
17 associated throughout mitosis in embryos treated with *cdc-48* RNAi. We also  
18 screened all the known or predicted adaptors of worm CDC-48<sup>19-21</sup>  
19 (Supplementary Figure 1b), and found that depletion of either subunit of the  
20 NPL-4\_UFD-1 heterodimer<sup>22, 23</sup> led to persistence of both GINS and CDC-45  
21 on condensing prophase chromatin (Figure 1b-c, Supplementary Figure 1c,  
22 Supplementary Movies 1-2). Moreover, a fraction of GFP-MCM-3 was  
23 present on chromatin during early mitosis in embryos depleted for NPL-4 or  
24 CDC-48 (Figure 1d and Supplementary Figure 1d-e, *npl-4* or *cdc-48* RNAi,  
25 'early metaphase'; note that the high concentration of MCM-2-7 in the nucleus

1 precluded the examination of prophase chromatin). Finally, we used  
2 fluorescence recovery after photobleaching (FRAP) to confirm that *npl-4* RNAi  
3 caused 'old' **CMG components** to persist on chromatin after S-phase, rather  
4 than driving **the premature assembly of 'new' CMG complexes** (Figure 1h,  
5 Supplementary Movie 3, Supplementary Figure 1g-h). These findings  
6 indicated that CDC-48 and its co-factors NPL-4 and UFD-1 are essential for  
7 the extraction of CMG components from chromatin during S-phase in the *C.*  
8 *elegans* early embryo.

9 Consistent with these data, we found that *npl-4* RNAi led to a strong  
10 accumulation of the CMG helicase with ubiquitylated MCM-7 subunit (Figure  
11 1e-g). Ubiquitylation of CMG was reduced if the completion of DNA  
12 replication was inhibited (Supplementary Figure 1f), by RNAi depletion of the  
13 ribonucleotide reductase RNR-1 as described previously<sup>18</sup>, consistent with the  
14 idea that CMG ubiquitylation in the worm embryo is linked to DNA replication  
15 termination as in budding yeast and *Xenopus laevis*<sup>10, 11</sup>..

16

17 CUL-2<sup>LRR-1</sup> is required for ubiquitylation and disassembly of the CMG helicase  
18 during S-phase in *C. elegans*

19 The *C. elegans* genome encodes CUL-1 to CUL-5 (Supplementary  
20 Figure 2a), which are orthologues of the five cullins found in diverse metazoa,  
21 plus CUL-6 that is a paralogue of CUL-1<sup>24</sup>. Using our cytological assay for  
22 CMG disassembly, we found that RNAi depletion of CUL-2 was unique in  
23 causing persistence of SLD-5 and PSF-1 on prophase chromatin (Figure 2a,  
24 Supplementary Figure 2b and Supplementary Movie 4). The same defect  
25 was observed after depletion of the RING finger protein Rbx1, which links

1 CUL-2 (and CUL-1/3/4/6) to its cognate ubiquitin conjugating enzyme, or after  
2 depletion of the worm orthologues of Elongin B and Elongin C, which connect  
3 CUL-2 (and CUL-5) to its substrate adaptors (Figure 2a; see below for Elongin  
4 B). These findings indicated that a CUL-2 ligase regulates disassembly of the  
5 CMG helicase during S-phase in *C. elegans*, probably involving ubiquitin  
6 ligase activity, since not only CUL-2 but also RBX-1 is required for removing  
7 CMG from chromatin.

8 Six different substrate adaptors of CUL-2 have been characterized in  
9 *C. elegans* (Supplementary Figure 2c), five of which are conserved in  
10 humans. We depleted each of these and found that RNAi to *lrr-1* (Leucine-  
11 rich repeats 1) was unique in causing GINS and CDC-45 to persist on  
12 prophase chromatin (Figure 2b, Supplementary Figure 2d and Supplementary  
13 Movie 5 for GINS; see Supplementary Figure 3d below for CDC-45).  
14 Importantly, depletion of LRR-1 also dramatically reduced CMG ubiquitylation,  
15 when replisome disassembly was blocked by *npl-4* RNAi (Figure 2c-d).  
16 These data indicated that CUL-2<sup>LRR-1</sup> regulates CMG disassembly during DNA  
17 replication termination in the *C. elegans* early embryo.

