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AMERICAN THORACIC SOCIETY DOCUMENTS

Use of Fractional Exhaled Nitric Oxide to Guide the Treatment of Asthma

An Official American Thoracic Society Clinical Practice Guideline

Sumita B. Khatri, Jonathan M. Iaccarino, Amisha Barochia, Israa Soghier, Praveen Akuthota, Anna Brady, Ronina A. Covar, Jason S. Debley, Zuzana Diamant, Anne M. Fitzpatrick, David A. Kaminsky, Nicholas J. Kenyon, Sandhya Khurana, Brian J. Lipworth, Kevin McCarthy, Michael Peters, Loretta G. Que, Kristie R. Ross, Elena K. Schneider-Futschik, Christine A. Sorkness, and Teal S. Hallstrand; on behalf of the American Thoracic Society Assembly on Allergy, Immunology, and Inflammation

THIS OFFICIAL CLINICAL PRACTICE GUIDELINE OF THE AMERICAN THORACIC SOCIETY WAS APPROVED SEPTEMBER 2021

Abstract

Background: The fractional exhaled nitric oxide ($F_{E_{NO}}$) test is a point-of-care test that is used in the assessment of asthma.

Objective: To provide evidence-based clinical guidance on whether $F_{E_{NO}}$ testing is indicated to optimize asthma treatment in patients with asthma in whom treatment is being considered.

Methods: An international, multidisciplinary panel of experts was convened to form a consensus document regarding a single question relevant to the use of $F_{E_{NO}}$. The question was selected from three potential questions based on the greatest perceived impact on clinical practice and the unmet need for evidence-based answers related to this question. The panel performed systematic reviews of published randomized controlled trials between 2004 and 2019 and followed the Grading of Recommendations, Assessment, Development, and Evaluation (GRADE) evidence-to-decision framework to develop

recommendations. All panel members evaluated and approved the recommendations.

Main Results: After considering the overall low quality of the evidence, the panel made a conditional recommendation for $F_{E_{NO}}$ -based care. In patients with asthma in whom treatment is being considered, we suggest that $F_{E_{NO}}$ is beneficial and should be used in addition to usual care. This judgment is based on a balance of effects that probably favors the intervention; the moderate costs and availability of resources, which probably favors the intervention; and the perceived acceptability and feasibility of the intervention in daily practice.

Conclusions: Clinicians should consider this recommendation to measure $F_{E_{NO}}$ in patients with asthma in whom treatment is being considered based on current best available evidence.

Keywords: nitric oxide; asthma; inhaled steroids; airway disease; type 2 inflammation

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Asthma is a heterogeneous disease that causes variable airflow obstruction and features of airway hyperresponsiveness (AHR) in response to a variety of triggers, including respiratory viral infections, environmental allergens, and physical stimuli such as exercise with its accompanying hyperpnea (1, 2). It is generally well accepted that underlying inflammation of the airways acts in conjunction with structural changes collectively known as airway remodeling to form the pathophysiology of the disease (3). Treatment decisions are typically based on measures of airflow obstruction, the frequency of daytime and nighttime symptoms, and the frequency of exacerbations (1, 2). Individuals with persistent symptoms, or with airflow obstruction at lung function testing and/or who experience asthma exacerbations, are typically treated with daily controller therapies such as inhaled corticosteroids (ICSs) with or without a long-acting β -agonist and/or a leukotriene modifier or long-acting muscarinic antagonist (4, 5). In individuals with uncontrolled asthma, biologic therapies targeting specific inflammatory pathways (e.g., monoclonal antibodies targeting type 2 [T₂] inflammation) are considered. Treatment is adjusted on the basis of the level of asthma control that is achieved with the selected therapy; however, the response to therapy is heterogeneous. Clinicians are in need of complementary tools that can assist them in making informed treatment decisions about the type and intensity of daily controller therapy.

Once the diagnosis of asthma is established, selecting the type of therapy and selecting the optimal dose of therapy for the patient are challenging decisions faced by clinicians. Therapies such as ICSs improve

lung function and asthma control, decrease daytime and nighttime symptoms, and reduce the frequency of asthma exacerbations (6, 7). However, a significant portion of patients do not have a substantive response to therapy, and the response of an individual patient to a given dose of therapy is variable (8–13). Methods that have been developed to further assess the level of airway inflammation to guide corticosteroid responsiveness, such as inducing sputum to measure eosinophils, are not widely available (14–18). Other measures such as AHR (19–21) and peak expiratory flow variability (22) can also provide information that is useful to clinicians but require more involved testing. Recently, measuring biomarkers of T₂ inflammation by focusing on peripheral blood eosinophils has been used to direct therapy and has become essential in the era of biologically targeted therapies toward T₂ inflammation in asthma (23).

