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Published in:
Food Quality and Preference

DOI:
[10.1016/j.foodqual.2021.104186](https://doi.org/10.1016/j.foodqual.2021.104186)

Publication date:
2021

Licence:
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Document Version
Peer reviewed version

[Link to publication in Discovery Research Portal](#)

Citation for published version (APA):
Pathak, A., Calvert, G. A., & Motoki, K. (2021). Sound symbolism overrides articulation dynamics in the taste continuum. *Food Quality and Preference*, 91, Article 104186. <https://doi.org/10.1016/j.foodqual.2021.104186>

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Short Communication

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PII: S0950-3293(21)00013-6

DOI: <https://doi.org/10.1016/j.foodqual.2021.104186>

Reference: FQAP 104186

To appear in: *Food Quality and Preference*

Received Date: 28 July 2020

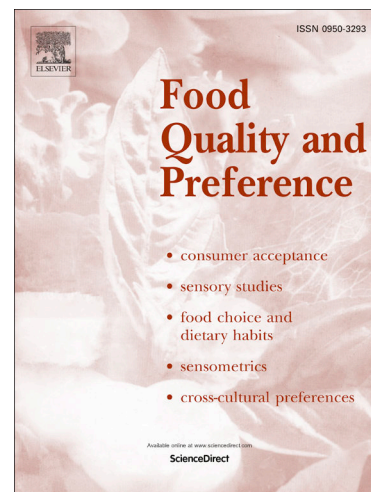
Revised Date: 12 January 2021

Accepted Date: 12 January 2021

Please cite this article as: Pathak, A., Anne Calvert, G., Motoki, K., Sound symbolism overrides articulation dynamics in the taste continuum, *Food Quality and Preference* (2021), doi: <https://doi.org/10.1016/j.foodqual.2021.104186>

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1 Sound symbolism overrides articulation dynamics in the taste continuum

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6

7 **1. Introduction**

8 In a seminal paper, Topolinski and colleagues reported a psycholinguistic phenomenon
9 referred to as the “in/out” effect which shows that people exhibit a preference for words that
10 have an inwards (IW) [vs. outwards (OW)] articulatory pattern (Topolinski et al., 2014). For
11 example, the word /padak/ involves consonants /p/ (originating from the front of the oral cavity),
12 /d/ (originating from the middle of the oral cavity) and /k/ (originating from the back of the oral
13 cavity). In contrast, the word /kadap/ has an entirely reversed articulatory pattern. It is believed
14 that IW words involve the same muscles that are responsible for deglutition (the action or
15 process of swallowing) whereas OW words involve those underlying the regurgitation
16 movement. These orally ingestive and expulsive movements are perceived as positive and
17 negative respectively, representing the rewarding effects of consumption and the negative
18 associations of vomiting and other expulsive actions (Topolinski et al., 2014). Recently,
19 researchers have also offered alternative explanations (e.g., fluency, pronounceability) behind the
20 phenomenon (e.g., Bakhtiari, Körner & Topolinski, 2016). Similarly, Maschmann and colleagues
21 have argued that other explanations are behind the in-out effect and people generally prefer front
22 consonants to back consonants and the oral kinematics alone might not be the only mechanism
23 governing the effect (Maschmann et al., 2020).

24 Since Topolinski et al.'s original finding, over twenty further papers have been published in a
25 wide variety of journals demonstrating the robustness of the in/out effect. A preference for IW
26 (vs. OW) words has been shown to extend to brand names, product appeal, a willingness to pay
27 more and the approach-avoidance dichotomy (see Topolinski, 2017 for a review). IW (vs. OW)
28 words have also been found to influence perception of food products. Objects and dishes having
29 IW (vs. OW) names are expected to be more edible, more palatable, appealing and have been
30 shown to increase food intake amongst respondents (e.g., Rossi, Pantoja, Borges & Werle, 2017;
31 Topolinski & Boecker, 2016). Given the robustness and relatedness of the in/out effect with
32 ingestion and food products, it seems plausible that an OW sounding name i.e. a non-likeable,
33 non-palatable and non-appealing name, would also be associated with expectations of an
34 unpleasant taste. Indeed, it might also be hypothesized based solely on the in/out effect that IW
35 names would lead to expectations of a pleasant (e.g. sweet) taste whereas OW names would lead
36 to expectations of a discordant (e.g. bitter) taste.

37 However, in the current paper, we present evidence that contradicts this seemingly logical
38 hypothesis. Our argument is based on the existence of other well-known sound symbolic
39 associations from the phonemic sounds contained within these IW or OW words. For example,
40 although the word /ralam/ has an OW structure, it also contains three soft, mellifluous phonemes
41 (/r/, /l/, /m/) and it is therefore unlikely that it would be construed as connoting taste bitterness
42 (i.e. unpleasant) when compared to /badak/ which, although an IW word, is composed of more
43 discordant phonemes than soft liquid and nasal sounds. The aim of the current paper is not to
44 challenge the in/out effect but rather to demonstrate that at least in the taste dimension, this effect
45 can be overridden by the other sound symbolic associations (e.g., soft and bilabial sounds
46 associated with roundedness, pleasantness and sweet tastes) (e.g., Whissell, 2000).

47 2. Theoretical background

48 Sounds contained within words have been shown to affect their meanings, especially in
49 novel and unknown words (e.g., Whissell, 2000). This is referred to as sound symbolism.
50 Specific to taste, both vowels and consonants have been linked to the pleasant-discordant taste
51 continuum (e.g., sweet-bitter) (e.g., Pathak, Calvert & Motoki, 2020; Motoki et al., 2020). In the
52 current paper, two phenomena in the sound symbolic literature are of particular interest to us.
53 These are onomatopoeia and phonesthesia. Onomatopoeia is the poetic construction of a word
54 such that when pronounced, the sound resembles the object or concept it refers to (e.g. /tick tock/
55 mimics the sound of a clock). To wit, a large body of research has demonstrated that people
56 often use soft sounds for pleasant concepts such as sweet tastes and harsh sounds for discordant
57 or unpleasant concepts such as bitter tastes (e.g., Motoki et al., 2020; Whissell, 2000).