18

19 A mitotic pathway for CMG chromatin extraction requires the CDC-48 co-  
20 factor UBXN-3

21 Although CMG was initially retained on prophase chromatin following  
22 RNAi depletion of CUL-2<sup>LRR-1</sup>, both GINS and CDC-45 were then released  
23 from chromatin a few minutes before nuclear envelope breakdown in late  
24 prophase (Figure 3a,d, Supplementary Figure 3a-b, and Supplementary  
25 Movies 4-5; note that MCM-2-7 could not be examined on prophase

1 chromatin, as discussed above). Moreover, the same was true in *lrr-1Δ* / *lrr-*  
2 *1Δ* homozygous embryos that lack the LRR-1 protein (Figure 3c and  
3 Supplementary Figure 3c; *lrr-1* is an essential gene in *C. elegans*, but the first  
4 embryonic cell cycles in homozygous *lrr-1Δ* embryos can be examined as  
5 described in Methods). The delayed release of CMG components from  
6 chromatin in the absence of LRR-1 was not produced by a delay in the  
7 completion of S-phase, since RNAi depletion of the catalytic or primase  
8 subunits of Pol alpha greatly extended the length of S-phase, yet did not  
9 cause CMG to persist on condensing chromatin (Figure 3a-b, *div-1* and *pol*  
10 *alpha* RNAi), consistent with our previous data<sup>17</sup>. Instead, these findings  
11 indicated that the *C. elegans* early embryo has two different pathways for  
12 CMG helicase disassembly (Supplementary Figure 3e). The first pathway  
13 acts during DNA replication termination and requires CUL-2<sup>LRR-1</sup>, whereas the  
14 second provides backup and is activated during prophase. Consistent with  
15 the existence of the second pathway, we found that depletion of LRR-1 did  
16 not cause a strong accumulation of CMG in embryo extracts, compared to  
17 depletion of NPL-4 (Figure 3d, compare samples 2 and 3). However, *lrr-1*  
18 RNAi did abrogate the basal level of CMG ubiquitylation that is seen in control  
19 embryos (Figure 3d-e, longer exposures, compare samples 1 and 2).

20 Both CMG disassembly pathways require CDC-48 / UFD-1 / NPL-4,  
21 since depletion of the latter leads to persistence of CMG on chromatin  
22 throughout mitosis (Figure 1, Supplementary Figure 1). In addition to the  
23 **three 'core' co-factors** that form mutually exclusive complexes with CDC-48 /  
24 p97, namely UFD-1\_NPL-4, UBXN-2 / p47 and UBXN-6 / UBXD1, eukaryotic  
25 cells also contain a range of other partners of p97 / CDC-48 that recruit the



1 segregase to specific targets or to particular sub-cellular locations<sup>25-27</sup>  
2 (Supplementary Figure 1b). To test whether one of these links CDC-48 to the  
3 mitotic CMG disassembly pathway, we combined *Irr-1* RNAi with depletion of  
4 each of the predicted CDC-48 adaptors in *C. elegans* (see Methods), and  
5 then examined the association of CMG components with mitotic chromatin.  
6 Amongst all the tested combinations, only simultaneous depletion of LRR-1  
7 and UBXN-3 led to persistence of GFP-CDC-45, GFP-PSF-1 and GFP-SLD-5  
8 on mitotic chromatin (Figure 4a-b, Supplementary Figure 4a and  
9 Supplementary Movie 6). In contrast, these CMG components were released  
10 from chromatin before prophase in embryos treated with RNAi to *ubxn-3*  
11 alone (Figure 4a-b, Supplementary Figure 4a and Supplementary Movie 7).

12 To assay directly the level of the CMG helicase in the presence or  
13 absence of UBXN-3, we isolated GFP-PSF-1 from embryo extracts as above.  
14 Simultaneous RNAi to *ubxn-3* and *Irr-1* led to a striking accumulation of CMG,  
15 equivalent to that seen with *npl-4* RNAi (Figure 4c, compare level of CDC-45  
16 and MCM-2 associated with GINS in samples 2-4), with residual ubiquitylation  
17 of CMG as seen with *npl-4 Irr-1* RNAi (compare Figure 4c samples 3-4 with  
18 Figure 3c-d samples 3-4). Together with the imaging data described above,  
19 these findings identify UBXN-3 as a factor required for a mitotic pathway of  
20 CMG disassembly in the *C. elegans* early embryo.

21

## 22 The SUMO protease ULP-4 modulates the mitotic CMG disassembly pathway

23 To screen for regulators of the mitotic CMG disassembly pathway, we  
24 combined *Irr-1* RNAi with depletion of candidate proteins, including factors  
25 that regulate cell division or genome integrity (Supplementary Figure 4b).

1 These included mitotic regulators such as the Aurora B and Polo kinases AIR-  
2 2 and PLK-1, candidate ubiquitin ligases such as BRC-1 (BRCA1) and SMC-  
3 5, regulators of DNA replication such as the ATL-1 checkpoint kinase, and  
4 components of the SUMO pathway. Uniquely amongst these factors, we  
5 found that co-depletion of the SUMO protease ULP-4 with LRR-1 delayed the  
6 release of CMG components from chromatin, until at or after nuclear envelope  
7 breakdown, (Figure 4d and Supplementary Figure 4c-d). ULP-4 is the major  
8 SUMO protease during mitosis in *C. elegans*, analogous to SENP6-7 in  
9 human cells, and is present on mitotic chromosomes and at the spindle  
10 midzone<sup>28</sup>. Although *ulp-4 lrr-1* RNAi produced a less severe CMG  
11 disassembly defect than co-depletion of LRR-1 and UBXN-3, these findings  
12 indicated that the UBXN-3-dependent mitotic pathway for CMG disassembly  
13 is also modulated by ULP-4.

