



University of Dundee

Research note – barriers and solutions to linking and using health and social care data in Scotland

Atherton, Iain M.; Lynch, Ellen; Williams, Andrew J.; Witham, Miles D.

Published in:
British Journal of Social Work

DOI:
[10.1093/bjsw/bcv047](https://doi.org/10.1093/bjsw/bcv047)

Publication date:
2015

Document Version
Peer reviewed version

[Link to publication in Discovery Research Portal](#)

Citation for published version (APA):

Atherton, I. M., Lynch, E., Williams, A. J., & Witham, M. D. (2015). Research note – barriers and solutions to linking and using health and social care data in Scotland. *British Journal of Social Work*, 45(5), 1614-1622. <https://doi.org/10.1093/bjsw/bcv047>

General rights

Copyright and moral rights for the publications made accessible in Discovery Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from Discovery Research Portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain.
- You may freely distribute the URL identifying the publication in the public portal.

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Research note – Barriers and Solutions to Linking and using Health and Social Care data in Scotland

Iain M Atherton

Ellen Lynch

Andrew James Williams

Miles D Witham

Correspondence to:

Dr Miles D Witham, Ageing and Health, University of Dundee, Ninewells Hospital, Dundee DD1 9SY

m.witham@dundee.ac.uk

Tel: 01382 383086

Keywords: Data linkage; healthcare; social care; integration

Word count

Abstract: 198

Main text: 2489

Abstract

Integration of health and social care will require integrated data to drive service evaluation, design, joint working and research. We describe the results of a Scottish meeting of key stakeholders in this area. Potential uses for linked data included understanding client populations, mapping trajectories of dependency, identifying at risk groups, predicting required capacity for future service provision, and research to better understand the reciprocal interactions between health, social circumstances and care. Barriers to progress included lack of analytical capacity, incomplete understanding of data provenance and quality, intersystem incompatibility and issues of consent for data sharing. Potential solutions included better understanding the content, quality and provenance of social care data, investment in analytical capacity, improving communication between data providers and users in health and social care, clear guidance to systems developers and procurers and enhanced engagement with the public. We plan a website for communication across Scotland on health and social care data linkage, educational resources for front-line staff and researchers, plus further events for training and information dissemination. We believe that these processes hold lessons for other countries with an interest in linking health and social care data, as well as for cross-sector data linkage initiatives in general.

Background

Integration of Health and Social care is a key priority both within the UK (Scottish Government, 2014a) and internationally. Integration of health and social care data is necessary to support effective work practices and evaluation, both at an operational level and for service commissioning and public health delivery; a lack of such data sharing has been proposed as a significant barrier to closer working (Burgess, 2009). There is also growing interest in linking health and social care data for research – evaluation of interventions in one domain (e.g. healthcare) must take into account effects on other domains (e.g. social care) (Kendig *et al* 2012, Lyons *et al* 2014).

Here, we report the outcome of a meeting of Scottish stakeholders held in May 2014, which aimed firstly to share Scottish experience in linking health and social care data, secondly to better understand how stakeholders want to use linked data, and finally to map out actions to achieve the above ends. We discuss key themes arising and their relevance to social work practitioners across the UK and beyond. Thirty stakeholders were invited, encompassing social care, healthcare, University and Government sectors, representing service delivery, research, analytic services and policymakers.

Work already underway in Scotland

Brief talks outlined some of the work already taking place:

Data linkage in Lothian

Dr Rachel Hardie (Consultant in Public Health Medicine) described data linkage in Lothian. Data were successfully linked for 2008-2011, with additional linkage planned. Barriers to success included a lack of personnel to work on the linkage, and major challenges remain in understanding and analysing the data. Exemplar analyses around patient pathways, the cost of Chronic Obstructive Pulmonary Disease, and effects of a new intervention for hip fracture are being developed.