58 Phonesthemes suggest that word endings play an important role in words. Phonesthemes are
59 particular sounds (or collection of sounds) that convey certain concepts and are especially found
60 in word-beginnings or -endings. For example, in the English language /gl/ at the start of certain
61 words (e.g., glimmer, glitter, glisten) conveys the concept of shininess, whereas /ash/ at the end
62 of a word can connote an impact (e.g., smash, crash, bash, slash, gnash, splash (e.g., Mobbs,
63 2015). Phonesthemes, which are present across many languages (Mobbs, 2015), have also been
64 shown to influence perception in the taste dimension [e.g. /ng/ endings normally refers to
65 something ‘that hangs’ (cling, hang) and /tang/ refers to a ‘strong taste that hangs in the mouth’
66 (Allan, 2009)]. Typically, in phonesthesia, the word endings have been given more importance,
67 and a phonesthetic change in a word ending often changes the meaning of the word or concept.
68 For example, for a word with the root /ski/, /skid/ (i.e. with a hard /d/ sound) suggests an impact,

69 whereas /skim/ (with a soft /m/ sound) suggests a motion with little friction, and /ski/ (with a soft
70 vowel ending) represents unimpeded motion (like /fly/, also a word with a soft vowel ending)
71 (Allan, 2009). Word endings convey much about a word's meaning, especially in the case of
72 novel words (e.g., words ending with /oon/ tend to be nouns whereas those ending with /erge/ are
73 generally verbs, and people can often infer a word's meaning based on its ending (even if it is
74 unfamiliar), based on their past experience of that language (Kemp, Nilsson, & Arciuli, 2009).
75 Although both word-beginnings and word-endings have been shown to be important in lexical
76 processing, there is evidence to suggest that word-endings play a more important role than word-
77 beginnings (Arciuli & Monaghan, 2006), especially in the novel word learning (e.g., brand
78 names, non-words) (Slobin, 1973).

79 Word ending in bilabial sounds are likely to be linked to lip-gestures that evoke pleasant
80 tastes (e.g., sweetness). Historically, languages evolved from a series of vocal and hand
81 movements (e.g. hand gestures, lip smacking) and in accordance with the mouth-gesture
82 hypothesis, while speaking, humans often unintentionally match their mouth movements with
83 those of their hands (Paget, 1930). For example, the word /capture/, when communicated using
84 hands involves opening the wrist and closing it with a force. In the speech equivalent of
85 /capture/, the articulation of phoneme /k/ (from the back of the mouth), goes to bilabial sounds
86 (from the front of the mouth), ending with an explosive /p/ sound, which vocally imitates the
87 hand gesture of the word /capture/ (Swadesh, 1971). This link suggests that often speech and lip
88 gestures imitate those of the hands (Hawhee, 2006). Since early evolution, humans and primates
89 have used lip smacking as a means of communication to convey pleasant concepts (e.g. taste,
90 mating calls). On this basis, the theory would suggest that words ending in lip smacking sounds

91 (or bilabials) are akin to lip-gestures that evoke pleasant tastes (e.g., sweetness). Lip smacking in
92 response to pleasant tastes is in fact common in both monkeys and infants.

93 If imitating muscle actions of deglutition (vs. regurgitation) movements can change the
94 palatability expectations in food, then by the same logic, a word-ending in a lip-smacking,
95 bilabial sound (vs. discordant word-endings) and words containing soft (vs. harsh) sounds should
96 be associated with a pleasant taste, irrespective of the articulatory muscular movement (as both
97 are induced by past associations of pleasant=positive and unpleasant=negative). This is what we
98 aim to demonstrate in the current paper.

99 3. Method and overview of studies

100 Forty-five IW/OW word pairs (see Appendix 1) were created in a bi-syllabic format (CV-
101 CVC; consonant-vowel-consonant) using three front/bilabial consonants (/b/, /m/, /p/)¹, five
102 central consonants (/d/, /l/, /n/, /s/, /t/) and three back consonants (/g/, /k/, /r/) (Topolinski et al.,
103 2014). Only the central vowel /ʌ/ (as in /hut/) was used in both the vowel positions [e.g. /balar/
104 (IPA notation: /bʌlʌr/) (IW) and /ralab/ (IPA notation: /rʌlʌb/) (OW)]². For the analysis, we
105 segregated bilabials (/b/, /m/, /p/), liquids (/l/, /r/) and nasals (/m/, /n/) as soft sounds, as these
106 have often been associated with pleasant concepts (e.g. softness, child-like) (Kawahara, 2019;
107 Pathak, Calvert, & Velasco, 2017; Maschmann et al., 2020; Sakamoto & Watanabe, 2018).
108 Studies were designed on the Inquisit 6 platform (Millisecond.com) and participants were native
109 English speakers recruited from the USA through Amazon Mechanical Turk; all participants
110 took part in only one of the studies. In both studies, participants were asked to guess the purpose
111 of the experiment; none of the participants could make a correct guess and all data was thereby

112 retained. In both studies, the stimuli were presented visually in the Arial font and participants
113 were familiarized with the experimental procedure in a few practice trials.

114 In Study 1, participants were shown an IW/OW word pair and were asked to drag and
115 drop the names in two categories (sweet vs. bitter) shown on top. Study 2 aimed to test the
116 association of IW/OW words with roundedness vs. angularity. Since sweet (vs. bitter) tastes have
117 been shown to be associated with roundedness (vs. spikiness) (see Velasco, Woods, Petit, Cheok,
118 & Spence, 2016 for a review), Study 2 used the indirect shape-sound paradigm to demonstrate
119 the results.