14

#### 15 Combining defects in the S-phase and mitotic CMG disassembly pathways 16 produces synthetic lethality

17 Previous work showed that LRR-1 is essential for germ cell formation  
18 and embryonic development in *C. elegans*<sup>29, 30</sup>. In contrast, RNAi to *ubxn-3* or  
19 *ulp-4* is tolerated without causing severe embryonic lethality (see below),  
20 indicating that the mitotic CMG disassembly pathway is dispensable in worms  
21 that can disassemble CMG via the CUL-2<sup>LRR-1</sup> S-phase pathway.

22 To explore the physiological importance of the mitotic CMG  
23 disassembly pathway should CUL-2<sup>LRR-1</sup> fail to act, we fed worms on bacteria  
24 with 10% expressing *lrr-1* RNAi (Figure 5a shows that this low dose of *lrr-1*  
25 RNAi scarcely affects viability), and then gradually increased the proportion of

1 bacteria that expressed RNAi to *ubxn-3* or *ulp-4*. Strikingly, even the lowest  
2 tested dose of *ubxn-3* RNAi produced 100% lethality in combination with 10%  
3 *lrr-1* RNAi, despite both single RNAi treatments causing almost no detectable  
4 lethality (Figure 5b). Similarly, the lowest tested dose of *ulp-4* RNAi produced  
5 90% embryonic lethality in combination with 10% *lrr-1* RNAi, even though  
6 neither individual RNAi treatment affected viability to a significant degree  
7 (Figure 5c). These findings indicate that both UBXM-3 and ULP-4 become  
8 essential when the function of CUL-2<sup>LRR-1</sup> is even partially defective,  
9 consistent with the possibility that the mitotic CMG disassembly pathway  
10 provides an essential back up for the S-phase pathway (though this remains  
11 to be demonstrated directly in future studies).

12

### 13 LRR-1 couples the CUL-2<sup>LRR-1</sup> ubiquitin ligase to the worm replisome

14 To test whether CUL-2<sup>LRR-1</sup> associates with the worm replisome, we  
15 treated control and *GFP-psf-1* worms with *npl-4* RNAi to block replisome  
16 disassembly, and then used isolated embryos to generate extracts that were  
17 incubated with beads coupled to anti-GFP antibodies. A fraction of the  
18 resultant material was analysed by immunoblotting, to confirm the specific  
19 isolation of ubiquitylated CMG helicase from the *GFP-psf-1* embryos (Figure  
20 6a). The remainder was resolved by SDS-PAGE (Figure 6b) and analysed by  
21 mass spectrometry (Supplementary Figure 5a and Supplementary Table 1).

22 The worm CMG helicase and associated factors showed remarkable  
23 convergence with the better-characterized replisome from budding yeast  
24 (Supplementary Table 1, Supplementary Figure 5b: note that our data  
25 represent the worm replisome just after termination of DNA synthesis).

1 Notably, CUL-2<sup>LRR-1</sup> was the only cullin ligase associated with the post-  
2 termination worm replisome (Supplementary Table 1), and we subsequently  
3 found that the presence of CUL-2 in the purified material was dependent upon  
4 LRR-1 (Supplementary Figure 5c, Supplementary Table 2). Therefore, LRR-1  
5 is required for CUL-2 to associate with the replisome in *C. elegans* early  
6 embryos.

7

8 CUL2<sup>LRR1</sup> associates with the vertebrate replisome during DNA replication  
9 termination in *Xenopus* egg extracts

10 In analogous experiments, we examined whether CUL2<sup>LRR1</sup> associated  
11 with the vertebrate replisome during DNA replication termination in *Xenopus*  
12 egg extracts. Sperm nuclei were added to an extract supplemented with a  
13 dominant negative p97 mutant as well as the neddylation inhibitor MLN4924,  
14 both of which block CMG disassembly at the end of S-phase<sup>11</sup>. After bulk  
15 DNA replication had been completed (see below), the CMG helicase was  
16 isolated from the chromatin fraction by DNA digestion followed by  
17 immunoprecipitation of MCM3 (Figure 7a; non-specific IgG was used as a  
18 negative control). The resultant material was then analysed by mass  
19 spectrometry and found to contain orthologues of every component of the  
20 isolated post-termination worm replisome (Supplementary Table 3).  
21 Strikingly, the post-termination vertebrate replisome was associated with a  
22 single cullin ligase, namely CUL2<sup>LRR1</sup> (Supplementary Table 3, Supplementary  
23 Figure 6a). Correspondingly, immunoprecipitation of LRR1 from digested  
24 chromatin, after inhibition of replisome disassembly with a p97 inhibitor, led to  
25 co-purification not only of CUL2 and Elongin B/C, but also of the frog