Data linkage in Tayside

Dr Mark McGilchrist (Senior Research Fellow, University of Dundee) outlined two linkage projects; linking health and social care data in Dundee on people aged 65 and over (Authors own 2014), and linking health, social care and fire service data on vulnerable adults. Building relationships with data providers took time, ensuring that data providers retain a say in the use of their data is important in building trust, and deploying external researchers into the social care organisation overcame the

lack of resource available to extract and link data. Major challenges remain in understanding how social care data are coded within and across social care organisations.

Scottish Government work

Julie Rintoul (Scottish Government analytic services division) described work being undertaken in collaboration with NHS Boards and local council authorities (Scottish Government 2014b). Yearly snapshots of social care data from 12 social work departments are being linked with healthcare data held between 2010 and 2014. Planned uses include modelling movement of older people into care homes, improved understanding of costs, and improved data on engagement with mental health services. Key challenges to be overcome include disseminating knowledge, increasing analytical capacity, and finding better ways to feedback results to data providers and service users.

Administrative Data Research Centre

Dr Iain Atherton (Lecturer in Nursing, University of Stirling) described the Administrative Data Research Centre (ADRC). This new centre will provide a focal point for social science 'big data' research in Scotland. Staff will have expertise in administrative data and techniques for linkage and analysis to facilitate academic data linkage projects, using anonymised, linked data from different sectors held securely in a Safe Haven. Exemplar studies will demonstrate potential uses, new ways to utilise data, and mechanisms to retain the confidence of data suppliers and custodians.

Coordinating linkage and data use across Scotland

Professor Alison McCallum (Director of Public Health and Health Policy, NHS Lothian) discussed how to balance individual rights to privacy with the need to measure care quality and improve outcomes. Safe havens are part of the solution as they allow linkage without disclosure of identifiers, provide a secure environment for analysis and data storage, allow control over who can access the data, and prevent identifiable data from leaving the safe haven. Public confidence in such systems is essential, hence the need for ongoing engagement with the public, with clients and with patients.

Dr Steven Pavis (Head of Programmes, Information Services Division (ISD) of National Services Scotland, NHS) discussed the Scottish Informatics and Linkage Collaboration (SILC) - an umbrella body for 'big data' initiatives. SILC aims to facilitate this balance between individual and public interest through promoting best practice in anonymised linkage, creating partnerships and networks across sectors (academia, public and commercial sectors), and sharing resources and expertise to create efficient public services.

Group session 1: what do people want to use linked data for?

Small groups comprising a mixture of disciplines generated ideas on what stakeholders wished to use linked data for. Group ideas were then pooled in a plenary session and key themes were extracted. Table 1 lists the key themes and gives illustrative examples from the discussion.

Table 1. Suggested potential uses of linked health and social care data

Theme	Example
Mapping trajectories in health and social care	Mapping the trajectory of increasing dependency in older people over time
Refining identification of at-risk populations	People at risk of hospitalisation or care home admission
Evaluation of interventions, especially public health interventions	Data collection to allow evaluation of new service models in social care
Improving data quality in healthcare research	Social care outcome measures in clinical trials
Building integrated services	Understanding the total need across both health and social care for individuals and groups

Other important themes included using linked data to plan services and monitor results of policy changes; to examine inequality in health and social care; to drive integrated cost evaluations, and to identify novel health and social care interventions for future testing. Driving convergence of health and social care culture was mentioned as another potential benefit, and if linked data were available in real time at the operational level, this could significantly reduce duplication and redundancy in assessment of clients.

Group session 2: barriers and solutions

Each group was given a single area from Session 1; group ideas were then pooled in a plenary session, with key themes are listed in Table 2.