120 4. Study 1

121 4.1. Participants

122 Sixty participants between the ages of 21 to 73 years completed the study, $M_{Age} = 43.33$
123 yrs., $SD = 12.46$, $Males = 29$, $Females = 31$) (data of one participant with values outside +/- 3SD
124 was excluded). All participants were native English speakers and were fluent in English (in
125 addition, two participants also knew Japanese and one each knew Persian, Spanish, Cantonese,
126 Russian and Turkish).

127 4.2. Procedure and design

128 Participants were told that a company was launching two new brands of chocolates (one
129 sweet and the other bitter) in a non-English speaking market and was looking for suitable brand
130 names. Each participant was then presented with twenty IW/OW word pairs on the screen (word
131 pairs were randomized and counterbalanced within-participants) and they had to drag the names
132 to an appropriate taste category (sweet vs. bitter; categories were counterbalanced between-
133 participants) shown on top of the screen. We hypothesized that words/names containing soft

134 sounds (liquids, nasals and bilabials) would be more associated with sweet tastes, irrespective of
135 a names' articulatory pattern (IW or OW).

136 4.3. Results

137 A repeated measures ANOVA³ showed that participants associated more OW (vs. IW)
138 words with sweet (vs. bitter) taste, $F(1, 58) = 6.05, p = 0.017, \eta_p^2 = 0.094$; $M_{\text{Outwards words with sweet}}$
139 $= 0.55, M_{\text{Outwards words with bitter}} = 0.45, SD = 0.16$. Subsequent segregation of the words by the
140 number of soft sounds (/b/, /p/, /m/, /l/, /r/, /n/) present in the words (i.e. one vs. two vs. three soft
141 sounds) revealed an effect of the soft sounds on taste expectations.

142 4.3.1. Effect of the number of soft sounds (OW words)

143 As the number of soft sounds in a word increases, so does the expectation of a sweet taste;
144 one soft sound: $M_{\text{Outwards words with sweet}} = 0.52, M_{\text{Outwards words with bitter}} = 0.48, SD = 0.22, t(58) < 1,$
145 $p > 0.4$; two soft sounds: $M_{\text{Outwards words with sweet}} = 0.56, M_{\text{Outwards words with bitter}} = 0.44, SD = 0.22, t$
146 $(58) = 2.06, p = 0.044, d = 0.27$; three soft sounds: $M_{\text{Outwards words with sweet}} = 0.59, M_{\text{Outwards words}}$
147 $\text{with bitter} = 0.40, SD = 0.33, t(58) = 2.21, p = 0.031, d = 0.29$ (Figure 1a). The in/out effect rests
148 mainly on the positions of front and back consonants (since central consonants remain at the
149 same position in both set of IW or OW stimuli), and the central consonants do not play any role.
150 However, we find that the soft central phonemes (e.g., /l/, /n/) significantly add to the expectation
151 of sweetness, which supports our argument in favor of a coexisting sound symbolic effect along
152 with the in/out effect, which best explains these results.

153

154 **Insert Figure 1 about here**

155

156 4.3.2. Effect of the number of soft sounds (IW words)

157 A repeated measures ANOVA (Greenhouse–Geisser corrected) with number of sounds
 158 (one, two, three) and taste expectation (sweet, bitter) as factors showed significantly different
 159 results, $F(1.45, 83.97) = 10.73, p < 0.001, n_{p2} = 0.16$. Results suggest that as the number of soft
 160 sounds in a word increase, so does the expectation of the sweet taste; one soft sound: M_{Inwards}
 161 $\text{words with sweet} = 0.40, M_{\text{Inwards words with bitter}} = 0.60, SD = 0.21, t(58) = 3.49, p = 0.001, d = 0.51$; two
 162 soft sounds: $M_{\text{Inwards words with sweet}} = 0.45, M_{\text{Inwards words with bitter}} = 0.55, SD = 0.19, t(58) = 2.06, p$
 163 $= 0.04, d = 0.27$; three soft sounds: $M_{\text{Inwards words with sweet}} = 0.56, M_{\text{Inwards words with bitter}} = 0.39, SD =$
 164 $0.36, t(58) = 2.01, p = 0.049, d = 0.26$ (Figure 1b).

165 4.3.3. Effect of the last letter ending (IW words)

166 To analyze the effect of the last letter (harsh vs. soft sounds) on the results, we further
 167 analyzed the results based on the last letter endings (i.e. IW words ending in /k/ vs. /g/ vs. /r/). A
 168 repeated measures ANOVA (Greenhouse–Geisser corrected) showed marginal differences, F
 169 $(1.80, 104.65) = 2.92, p = 0.06$. However, /r/ and /k/ endings were found to be significantly
 170 different from each other ($t(58) = 2.31, p = 0.02, d = 0.31$), but not with the other letters (i.e., not
 171 in r/ with /g/, ($t(58) = 1.76, p = 0.08$) or /g/ with /k/, ($t(58) = 0.84, p = 0.40$) ($M_{\text{Word ending /r/}} =$
 172 $0.49, SD = 0.23; M_{\text{Word ending /k/}} = 0.40, SD = 0.28; M_{\text{Word ending /g/}} = 0.44, SD = 0.21$). This
 173 suggests that when the IW word ends in a soft sound (/r/) the expectation of sweetness increases
 174 considerably more than when it ends with /g/ or /k/ sounds) (Figure 1c). On comparison of /g/ &
 175 /k/ endings with the /r/ endings [i.e. harsh (/g/ & /k/) vs. soft (/r/)], a significant difference
 176 emerges between harsh (vs. soft) word-endings, $F(1, 58) = 6.46, p = 0.014, n_{p2} = 0.10$; (M_{Word}
 177 $\text{ending /g/ and /k/} = 0.42, SD = 0.18; M_{\text{Word ending /r/}} = 0.49, SD = 0.23$. This pattern suggests the effect
 178 of harsh vs. soft sounds in the word endings and shows that most of the association of IW words

179 with expected bitter taste is actually due to the /g/ and /k/ word-endings, and not due to the soft
180 /r/ sound endings.