Nitric oxide (NO) is a gas that can be measured in the exhaled breath. Measuring the fraction of this gas during a steady-state exhalation, called the fractional exhaled NO (F_{ENO}), is a standardized and quantitative method for assessing the levels of this gas in exhaled breath (24). The source of F_{ENO} comes from the action of several different NOS (NO synthase) enzymes, but the principal source of the increased levels of NO that are identified in asthma comes from the iNOS2 (inducible NOS 2) enzyme that is induced in the airway epithelium from inflammation (25–27). Functions that have been attributed to NO include actions as a vasodilator, bronchodilator, neurotransmitter, and mediator of inflammation (28). A number of these roles may be protective in nature, which led to trials to augment the levels of NO in the airways through the administration of arginine and citrulline in selected individuals with asthma (29, 30). There are also several

homeostatic functions attributed to NO, including bactericidal and cytotoxic effects that play a role in host defense (31). F_{ENO} correlates well with airway eosinophilic inflammation measured in induced sputum, providing a noninvasive way to assess T₂ airway inflammation in asthma (32–36). Treatment with ICSs results in a marked decrease in a subset of patients with ongoing inflammation (37–39). There is strong evidence that the levels of F_{ENO} correlate with features of T₂ inflammation, particularly the levels of eosinophilia in the peripheral blood and induced sputum (34, 40). In individuals with atopic asthma, F_{ENO} levels tend to correlate with elevated total serum IgE levels or skin prick testing results (41). Although F_{ENO} levels do not correlate well with the degree of baseline airflow obstruction, they tend to correlate well with the severity and features of “indirect” or “endogenous” AHR, such as exercise-induced bronchoconstriction (42–44). Interestingly, F_{ENO} levels change dynamically after bronchoprovocation with an initial decrease during airway narrowing followed by an increase as the airways dilate after the nadir during the late airway response (45, 46).

Notably, an elevated F_{ENO} level is not entirely specific to asthma and levels can be elevated in people with atopy who do not have other features of asthma (47, 48). Recent studies have further delineated the relationship between eosinophilic inflammation and F_{ENO} by suggesting that T₂-targeted biological therapy that blocks IL-13/IL-4 leads to a reduction in F_{ENO} levels (49, 50–52) and that therapies targeting eosinophils with anti-IL-5–directed therapies may also lead to a reduction in F_{ENO} levels (53–55).

After the initial development of using F_{ENO} as a test, a clinical practice guideline was developed by the American Thoracic

Society (ATS) for the interpretation of FE_{NO} in adults, which included general cut points that represent a low FE_{NO} value as being below 25 ppb and an elevated FE_{NO} value as being above 50 ppb, whereas values between 25 and 50 ppb were considered indeterminate (24). In children, the cut points for FE_{NO} were slightly different: a low FE_{NO} value is below 20 ppb, an elevated FE_{NO} value is above 35 ppb, and values between 20 and 35 ppb were considered indeterminate (24). Subsequent to this initial guideline, several well-conducted studies have been completed that have divided FE_{NO} values into more narrowly defined ranges and have ascertained the utility of this test relative to multiple different outcome measurements relevant to asthma.

Several key questions about the use of FE_{NO} testing in clinical practice include the use of this test as an aid in establishing the diagnosis of asthma, for monitoring the response to therapy, and for making initial treatment decisions in an individual once the diagnosis of asthma is established. Because of this gap in knowledge, the ATS commissioned a multidisciplinary panel to select the single most important and immediate question related to the use of the FE_{NO} test to generate evidence-based recommendations to improve patient-centered outcomes. Several systematic reviews have been published on the use of FE_{NO} testing for asthma (56–60). These analyses informed the panel's decision regarding the most critical question based on the perceived impact on patient care, and the panel subsequently selected the most important outcome measures to evaluate the use of FE_{NO} testing in clinical practice. These results provide an evidence-based assessment of the utility of the FE_{NO} test in the management of individuals with asthma in whom treatment is being contemplated and are complementary to guidelines by the National Asthma Education and Prevention Program, the Global Initiative for Asthma guidelines, and the Japanese Respiratory Society guidelines (1, 2, 51).