Table 2. Barriers and solutions to using linked health and social care data

Barriers	Potential Solutions
<i>1. Mapping trajectories in health and social care</i>	
<p>Lack of capacity for complex modelling</p> <p>Inconsistency in coding of data</p> <p>Lack of understanding of what data means</p>	<p>Investment encouraging widening capacity, including in academia</p> <p>Feedback to end users</p> <p>Develop common coding 'minimum datasets'</p> <p>Clarity – written metadata used in analysis and by data providers.</p>
<i>2. Refining identification of at-risk populations</i>	
<p>Insufficient data quality; inaccurate, missing or unavailable data</p> <p>Some prescribing data (especially hospital prescribing) not available electronically</p>	<p>Feedback from users to data providers to improve data quality</p> <p>Work required to better understand data availability and limitations</p> <p>Electronic prescribing</p>
<i>3. Evaluation of interventions</i>	
<p>Lack of incentives to evaluate properly</p> <p>Inadequate critical thinking within (national and local) government, National Health Service and the private and third sectors</p>	<p>Body with teeth required to enforce need for evaluation</p> <p>Guidelines for evaluation, resources</p> <p>Commissioned and independently reviewed evaluations</p> <p>Engagement of academia – work requires peer review and recognition of academic impact</p> <p>Better communication of findings</p>
<i>4. Improving data quality in healthcare research</i>	
<p>Understanding what data codes really represent</p> <p>Inconsistency of data</p>	<p>Validate data against gold standards</p> <p>Build relationships with data providers and users to improve data quality</p> <p>Database to feedback issues</p>

	Metadata to describe data
5. <i>Building integrated services</i>	
Consent for data sharing across services	Education – public engagement
System compatibility between services	Standards for data collection and coding
	Single procurement process

Key themes arising from the day

Working relationships across sectors

In order to understand and maximise the usefulness of linked health and social care data, it will be important to communicate with a range of people from multiple sectors and organisations, for example, social workers, medical teams, IT professionals, analysts, data protection officers/solicitors and service planners. Working with academia can realise the potential of these linked data, and collaborations need to be built.

Good practice for sharing, linking and analysing data

It is essential that those involved in sharing, linking and analysing health and social care data follow good practice in order to maintain the trust of service users and the public. Ensuring that the right structures (such as safe havens) are in place, that the right education and training are available, and that safeguards are robust but not unduly restrictive is essential.

Improving our understanding of the data

Work is required to better understand what data are available, the quality of data, and how the same variables are recorded across different social care organisations. Developing a coherent way of measuring, defining and coding data across health and social care would therefore be desirable. In addition, opportunities exist for extending both the reach of data linkage (to a Scotland-wide system) and the depth of linked data (for example adding community nursing records)

Identifying what resources are needed

If organisations wish to utilise linked data to improve evidence around service delivery and research, is the necessary infrastructure and expertise in place for this to happen? Very few analysts have in-

depth knowledge of both health and social care, thus there is a need to train and support analysts from different sectors to both perform and communicate this work.

Using the data

Better data could also lead to improved evidence for service planning, evaluation and policy development. Analysis based on linked health and social care data should greatly improve our evidence base, for example, understanding patient/client pathways, impacts of established interventions and services, researching novel interventions, ensuring staff have the right skills to deal with patient/client needs, and informing the spending of public resources.

At present, data linkage does not occur in real time. However, closer working between health and social care teams would be helped by real-time sharing of client-level data. This could facilitate communication, reduce redundancy, delay and error, and bridge cultural differences between healthcare work and social work. Whilst it would be a mistake to think that data sharing will automatically lead to seamless, smooth, integrated care, it has the potential to assist with this goal. Using aggregate linked data to help solve common problems shared by health and social care is a step along this road.

Dissemination of outputs

Dissemination must encompass a wide range of stakeholders. Feedback to data providers is crucial data quality will improve only if providers can see the consequences of their time and effort. Service users and the public should also be included in dissemination plans; analysts and researchers must demonstrate transparent use of data for the public good.

What does this mean for the social worker at the front-line?

It is crucial that the voice of front-line social work is represented in future efforts to integrate and use health and social care data. Too many IT-driven initiatives have failed to deliver real benefits to the end-user (Greenhalgh *et al* 2013, Cresswell *et al* 2012), and a failure to listen to frontline staff is often a factor. Despite the challenges (Baines *et al* 2014), there are significant benefits that front-line social workers can gain from data linkage – easier access to accurate information on clients, more rapid, efficient communication across traditional working boundaries, better information to understand the client base and shape services that are more responsive and better tailored to clients needs, as well as a research base that is better equipped to inform new policy and service development.