181 4.3.4. *Effect of the last letter ending (OW words)*

182 A repeated measures ANOVA showed no differences in the last letter endings (/b/, /m/,
183 /p/) for the OW words, $F(2, 116) < 1, p > 0.40$. None of the paired contrasts (/b/, /p/, /m/)
184 showed any differences, $M_{\text{Word ending /b/}} = 0.53, SD = 0.24$; $M_{\text{Word ending /m/}} = 0.58, SD = 0.23$; $M_{\text{Word ending /p/}} = 0.54, SD = 0.22$ (Figure 1c). Words ending in /b/, /m/, /p/ were also compared with
185 the /r/ endings (IW words) and no differences were found, $F(2.40, 139.64) < 1, p > 0.30$. None
186 of the paired contrasts (/b/, /p/, /m/, /r/ endings) showed any difference ($M_{\text{Word ending /b/}} = 0.53, SD$
187 $= 0.24$; $M_{\text{Word ending /m/}} = 0.58, SD = 0.23$; $M_{\text{Word ending /p/}} = 0.54, SD = 0.22$; $M_{\text{Word ending /r/}} = 0.49,$
188 $SD = 0.23$). This further supports the argument that soft (vs. harsh) sounds can override any other
189 coexisting effect (e.g., in/out).
190

191 4.3.5. *Orthographic angularity of /k/*

192 One potential confound that can affect the results is the orthographic angularity of the
193 letter /k/. When compared to other letters used in the stimuli, the letter /k/ is the only
194 orthographically angular letter. However, /k/ is also phonemically angular (or harsh) at the same
195 time and it is not possible to dissociate its orthographic (vs. phonemic) angularity from the
196 current data set. In order to rule out any undue dominance of the orthographic angularity in the
197 results, we only compared trials where IW/OW words with letter /k/ were presented together (i.e.
198 OW words with /k/ initial vs. IW words with /k/ ending, e.g., /balak/ vs. /kalam/). If orthographic
199 angularity were responsible for the results, then we would expect to find similar association of
200 taste with the IW/OW words in such trials. However, the results show that in majority of such
201 trials (67% vs. 33%) participants chose words ending with the letter /k/ (i.e. IW words) as bitter

202 (vs. word initial /k/ presentation i.e. OW words) ($M_{\text{Inwards word ending /k/}} = 0.67$, $M_{\text{Outwards word initial /k/}}$
203 $= 0.33$, $SD = 0.33$, $Z = 3.41$, $p = 0.001$, $r = 0.46$; Figure 1d).

204

205 5. Study 2

206 Research suggests that people often associate pleasant (vs. unpleasant) concepts (e.g.,
207 sweet vs. bitter taste) with roundedness (vs. angularity). Study 2 aimed to use this indirect shape-
208 sound paradigm to test our findings. Study 1 demonstrated the association of OW words (vs. IW
209 words) and words with softer sounds more with the expectation of sweetness (vs. bitterness). In
210 Study 2, we expect the OW words (i.e. bilabial word-endings) and words with higher number of
211 soft sounds to be more associated with roundedness (than the IW words and words with fewer
212 numbers of soft sounds, which will be associated more with angularity).

213 5.1. Participants

214 Sixty participants between the ages of 23 to 74 years completed the study, $M_{\text{Age}} = 41.65$
215 yrs., $SD = 11.60$, $Males = 31$, $Females = 29$) (data of two participants with values outside +/-
216 3SD was excluded). All participants were native English speakers and were fluent in English (in
217 addition, two participants knew Spanish, one each knew French, Tamil, Korean and German and
218 one was multilingual knowing French and Spanish). The participants in Study 2 were different
219 from those in Study 1.

220 5.2. Procedure and design

221 Participants were told that on each trial they would see a word presented on the computer
222 screen and were asked to read it aloud and match it to one of two shapes (rounded vs. spiky)
223 presented beneath the word. The shapes (rounded vs. spiky) were anchored to an eleven point

224 scale (1= very angular and 11=very rounded; the anchors were counterbalanced between-
225 participants).

226 5.3. Results

227 A repeated measures ANOVA showed that participants associated the OW (vs. IW) words
228 significantly more with roundedness (vs. angularity), $F(1, 57) = 5.20, p = 0.026, \eta_p^2 = 0.08$; M
229 $_{Outwards} = 6.13, SD = 1.26, M_{Inwards} = 5.72, SD = 1.16$.

230 5.3.1. Effect of the number of soft sounds

231 A repeated measures ANOVA with the type of word (IW vs. OW) and number of soft
232 sounds (one vs. two vs. three) as factors revealed a significant main effect of soft sounds (F
233 $(1.65, 65.99) = 35.06, p < 0.001, \eta_p^2 = 0.47$) and a significant interaction ($F(1.84, 73.78) = 10.13,$
234 $p < 0.001, \eta_p^2 = 0.20$) (IW words: one soft sound, $M = 4.91, SD = 1.33$; two soft sounds, $M =$
235 $5.94, SD = 1.41$; three soft sounds, $M = 7.59, SD = 1.92$; OW words: one soft sound, $M = 5.87,$
236 $SD = 1.50$; two soft sounds, $M = 6.40, SD = 1.33$; three soft sounds, $M = 7.06, SD = 1.99$). As the
237 number of soft sounds in the word increases, so does its association with roundedness (vs.
238 angularity), irrespective of its articulatory nature (i.e. IW vs. OW) (Figure 2a). To unpack the
239 interaction, two one-way ANOVAs (one each for IW and OW words) were conducted with one,
240 two and three soft sounds as factors. Both ANOVAs showed a significant effect of the soft
241 sounds on a words' association with roundedness (vs. angularity) (IW words: $F(1.71, 82) =$
242 $56.99, p < 0.001, \eta_p^2 = 0.54$; OW words: $F(1.74, 83.64) = 7.58, p = 0.001, \eta_p^2 = 0.14$).