1 replisome (Supplementary Figure 6b, Supplementary Table 4). Interestingly,  
2 immunoprecipitation of LRR1 from digested chromatin under such conditions  
3 led to co-depletion of CUL2 (Supplementary Figure 6c, compare flowthrough  
4 for IgG and LRR1 IPs). Therefore, these data not only demonstrate that the  
5 association of CUL2<sup>LRR1</sup> with the replisome is conserved from worms to  
6 vertebrates, but also indicate that CUL2<sup>LRR1</sup> is the major CUL2 ligase on  
7 interphase chromatin.

8         The recruitment of *Xenopus* CUL2<sup>LRR1</sup> to chromatin was dependent  
9 upon replisome assembly during the initiation of chromosome replication  
10 (Supplementary Figure 6d). Moreover, the association of CUL2<sup>LRR1</sup> with  
11 chromatin was greatly increased when replisome disassembly at the end of S-  
12 phase was blocked by addition of MLN4924 to the extracts (Figure 7b: Figure  
13 7c and Supplementary Figure 6e show that replication kinetics were not  
14 affected by MLN4924, consistent with our previous findings<sup>11</sup>). These data  
15 suggested that regulated recruitment of CUL2<sup>LRR1</sup> to chromatin is an important  
16 feature of the mechanism of replisome disassembly during DNA replication  
17 termination. Correspondingly, CUL2<sup>LRR1</sup> was not recruited to chromatin if  
18 DNA synthesis and subsequent termination were blocked, by addition of the  
19 DNA polymerase inhibitor aphidicolin (Figure 7d; note that caffeine had to be  
20 added to these reactions, to prevent the S-phase checkpoint pathway from  
21 limiting the accumulation of CMG on chromatin, by blocking new initiation  
22 events).

23         To test directly whether chromatin recruitment of CUL2<sup>LRR1</sup> was linked  
24 to DNA replication termination, we either inhibited replisome disassembly after  
25 termination of DNA synthesis, by inactivating CDC48 / p97 with the small

1 molecule inhibitor NMS873<sup>31, 32</sup>, or delayed the convergence of DNA  
2 replication forks during termination, by addition of the TOPO2 inhibitor  
3 ICRF193<sup>11, 33</sup>. Neither treatment affected the kinetics of bulk DNA synthesis  
4 (Supplementary Figure 6f), consistent with previous studies<sup>9, 11</sup>. Inhibition of  
5 p97 / CDC48 with NMS873 caused a dramatic accumulation of CMG and  
6 CUL2<sup>LRR1</sup> on chromatin (Figure 7e, NMS873). However, delaying DNA  
7 replication fork convergence with ICRF193 delayed removal of CMG  
8 components from chromatin (Figure 7e, compare CDC45 and PSF2 between  
9 control and ICRF193 treatment), but this was not associated with chromatin  
10 recruitment of CUL2<sup>LRR1</sup> (Figure 7e). These findings indicate that CUL2<sup>LRR1</sup>  
11 only associates with the replisome during the termination of DNA replication.

12

13 Active CUL2<sup>LRR1</sup> is essential for extraction of the CMG helicase from  
14 chromatin at the end of chromosome replication in *Xenopus* egg extracts

15 Depletion of frog egg extracts with antibodies to CUL2-RBX1 (Figure  
16 8a) abolished detectable chromatin recruitment of CUL2<sup>LRR1</sup> during DNA  
17 replication termination (Supplementary Figure 7a), even in the presence of  
18 MLN4924 that stabilises the association of the ligase with the post-termination  
19 replisome as shown above. The kinetics of bulk DNA replication in egg  
20 extracts were not affected by CUL2 depletion (Figure 8b and Supplementary  
21 Figure 7b), but the release of CMG components from chromatin at the end of  
22 replication was inhibited (Figure 8c). Moreover, ubiquitylation of the MCM7  
23 subunit of CMG was both delayed and greatly reduced under such conditions  
24 (Figure 8c, MCM7).

1           To confirm that the failure of CMG chromatin extraction was indeed  
2 due to inactivation of CUL2-RBX1, we attempted to rescue the defect by  
3 addition of recombinant CUL2-RBX1, purified from insect cells. However, we  
4 noted that LRR1 was co-depleted from extracts along with CUL2 (Figure 8d),  
5 and thus we performed the rescue experiments in the presence or absence of  
6 recombinant LRR1, expressed and purified from *E. coli*. By isolating sperm  
7 chromatin from *Xenopus* egg extracts after the completion of bulk DNA  
8 replication, we confirmed that CMG components were absent from chromatin  
9 in mock-depleted extracts that were subjected to two rounds of  
10 immunoprecipitation with rabbit IgG (Figure 8e, lane 1), whereas CMG  
11 remained on chromatin following depletion of CUL2<sup>LRR1</sup> (Figure 8e, lane 2), as  
12 shown above (Figure 8c). Crucially, the defect in CMG helicase disassembly  
13 was not rescued by addition of CUL2-RBX1 complex alone (Figure 8e, lane  
14 3), but was fully complemented by the addition of CUL2-RBX1 together with  
15 recombinant LRR1 (Figure 8e, lane 5).