Target Audience

This practice guideline is designed to provide guidance to clinicians who manage adults and children 4 years of age and older with asthma, including adult and pediatric pulmonologists, adult and pediatric allergists, internists, pediatricians, family medicine specialists, and other healthcare providers involved in the care of patients

with asthma. The use of this guideline is not designed for the evaluation and management of acute asthma but rather is designed for treatment decisions in ambulatory settings for the ongoing management of this common disease.

Methods

This clinical practice guideline was developed in accordance with ATS policies and procedures.

Panel Composition

This guideline was formulated by using a “top-down” approach, with potential population, intervention, comparator, and outcome questions proposed by the Allergy, Immunology, and Inflammation Assembly of the ATS. Two co-chairs (S.B.K. and T.S.H.) were selected by the ATS Documents Development Committee, and the overall project was approved by the ATS Board of Directors. Seventeen panel members were selected on the basis of their expertise in adult and pediatric asthma. The panel was assisted by a methodology team composed of one senior ATS methodologist (J.M.I.) and two ATS Methodology Scholars (I.S. and A. Barochia).

Conflict-of-Interest Declaration and Management

All potential guideline panelists disclosed their conflicts of interest according to ATS policy, and disclosures were reviewed by ATS staff and the ATS Conflict of Interest Committee. There were no conflicts of interests among any of the panelists, chairs, or methodologists, and all were approved to participate without limitations.

Meetings and Conference Calls

Panel members participated via teleconference. Meetings of all panel members were convened in May, July, and December of 2019 and in January of 2020. Additional meetings were also held by the co-chairs and the methodologists as needed to resolve questions and provide guidance.

Formulation of Key Questions and Selection of Outcomes of Interest

The panel discussed three population, intervention, comparator, and outcome questions proposed by the Allergy, Immunology, and Inflammation Assembly of the ATS. After discussion of the potential questions, the panel formally voted and

chose the one question believed to be of the highest priority for this guideline (Table 1). The panel then selected patient-centric outcomes relevant to FE_{NO} testing and voted *a priori* to rank the importance of the outcomes (Table 2). Outcomes were ranked on a scale of 1–9, with scores of 1–3 considered of limited importance for decision-making for this question, scores of 4–6 considered as important but not critical, and scores of 7–9 considered critical for decision-making (61). Outcomes with a mean score of 7 or above were considered critical and included asthma control as assessed by using any validated questionnaire, the use of oral corticosteroids, the acute asthma exacerbation rate, the frequency of emergency room/urgent care visits, and the frequency of asthma-related hospitalizations. The remaining outcomes, including quality of life measures, symptom-free days, days of work/school missed, daily activities/exercise, use of ICSs, use of rescue medications, patient satisfaction with care, adverse events, medication adherence, cost-effectiveness, lung function measures (FEV_1 or FEV_1/FVC ratio), blood eosinophils, asthma-related mortality, asthma medication ratios, and inhaler techniques were determined to be important but not critical to decision-making for this question.

Evidence Review and Development of Clinical Recommendations

The methodologists identified a prior systematic review performed in 2016, which was used in the development of a comparative-effectiveness review by the Agency for Healthcare Research and Quality (AHRQ) (62). In addition to using the results of the aforementioned review, an additional review of the literature was performed from 2016 through July 2019 by using the Medline, Embase, and Cochrane Central databases to identify relevant studies about the effect of FE_{NO} -based care compared with usual care on the outcomes of interest (see Table E1 in the online supplement for the search strategy). Titles and abstracts were screened in duplicate by the senior methodologist and an ATS scholar to determine eligibility for inclusion on the basis of predetermined criteria. All full-text articles, including those included in the AHRQ study, were then reviewed by the two ATS Methodology Scholars (I.S. and A. Barochia). The Preferred Reporting Items for Systematic Reviews and Meta-Analyses flowchart demonstrates the inclusion and

Table 1. Questions Initially Proposed by the Guideline Co-Chairs and Question Selection Results

Proposed Question	Priority*
Should patients with asthma in whom treatment is being contemplated undergo F _{ENO} testing?	10
Should patients being treated for asthma undergo F _{ENO} monitoring?	4
Should patients in whom a diagnosis of asthma is being considered undergo F _{ENO} testing?	3

Definition of abbreviation: F_{ENO} = fractional exhaled nitric oxide.
 *Number of panel members who selected this question as the highest priority.

exclusion criteria of the retrieved studies (Figure 1) (63). The co-chairs and the panel members were consulted to confirm selection of the studies and suggested additional studies not captured by the literature review. After extracting relevant data from each study, analysis was performed for each outcome, with a meta-analysis being performed, as appropriate, by using Cochrane Collaboration Review Manager software, version 5.3 (64). If meta-analysis could not be performed, a narrative review of the results was provided.