243

244 **Insert Figure 2 about here**

245

246 5.3.2. Effect of the last letter ending

247 To analyze the effect of the last letter, the data was segregated as per the letter ending
248 (i.e. words ending in /b/, /p/, /m/, /k/, /g/, and /r/). A repeated measures ANOVA showed
249 significant differences, $F(5, 275) = 22.98, p < 0.001, \eta^2_p = 0.29$ ($M_{\text{Word ending /b/}} = 6.13, SD =$
250 $1.84; M_{\text{Word ending /g/}} = 5.78, SD = 1.96; M_{\text{Word ending /k/}} = 4.34, SD = 1.49; M_{\text{Word ending /m/}} = 6.73, SD$
251 $= 1.44; M_{\text{Word ending /p/}} = 5.81, SD = 1.67; M_{\text{Word ending /r/}} = 7.06, SD = 1.75$) (Figure 2b).
252 Specifically, we were interested in the OW words ending in /g/ and /k/ compared to /r/ endings. If
253 all OW words are perceived as similar then there should be no difference in these letter endings.
254 However, significant differences were observed, /g/ vs. /r/ ($t(56) = 4.35, p < 0.001, d = 0.57$), /k/
255 vs. /r/ ($t(57) = 9.69, p < 0.001, d = 1.26$) and /g/ vs. /k/ ($t(56) = 5.22, p < 0.001, d = 0.68$). OW
256 words with /r/ endings were significantly rated as rounded, whereas OW words with /g/, and /k/
257 endings were rated as angular (/k/ endings were rated significantly more angular than the /g/
258 endings). To examine the effect of the sharp vs. soft sounds in the word-endings, /b/, /p/, /m/, and
259 /r/ endings were compared with only /k/, /g/ endings, which increased the statistical difference
260 and exhibited a higher effect size, demonstrating the importance of soft vs. sharp word endings
261 irrespective of the articulation type (i.e. IW vs. OW), $F(1, 57) = 48.57, p < 0.001, \eta^2_p = 0.46$ (M
262 $_{\text{Sharp word ending}} = 5.04, SD = 1.36; M_{\text{Soft word ending}} = 6.42, SD = 1.18$) (Figure 2c). Word initial
263 letters seemed to have no effect on the results (word initial (/g/, /k/) vs. word initial (/b/, /m/, /p/,
264 /r/), $F(1, 57) < 1, p > 0.60$) ($M_{\text{Sharp word initial}} = 5.89, SD = 1.39; M_{\text{Soft word initial}} = 5.98, SD = 1.02$).

265

266 6. General discussion

267 This paper builds on and explores the alternative explanations of the well established
268 in/out effect as described by Topolinski et al. (2014) in the taste dimension. The in/out effect has
269 so far been demonstrated to influence the perception of various food related attributes namely,

270 palatability, edibility, willingness-to-pay, likeability and even to increase the consumption of
271 foods named with IW (vs. OW) sounding words (see Topolinski, 2017 for a review). Though
272 oro-kinematics (articulatory muscle action of pronouncing words akin to swallowing vs.
273 vomiting) was originally proposed as the mechanism behind the in/out effect, recent evidence
274 suggests alternative explanations (e.g. pronounceability, fluency, greater preference for first
275 consonants) (Maschmann et al., 2020; Topolinski et al. 2014). For example, one alternative
276 explanation is that in natural languages, words with sounds originating from the front of the
277 mouth (e.g., /m/) are more abundant than words with sounds originating from the back of the
278 mouth. This natural phenomenon leads the speakers of such languages to be more familiar with
279 such words and patterns (i.e. frontal preference of sounds). Words imitating front to back
280 movements are thus believed to be processed more fluently, spoken with ease and seem more
281 familiar (than words imitating back to front movement). These explanations have been recently
282 offered as reasons behind the in/out effect and are said to lead some words (e.g. those imitating
283 inwards articulatory movement) towards certain preference judgements (e.g., approach vs.
284 avoidance) (Ingendahl et. al, 2020). Adding further weight to these explanations, the in/out effect
285 has also been shown to weaken when participants are aware that the word/name refers to a food
286 (vs) non-food brand or even reversed with some training or familiarity with the pseudo-words
287 (Körner et al., 2019). Together, this suggests that there may be other simultaneous mechanisms
288 affecting (and/or coexisting) with the in/out effect, and may at times override it.

289 To ascertain the generalizability of our findings, we checked the articulatory pattern of
290 the existing food brands. There are forty-nine food brands listed in the Brand Finance's top 500
291 list of American brands (<https://brandirectory.com>, 2018), and barring just three brands (Pepsi,
292 Fanta, Wendy's), which have an IW articulatory pattern, rest forty-six brands have either OW or

293 inconclusive articulatory pattern (i.e. no specific IW or OW pattern) (see Appendix 2). Similarly,
294 even the articulatory pattern of the taste words in the English language shows similar findings.
295 Among the taste words, ironically the word ‘bitter’ has a clear IW pattern (which is contradictory
296 to the in/out effect), whereas the other taste words have no specific articulatory pattern (i.e. the
297 words ‘sweet’ (inconclusive pattern or partially OW), ‘salty’ (inconclusive pattern) and ‘umami’
298 (inconclusive pattern).