16           To explore whether the E3 ligase activity of CUL2<sup>LRR1</sup> was required for  
17 CMG chromatin extraction, we tested a version of CUL2-RBX1 with a mutated  
18 neddylation site and another mutation in the interaction site with the DCN1  
19 neddyase<sup>34</sup>, since we previously showed that the neddylation inhibitor  
20 MLN4924 blocks CMG ubiquitylation and chromatin extraction during DNA  
21 replication termination in *Xenopus* egg extracts<sup>11</sup>, and neddylation promotes  
22 cullin function in vertebrates. Importantly, mutated CUL2-RBX1 was not able  
23 to restore CMG chromatin extraction in CUL2-depleted extracts (Figure 8e,  
24 lane 4), even when added with recombinant LRR1 (Figure 8e, lane 6).

1            These findings demonstrate that CMG helicase disassembly at the end  
2 of chromosome replication in *Xenopus* egg extracts requires LRR1 and  
3 neddylation of CUL2, indicating a requirement for active CUL2<sup>LRR1</sup>. Together  
4 with past work establishing CMG helicase disassembly as the final regulated  
5 step during chromosome replication in vertebrates<sup>9</sup>, these findings establish  
6 the ubiquitin ligase CUL2<sup>LRR1</sup> as the key enzyme in this process.

7

## 8 Discussion

9            Previous work showed that LRR-1 is essential for germ cell formation  
10 and embryonic development in *C. elegans*<sup>29, 30</sup>. Inactivation of *Irr-1* induces  
11 DNA damage, thereby blocking germ cell proliferation and delaying mitotic  
12 entry in the early embryo<sup>29</sup>, via the ATL-1 S-phase checkpoint pathway that is  
13 equivalent to the ATR response in vertebrates. The molecular basis for DNA  
14 damage induction in the absence of LRR-1 is poorly understood, but a recent  
15 study found that low-dose RNAi to CMG components could suppress the  
16 sterility phenotype of *Irr-1*Δ worms, as well as suppressing the embryonic  
17 lethality associated with a *cul-2* temperature sensitive allele under semi-  
18 restrictive conditions<sup>35</sup>. These findings suggest that the CMG helicase is a  
19 functionally important target of CUL-2<sup>LRR-1</sup> in *C. elegans*.

20            Our data indicate that CUL2<sup>LRR1</sup> activity is required to extract CMG  
21 from chromatin during DNA replication termination, both in worms and in frog  
22 egg extracts, indicating that the role of CUL2<sup>LRR1</sup> in the S-phase pathway of  
23 CMG helicase disassembly is widely conserved in metazoa. Moreover, our  
24 data identify chromatin recruitment of CUL2<sup>LRR1</sup> as a key regulated step  
25 (Figure 7). Consistent with our findings, we note that others have found that



1 CUL2<sup>LRR1</sup> is recruited to chromatin during the termination of plasmid DNA  
2 replication in *Xenopus* egg extracts (Johannes Walter, personal  
3 communication).

4         Despite metazoa and yeast using completely different cullin ligases to  
5 trigger replisome disassembly during termination of replication, our data  
6 highlight invariant features of the disassembly mechanism in diverse  
7 eukaryotes. Firstly, the CMG helicase is ubiquitylated on its MCM7 subunit at  
8 the end of chromosome replication in budding yeast<sup>10</sup>, worm (this study) and  
9 frog<sup>9, 11</sup>, perhaps linked to a structural change in the CMG helicase that  
10 renders it accessible to the E3 ligase during DNA replication termination.  
11 Secondly, we found that UFD-1 and NPL-4 are required for CDC-48-  
12 dependent disassembly of the CMG helicase during S-phase in *C. elegans*  
13 (Figure 1 and Supplementary Figure 1), and UFD1-NPL4 associate with the  
14 **'post-termination' replisome in *Xenopus*** (Figure 7b), consistent with previous  
15 data<sup>36</sup>. These findings indicate that UFD1 and NPL4 mediate CDC48-  
16 dependent replisome disassembly in metazoa, and we predict that the same  
17 is true for budding yeast.