To realise these benefits, social workers must be invited to contribute – and the invitation needs to be accepted. Regular feedback to frontline staff about current and future projects, plus results of relevance to practice must form an integral part of dissemination strategies; social workers in turn can help to improve data quality and hence the usefulness of outputs by engaging with initiatives to collect accurate, consistent and generalizable data. Although experience of health and social care data integration is limited, examples exist (Bardsley *et al* 2011, Australian Institute for Health and Welfare 2014), which provide a base for further learning.

Conclusions and future work

It is noteworthy that the key themes from the day overlapped with the findings of a previous workshop held to explore social and healthcare informatics (Rigby *et al* 2011). The challenge is thus to implement solutions, and four next steps were suggested:

- 1) Disseminate the results of this meeting to service providers in health and social care, to government and to the research community;
- 2) Form an interest group, starting with those present at the meeting, to allow pooling of knowledge and skills in this area
- 3) Construct a website and resource centre be constructed to provide a focus for information and future efforts in this area; possibly hosted by ADRC.
- 4) Organise training events, facilitated by ADRC and the Scottish Government, on emerging datasets to make the research and service communities aware of what data resources are available.

We believe that the Scottish experience holds lessons for other countries with an interest in linking health and social care data, as well as for cross-sector data linkage initiatives in general, and we look forward to sharing our progress in the near future.

References

Authors own 2014

Australian Institute for Health and Welfare: 'Transition care for older people leaving hospital'. Canberra: 2014.

Baines, S., Hill, P., and Garrety, K. (2014) 'What Happens When Digital Information Systems Are Brought Into Health and Social Care? Comparing Approaches to Social Policy in England and Australia'. *Social Policy and Society*, 13(4), pp. 569-578.

Bardsley, M., Billings, J., Dixon, J., Georghiou, T., Lewis, G.H. and Steventon, A. (2011) 'Predicting who will use intensive social care: case finding tools based on linked health and social care data'. *Age and Ageing*, 40(2), pp. 265-270.

Burgess L. Integration of Health and Social Care: International Comparisons. Scottish Parliament Information Centre (SPICe); 2012.

Cresswell, K.M., Worth, A. and Sheikh, A. (2012) 'Integration of a nationally procured electronic health record system into user work practices'. *BMC Medical Informatics and Decision Making*, 12, pp. 15.

Greenhalgh, T. and Keen, J. (2013) 'England's national programme for IT'. *British Medical Journal*, 346: f4130.

Kendig, H., Mealing, N., Carr, R., Lujic, S., Byles, J. and Jorm, L. (2012) 'Assessing patterns of home and community care service use and client profiles in Australia: a cluster analysis approach using linked data'. *Health and Social Care in the Community*, 20(4), pp. 375-387.

Lyons, R.A., Ford D.V., Moore, L., and Rodgers, S.E. (2014) 'Use of data linkage to measure the population health effect of non-health-care interventions'. *Lancet*, 383(9927), pp. 1517-1519.

NHS Scotland. Integrated Resource Framework. 2014. Edinburgh, Scottish Government. <http://www.shiftingthebalance.scot.nhs.uk/initiatives/sbc-initiatives/integrated-resource-framework/> (Accessed 22nd December 2014)

Rigby, M., Hill, P., Koch, S. and Keeling, D. (2011) 'Social care informatics as an essential part of holistic health care: a call for action'. *International Journal of Medical Informatics*, 80(8), pp. 544-554.

The Scottish Government. Integration of health and social care. 2014. Edinburgh. <http://www.scotland.gov.uk/topics/health/policy/adult-health-socialcare-integration> (Accessed 22nd December 2014)

The Scottish Government. Health, Social Care and Housing - data linkage project. 2014. <http://www.scotland.gov.uk/Topics/Statistics/Browse/Health/Datalinking/HealthSocialCareandHous> in (Accessed 22nd December 2014)