299 We propose that the findings reported here can be more accurately explained by sound
300 symbolism and suggest that because spoken words consist of a variety of phonemic sounds
301 (consonants and vowels) and prosodic features (e.g., stress, pauses, length of a vowel), which can
302 affect the words’ final meaning. Specifically, we demonstrate that OW words having soft sounds
303 (i.e. liquids, nasals, bilabials) and bilabial word-endings (akin to lip smacking sounds) evoke
304 expectations of sweetness (when compared against IW words without these inclusions). Bilabials
305 and nasals (i.e. typical word-endings in the OW words) have been linked to softness whereas /k/
306 and /g/ (i.e. typical word-endings in the IW words) with harshness (Sakamoto & Watanabe,
307 2018). Similarly, one of the most researched sub-fields within the sound symbolic literature is
308 the shape-sound correspondence. Research has shown the association of certain speech sounds
309 (e.g. harsh vs. soft) with certain shapes (e.g., angular vs. round) and bilabial consonants have
310 been regularly shown to be associated with smoothness and roundedness (e.g., Sakamoto &
311 Watanabe, 2018). Using shape-sound paradigm, we demonstrate that the OW (vs. IW) words are
312 more associated with roundedness (vs. angularity), which indirectly supports the findings of
313 Study1 [since sweet (v. bitter) tastes have also been shown to be linked with roundedness (vs.
314 angularity) (Velasco et al., 2016)].

315 Sound symbolism has shown that people often link sounds with concepts. For example,
316 since children learn bilabials (/b/, /m/, /p/) first and bilabials are often found in their utterances
317 (e.g., mama, baba) (Pathak, Calvert, & Velasco, 2017) people often associate these sounds to
318 childlike concepts (e.g., innocence) (Kawahara, 2019). To illustrate, research suggests that
319 companies incorporate more bilabials when naming brands catering to children's needs (e.g.
320 diapers) rather than with e.g., adult cosmetic brands. This stream of research also shows that
321 since bilabials are associated with innocence and children, when creating names for villains,
322 respondents tend to avoid using bilabials (Kawahara, 2019). Such examples and prior research
323 suggest a link between early communication (e.g., bilabials, front consonants which appear early
324 in life) with concepts (e.g., pleasantness, likability) (Maschmann et al., 2020). Similarly,
325 languages have developed from both manual and lip gestures- the earliest forms of
326 communication. Since people often smack lips in response to pleasant taste (akin to bilabial
327 phoneme) it is likely that a word-ending phoneme is construed as a pleasant taste due to this
328 onamato-kinetic link (pleasant taste=lip smack). Lip smacking has been seen in many primates
329 and infants as a mean of communication in pleasant interactions or situations (e.g. sweet taste,
330 mating, mother-infant interactions) (MacNeilage, 1998).

331 In fact, we are not disputing the in/out effect and rather take support from it. In/out effect
332 suggests that the articulatory muscular movement (IW vs. OW) can change the palatability and
333 likeability ratings of food. We suggest a similar explanation for our findings. The utterances of
334 bilabials (i.e. front of the oral cavity and lips) involve the same articulatory apparatus which is
335 involved in assessing sweet tastes (i.e. sweet tastes are linked to the front portions of the tongue
336 and front phonemes; back portion of the tongue has been linked to bitter tastes and back
337 phonemes) (Motoki et al., 2020; Whissell, 2000). The current research does not claim that the

338 in/out effect is absent in the taste dimension, instead it demonstrates the coexistence of other
339 well-known mechanisms (e.g., sound symbolism, softness of liquid consonants and vowels)
340 which cannot be ignored and may themselves be adding/attenuating the results. More research is
341 needed to reaffirm these findings in other product dimensions (e.g., healthiness, size/portions).

342 To conclude, this study explores the link between sound symbolism and the in/out effect
343 in the taste continuum. Our results suggest that sound symbolism appears to override the in/out
344 effect in sound-taste and sound-shape associations. Contrary to the in/out hypothesis [which
345 would have suggested that words with inwards (vs. outwards) articulatory movement would be
346 expected as sweet and rounded (vs. bitter and sharp)], our results instead show that words with
347 an outwards (vs. inwards) articulatory pattern are expected as sweet and round (vs. bitter and
348 sharp). Further research should be needed to confirm these findings and to explore whether
349 sound symbolism overrides the in/out effect in other research streams related to sensory and
350 consumer science (e.g., tastiness, smell).

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362 Footnotes

363 **Footnote 1** – Topolinski et al. (2014) also used /w/ and /f/ as front consonants in creating the
364 stimuli, whereas the current paper used only bilabials (/b/, /m/, /p/) or lip smacking consonants.

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366 **Footnote 2** – Since the stimuli were visually presented, it is likely that participants read it in a
367 different format (e.g. /bæɪɹ/).

368 **Footnote 3** – Data of the proportions was normally distributed

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456 **Appendix 1**

Inwards words (IW)	Outwards words (OW)
Badag	Gadab
Balag	Galab
Banag	Ganab
Basag	Gasab
Batag	Gatab
Badak	Gadam
Balak	Galam
Banak	Ganam
Basak	Gasam
Batak	Gatam
Badar	Gadap
Balar	Galap
Banar	Ganap
Basar	Gasap
Batar	Gatap
Madag	Kadab
Malag	Kalab
Manag	Kanab
Masag	Kasab
Matag	Katab
Madak	Kadam

Malak	Kalam
Manak	Kanam
Masak	Kasam
Matak	Katam
Madar	Kadap
Malar	Kalap
Manar	Kanap
Masar	Kasap
Matar	Katap
Padag	Radab
Palag	Ralab
Panag	Ranab
Pasag	Rasab
Patag	Ratab
Padak	Radam
Palak	Ralam
Panak	Ranam
Pasak	Rasam
Patak	Ratam
Padar	Radap
Palar	Ralap
Panar	Ranap
Pasar	Rasap
Patar	Ratap