18         Whereas budding yeast appears to have a single pathway for CMG  
19 helicase disassembly that acts during S-phase<sup>10</sup>, our *C. elegans* data indicate  
20 that metazoa have an additional CMG disassembly mechanism that operates  
21 during mitosis and requires the UBXN-3 partner of CDC-48. Interestingly, a  
22 recent study found that depletion of UBXN-3 sensitises worm embryos to DNA  
23 replication inhibitors, consistent with a role for UBXN-3 in regulation of the  
24 replisome<sup>37</sup>. It remains to be determined in future studies whether the mitotic  
25 pathway is also controlled by an E3 ubiquitin ligase, analogous to the role of

1 CUL-2<sup>LRR-1</sup> during S-phase, but we have found that the mitotic CMG  
2 disassembly pathway is modulated by the ULP-4 SUMO protease, which is  
3 the major desumoylase on mitotic chromosomes<sup>28</sup>. It will thus be interesting  
4 to explore whether SUMO regulates CMG helicase disassembly during  
5 mitosis, perhaps inhibiting disassembly until desumoylation by ULP-4, or  
6 whether ULP-4 acts in some other way, for example by recruiting CDC-48  
7 partners like UBXN-3 to mitotic chromatin.

8 We have found that UBXN-3 and ULP-4 become essential for viability  
9 when the function of LRR-1 is even partially compromised (Figure 5),  
10 highlighting the physiological importance of UBXN-3 and ULP-4. These  
11 findings suggest that the mitotic CMG disassembly pathway provides  
12 important backup to the DNA replication termination pathway, although at  
13 present we cannot exclude that our data also reflect other roles for LRR-1,  
14 UBXN-3 and ULP-4. Interestingly, the human FAF1 protein is orthologous to  
15 UBXN-3, associates with p97-UFD1-NPL4<sup>38</sup> and is deleted or depleted in  
16 many human cancers<sup>39</sup>. Moreover, depletion of FAF1 in human cells leads to  
17 defective progression and increased stalling of DNA replication forks<sup>37</sup>.  
18 Should it be possible in the future to develop small molecule inhibitors of  
19 CUL2<sup>LRR1</sup>, our data indicate that transient or partial inhibition of the CUL2<sup>LRR1</sup>  
20 E3 ligase might cause synthetic lethality in cancer cells with defective FAF1.  
21 It is thus to be hoped that a deeper understanding of the biology of replisome  
22 disassembly during DNA replication termination will have important  
23 implications for human pathology.

24

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13

#### 14 Author Contributions

15           RS performed the experiments in Figures 1-6 and Figures S1-S5.  
16 SPM performed the experiments in Figures 7-8 and Figures S6-S7. KL and  
17 Agnieszka Gambus conceived the project and designed experiments in  
18 collaboration with RS and SPM. AK and CJ produced recombinant CUL2-  
19 RBX1 and JH provided recombinant LRR1. Anton Gartner provided  
20 invaluable support in the early stages of the project. KL wrote the manuscript,  
21 with contributions and critical comments from the other authors.

22

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19  
20



1 Figure legends

2 Figure 1

3 The CDC-48 co-factor NPL-4 is required for CMG helicase disassembly

4 during S-phase in the *C. elegans* early embryo. (a) Illustration of a live-

5 embryo assay for CMG helicase disassembly, comparing control embryos

6 (**'normal CMG disassembly'**) with mutant embryos (**'defective CMG**

7 **disassembly'**). Note that the two nuclei derived from oogenesis and

8 spermatogenesis – referred to in this manuscript as the female and male

9 pronuclei - move together during prophase of the first cell cycle. Following

10 nuclear envelope breakdown, the **'male' and 'female' sets** of chromosomes

11 then intermingle during metaphase. (b) Timelapse video microscopy of the

12 first cell cycle in embryos expressing GFP-SLD-5 and mCherry-HistoneH2B,

13 either untreated or exposed to *npl-4* RNAi. The female pronucleus is shown

14 during S-phase, before convergence with the male pronucleus. Prophase

15 begins during migration of the pronuclei. The arrows indicate examples of

16 persistence of GFP-SLD-5 on chromatin during prophase after depletion of

17 NPL-4. (c) Equivalent analysis for embryos expressing GFP-CDC-45. (d)

18 Equivalent data for embryos expressing GFP-MCM-3. The arrow indicates

19 the small pool of GFP-MCM-3 that remains on chromatin during early

20 metaphase after depletion of NPL-4. (e) Homozygous *GFP-psf-1 / GFP-psf-1*

21 worms were exposed to *npl-4* RNAi or left untreated. Embryos were then

22 isolated and used to generate whole-embryo extracts, before

23 immunoprecipitation of GFP-PSF-1. The indicated proteins were monitored

24 by immunoblotting. (f) The same samples were separated in a 4-12%

25 gradient gel, before immunoblotting with an antibody to poly-ubiquitin chains.

1 (g) Equivalent *npl-4* RNAi experiment comparing control worms with  
2 homozygous *mcm7-5FLAG-9His* embryos generated by CRISPR-Cas9. The  
3 samples were separated in a 3-8% gradient gel, before immunoblotting with  
4 antibody to poly-ubiquitin chains. (h) Timelapse video microscopy of an *npl-4*  
5 RNAi embryo expressing GFP-CDC-45 and mCherry-HistoneH2B. The GFP  
6 signal in the female pronucleus was photo-bleached during early S-phase and  
7 then monitored in the subsequent mitosis. Lack of recovery of the GFP signal  
8 **on 'female' chromosomes**, compared to the unbleached control male  
9 pronucleus, indicated that GFP-CDC45 persists on chromatin after S-phase  
10 rather than being reloaded, in embryos lacking NPL-4. The scale bars  
11 correspond to 5µm. Unprocessed scans of key immunoblots are shown in  
12 Supplementary Figure 9.

13

14 Figure 2

15 *CUL-2<sup>LRR-1</sup>* is required for CMG helicase disassembly during S-phase in *C.*  
16 *elegans*. (a-b) Embryos from *GFP-sld-5 mCherry-H2B* worms were exposed  
17 to the indicated RNAi and processed as in Figure 1b. Timelapse images are  
18 shown from S-phase to mid-prophase. Five embryos were examined for each  
19 treatment and all behaved equivalently. Arrows denote examples of  
20 persistence of GFP-SLD-5 on prophase chromatin and scale bars correspond  
21 to 5µm. (c-d) Embryos from homozygous *GFP-psf-1 / GFP-psf-1* worms were  
22 exposed to the indicated RNAi and processed as in Figure 1e-f. Unprocessed  
23 scans of key immunoblots are shown in Supplementary Figure 9.

24

25 Figure 3

1 A mitotic pathway for CMG helicase disassembly is revealed in the absence  
2 of CUL-2<sup>LRR-1</sup>. (a) Embryos from *GFP-psf-1 mCherry-H2B* worms were  
3 exposed to the indicated RNAi treatments, or empty vector in the control, and  
4 then processed as in Figure 1b, except that the figure depicts data from the  
5 second embryonic cell cycle (P1 cell). Timelapse images are shown from S-  
6 phase to metaphase. GFP-PSF1 initially persists on prophase chromatin  
7 following depletion of LRR-1 (the arrows denote examples), before being  
8 released in late prophase (indicated by asterisk). Scale bars correspond to  
9 5µm. (b) The duration of the indicated cell cycle phases for the experiment in  
10 (a) were measured as described in Methods. The data are expressed relative  
11 to the length of the corresponding period in control embryos, and represent  
12 the mean values (n = 5 embryos; the lines on the boundary of each cell cycle  
13 phase indicate standard deviations from the mean). (c) Worms homozygous  
14 for *GFP-psf-1* and *lrr-1Δ* were grown in parallel to the equivalent heterozygote  
15 (control), as described in Methods. After exposure to *atl-1* RNAi (this allows  
16 homozygous *lrr-1Δ* germ cells to proceed with meiosis), the resultant embryos  
17 were processed as above. The images depict the second embryonic cell  
18 cycle (P1 cell), showing persistent association of GFP-PSF-1 with chromatin  
19 during prophase (arrows), before release in late prophase (asterisk). (d-e)  
20 Homozygous *GFP-psf-1* worms were exposed to the indicated RNAi.  
21 Embryos were then isolated and processed as in Figure 1e-f. Unprocessed  
22 scans of key immunoblots are shown in Supplementary Figure 9.

1

2 Figure 4

3 The mitotic pathway for CMG helicase disassembly requires the CDC-48  
4 adaptor UBXN-3 and is modulated by the SUMO protease ULP-4. (a)

5 Embryos from *GFP-psf-1 mCherry-H2B* worms were exposed to the indicated  
6 RNAi and processed as in Figure 3a. The arrows indicate persistent  
7 association of GFP-PSF1 with mitotic chromatin (throughout mitosis in the  
8 case of RNAi to *npl-4*, or after simultaneous RNAi to *lrr-1 + ubxn-3*), whereas  
9 the asterisk denotes release of GFP-PSF-1 from chromatin in late prophase in  
10 embryos treated only with *lrr-1* RNAi. The scale bars correspond to 5µm. (b)

11 Embryos from *GFP-cdc-45 mCherry-H2B* worms were processed as above.

12 (c) Homozygous *GFP-psf-1* worms were exposed to the indicated RNAi and  
13 isolated embryos were then processed as in Figure 1e. (d) Embryos from  
14 *GFP-cdc-45 mCherry-H2B* worms were exposed to the indicated RNAi and  
15 processed as above. The data correspond to the AB cell in the second cell  
16 cycle, in which *lrr-1 ulp-4* double RNAi leads to persistence of GFP-CDC-45  
17 until at or after nuclear envelope breakdown (8 of 9 embryos tested).