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Appendix 2

Brand	Position in the top 500 list	Articulatory pattern
Starbucks	15	INCL
Coca-Cola	19	INCL or partially OW
McDonald's	24	INCL
Pepsi	31	IW
Subway	78	INCL or partially OW
KFC	79	INCL or partially OW
Bud Light	90	INCL or partially IW
Budweiser	92	INCL or partially IW
Tyson	121	INCL
Kellogg's	128	INCL or partially OW
Gatorade	146	INCL or partially OW
Kraft	149	OW
Domino's	152	INCL or partially OW
Wrigley's	153	INCL or partially OW
Sprite	170	INCL
Heinz	191	INCL or partially OW
Whole Foods	193	INCL
Lay's	204	INCL
Jack Daniel's	209	INCL
Mountain Dew	221	INCL or partially IW
Dr Pepper	223	INCL or partially IW
Burger King	230	INCL or partially IW
Pizza Hut	235	INCL

Oscar Mayer	251	INCL or partially IW
Coors Light	259	INCL
Dunkin' Donuts	262	INCL
Tropicana	268	INCL
Fanta	271	IW
Chipotle	272	INCL
Hershey's	289	INCL
Bacardi	294	INCL or partially IW
Wendy's	309	IW
Campbell's	316	INCL or partially OW
7-Up	320	INCL or partially OW
Panera Bread	321	INCL or partially IW
Mars	328	INCL or partially IW
Yoplait	334	INCL
Miller Lite	351	INCL or partially IW
Quaker	358	INCL or partially OW
Folgers	368	INCL or partially IW
Taco Bell	369	INCL
Hormel	405	INCL or partially OW
Grey Goose	410	INCL
Olive Garden	425	INCL or partially OW
Doritos	433	INCL
McCormick	443	INCL or partially IW
Cheetos	461	INCL
Lactaid	486	INCL
Reese's	500	INCL or partially OW

INCL = Inconclusive articulatory pattern; IW = Inwards articulatory pattern; OW = Outwards articulatory pattern

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SOUND SYMBOLISM, IN/OUT EFFECT AND TASTE EXPECTATION

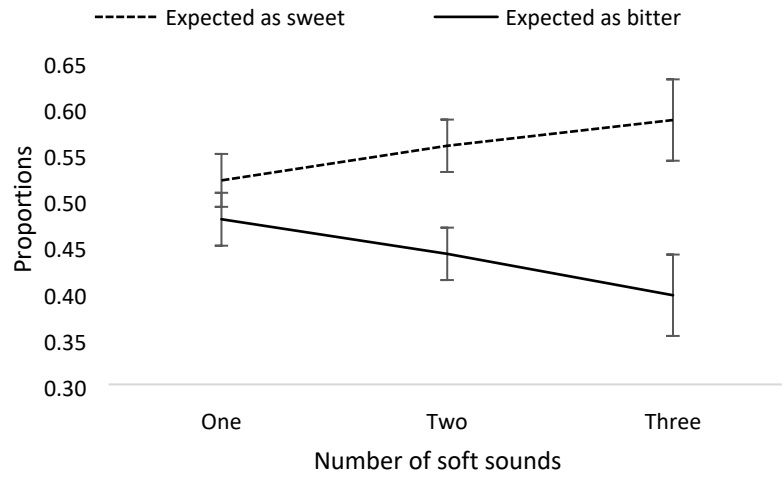


Figure 1a. Taste expectancy in OW words

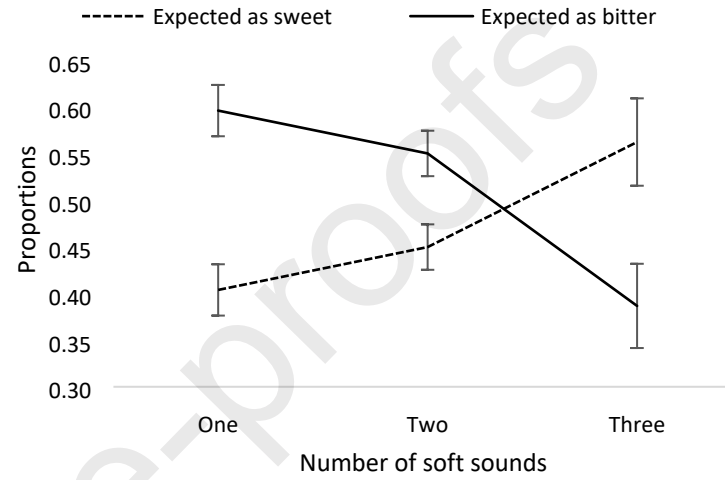


Figure 1b. Taste expectancy in IW words

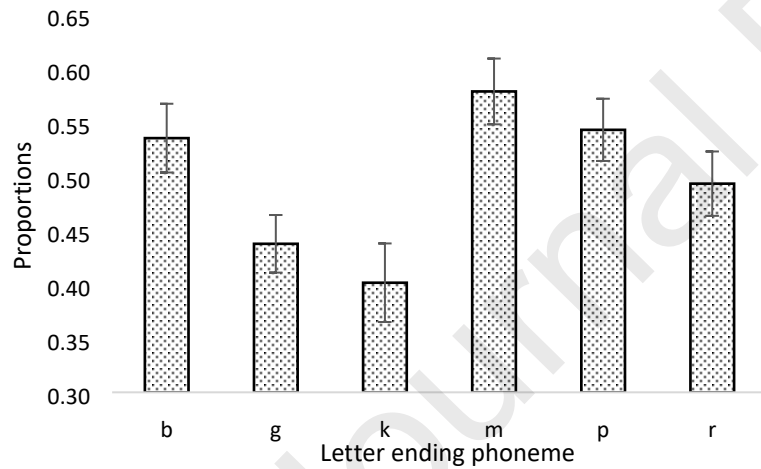


Figure 1c. Effect of the last letter ending on taste expectancy (with sweetness)