18 Unprocessed scans of key immunoblots are shown in Supplementary Figure  
19 9.

20

21 Figure 5

22 Partial depletion of LRR-1 is synthetic lethal in combination with low dose  
23 RNAi to *ubxn-3* or *ulp-4*. (a) Worms were fed on plates where the indicated  
24 proportion of bacteria expressed *lrr-1* double-stranded RNAi, and embryonic  
25 viability was measured as described in Methods (for each timepoint, 69-94

1 embryos were examined from five adult worms). (b) Worms were fed on the  
2 indicated proportion of bacteria expressing *ubxn-3* RNAi, either alone or in  
3 combination with 10% bacteria expressing *lrr-1* RNAi. The data represent the  
4 mean values (n = 3 independent experiments; for each timepoint, 70-100  
5 embryos were examined from five adult worms), with the indicated standard  
6 deviations from the mean value. (c) Similar experiment involving increasing  
7 doses of *ulp-4* RNAi, with or without 10% *lrr-1* RNAi (n = 3 independent  
8 experiments; for each timepoint, 70-100 embryos were examined from five  
9 adult worms).

10

11 Figure 6

12 Isolation of the post-termination worm replisome. (a) Control or homozygous  
13 *GFP-psf-1* worms were exposed to *npl-4* RNAi before being processed as  
14 described above for Figure 4. The purified samples were monitored by SDS-  
15 PAGE and immunoblotting of the indicated components of the CMG helicase.  
16 (b) The remainder of the samples were then resolved in a 4-12% gradient gel,  
17 which was stained with colloidal coomassie. The major contaminants in both  
18 samples (marked with asterisks) represent the four major yolk proteins of the  
19 worm early embryo<sup>40</sup>. Each lane was cut into 40 bands as indicated, before  
20 analysis of protein content by mass spectrometry (see Supplementary Table  
21 1). Unprocessed scans of key immunoblots are shown in Supplementary  
22 Figure 9.

23

24 Figure 7

1 CUL2<sup>LRR1</sup> associates with the post-termination vertebrate replisome and is  
2 recruited to chromatin during DNA replication termination in *Xenopus* egg  
3 extracts. (a) Experimental scheme for isolation of proteins associated with the  
4 CMG helicase after termination in the absence of replisome disassembly, in  
5 extracts of *Xenopus laevis* eggs. (b) Timecourse experiment comparing  
6 chromatin-bound factors in the absence or presence of the neddylation  
7 inhibitor MLN4924. (c) Replication kinetics were monitored for the experiment  
8 in (b), by incorporation of radiolabelled  $\alpha$ -dATP into newly synthesised DNA  
9 (see also Supplementary Figure 6e; data for repeats of this experiment are  
10 included in Supplementary Table 5). (d) Inhibition of DNA synthesis blocks  
11 association of CUL2<sup>LRR1</sup> with chromatin. DNA synthesis was inhibited with the  
12 DNA polymerase inhibitor aphidicolin. Caffeine was added to inactivate the S-  
13 phase checkpoint, which otherwise would have reduced the level of CMG on  
14 chromatin +Aphidicolin. (e) Analogous experiment to that in (b), showing that  
15 CUL2-LRR1 accumulated on chromatin with CMG when replisome  
16 disassembly was blocked by the p97 inhibitor NMS873, but chromatin  
17 recruitment of CUL2-LRR1 was inhibited if DNA replication termination was  
18 delayed by addition of the TOPO2 poison ICRF193. Unprocessed scans of  
19 key immunoblots are shown in Supplementary Figure 9.

20

21 Figure 8

22 Active CUL2<sup>LRR1</sup> is required for extraction of CMG components from  
23 chromatin during DNA replication termination in *Xenopus* egg extracts. (a)  
24 Experimental scheme. (b) Kinetics of DNA synthesis in extracts subjected to  
25 **two rounds of immunoprecipitation with control IgG ('mock depletion') or with**

1 antibodies to CUL2-RBX1 (**CUL2 depletion**). Data for repeats of this  
2 experiment are included in Supplementary Table 5. The efficiency of  
3 depletion is shown in Supplementary Figure 7a, and ongoing replication  
4 **during 3' pulses at 60' and 120' are shown in** Supplementary Figure 7b. (c)  
5 Kinetics of chromatin association of the indicated factors for the same  
6 experiment shown in (a-b). Note that the MCM7 immunoblot is over-exposed  
7 in order to reveal the ubiquitylated forms of the protein. (d) Depletion of CUL2  
8 also removes LRR1 from the extract (the panel shows immunoblots of the  
9 antibody-coupled beads after each of the two rounds of depletion). (e) Mock-  
10 depleted or CUL2-depleted extracts were supplemented with the indicated  
11 **recombinant proteins, and chromatin was isolated from the 120' timepoint in a**  
12 similar experiment to that described above. Unprocessed scans of key  
13 immunoblots are shown in Supplementary Figure 9.

14

15 Competing Financial Interests

16 The authors confirm that they have no competing financial interests.