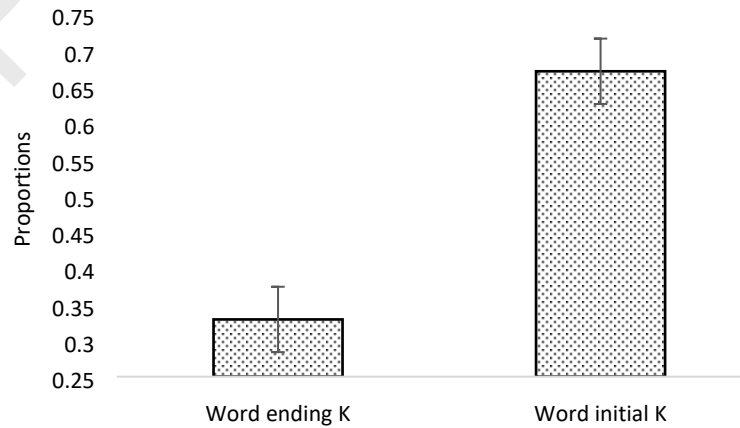


Figure 1d. Comparison of word-ending /k/ (IW) vs. word-initial /k/ (OW) (with sweetness)

Figure 1. Study 1

Error bars represent SE of means in all figures

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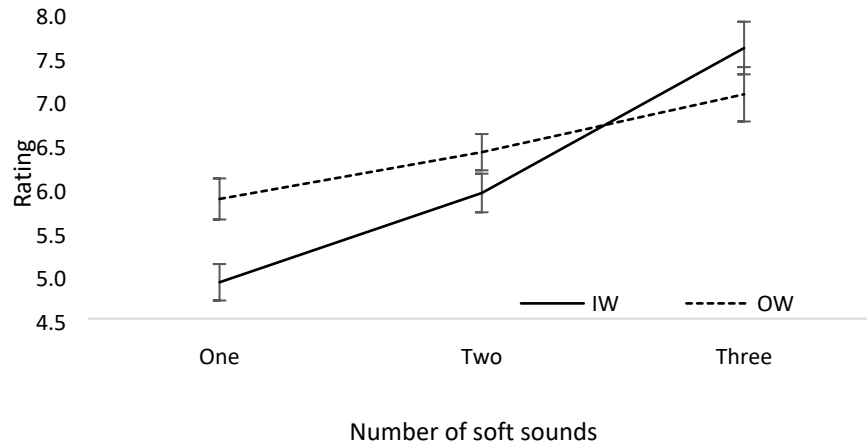


Figure 2a. Number of soft sounds and roundedness (vs. angularity)

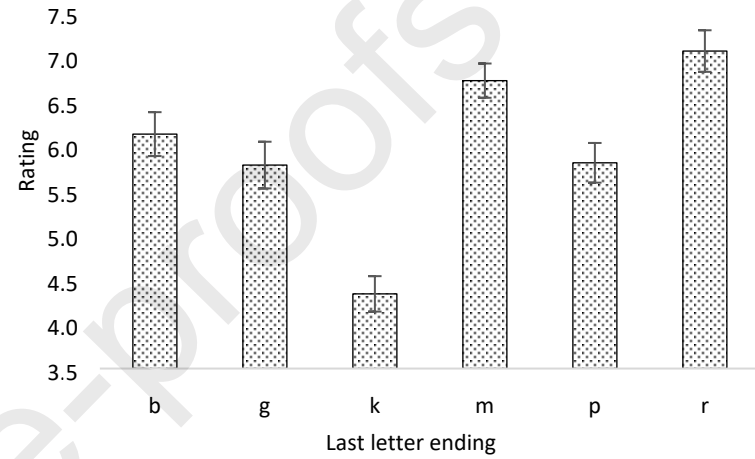


Figure 2b. Effect of the last letter ending on roundedness (vs. angularity)

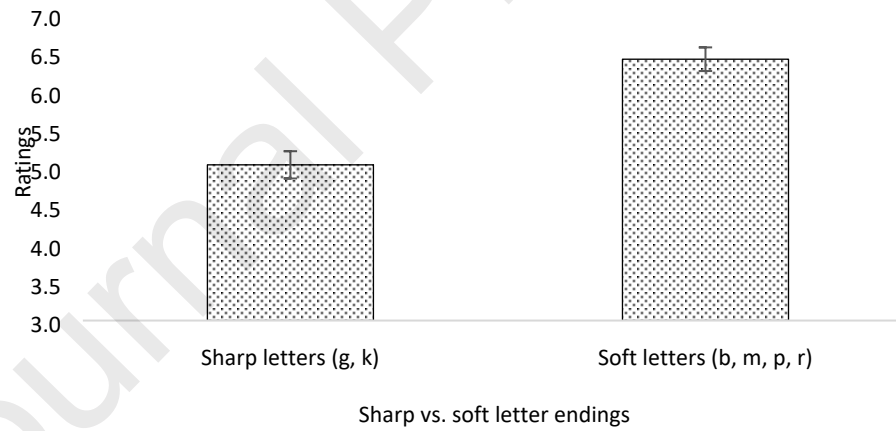


Figure 2c. Effect of the last letter ending on roundedness (vs. angularity)

Figure 2. Study 2

Error bars represent SE of means in all figures

530 All authors contributed equally to this work

531 **Highlights**

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- 533 • We investigated the alternative explanation of the role of articulatory mouth movements (i.e., muscle movements from lips to
- 534 the back of the mouth and vice-versa, also called inwards vs. outwards) on the taste continuum.
- 535 • Our results show that words with outwards (vs. inwards) articulatory patterns are expected as sweet and round (vs. bitter and
- 536 sharp)
- 537 • Sound symbolism, but not inwards/outwards mouth movement, supports this finding.
- 538 • Sound symbolism appears to override the role of inwards vs. outwards mouth movements in sound-taste and sound-shape
- 539 associations

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