A summary of the evidence was prepared on the basis of the Grading of Recommendations, Assessment, Development, and Evaluation (GRADE) approach by using the GRADEpro Guideline

Development Tool online application (<https://gradepro.org/>) (65). Risk of bias in the randomized controlled studies selected was assessed by using the Cochrane Collaboration’s risk of bias tool (66). The confidence of the estimates was evaluated for each outcome of interest by following the GRADE approach (67). Certainty of the evidence was categorized into four levels: high, moderate, low, and very low.

The information was summarized in an evidence-to-decision framework that included a description of the desirable and undesirable effects, the certainty of the evidence, assumptions about patients’ values, resource requirements, cost-effectiveness, the potential effect on health equity, the acceptability of the intervention by key

stakeholders, and the feasibility of implementation (65).

Panel members reviewed the evidence and discussed the evidence-to-decision framework (Tables E2 and E3) via teleconference in December of 2019 and January of 2020. Subsequently, they formulated the final recommendation and approved it by consensus. As per the GRADE approach, recommendations can be labeled as either “strong” or “conditional.” The panel was instructed to use the words “we recommend” for strong recommendations and “we suggest” for conditional recommendations.

Manuscript Preparation

The initial draft of the manuscript was written by the co-chairs with contributions from all panel members. This draft was then reviewed by the entire panel, providing an opportunity to correct and supplement the manuscript to accurately reflect discussions and explanations for the key components of the recommendation. The wording of the final recommendation was not altered after the recommendation was finalized. After approval of the final manuscript by consensus, it was submitted for external peer review.

Peer Review

The guideline underwent anonymous peer review by four content experts and one methodologist. After multiple cycles of review and revision, the guideline was reviewed and approved by a multidisciplinary board of directors. The guideline will be reviewed by the ATS 3 years after publication, and whether updating is necessary will be determined.

Results

Question: Should patients with asthma in whom treatment is being contemplated undergo F_{ENO} testing?

Background. Our committee identified high-priority outcome measurements to assess the utility of measuring F_{ENO} in patients with asthma in whom treatment is being contemplated. Areas prioritized by the committee reflect outcomes that directly indicate uncontrolled asthma as well as questionnaires that are designed to assess asthma control. These areas were prioritized primarily because they

Table 2. Prioritization of the Key Outcome Measurements for This Single-Question Guideline

Outcome Measurement	Mean	SD	Median	IQR
Asthma control	7.94	2.49	8	2
Use of oral corticosteroids	7.93	2.74	8	0.5
Acute exacerbation rate	7.69	2.64	8.5	2
ER or urgent care visits	7.56	2.33	8	2
Hospitalizations due to asthma	7.25	1.99	8	2.25
Quality of life measures*	6.88	1.79	7	2
Symptom-free days	6.69	2.11	7	2
Days of work/school missed	6.69	2.28	7	2.25
Daily activities/exercise/sports	6.63	2.39	7	1.25
Use of inhaled corticosteroids	6.44	2.28	7	2
Use of rescue medications	6.38	1.72	6.5	3
Patient satisfaction with care	6.25	1.86	6.5	3
Medication-related adverse events	6.13	1.39	6.5	2.25
Medication adherence	6.06	1.30	6	4
Lung function measures†	5.63	2.91	5	1.25
Blood eosinophils	4.81	1.48	4.5	3.25
Asthma-related mortality	4.69	1.20	4.5	4.25
Asthma medication ratio	4.69	0.83	4	3.25
Inhalation technique	4.31	1.20	4.5	3.25

Definition of abbreviations: AQLQ = Asthma Quality of Life Questionnaire; ER = emergency room; IQR = interquartile range.

*Any validated questionnaire or instrument (e.g., AQLQ or St. George’s questionnaire).

†FEV₁ or FEV₁/FVC ratio.

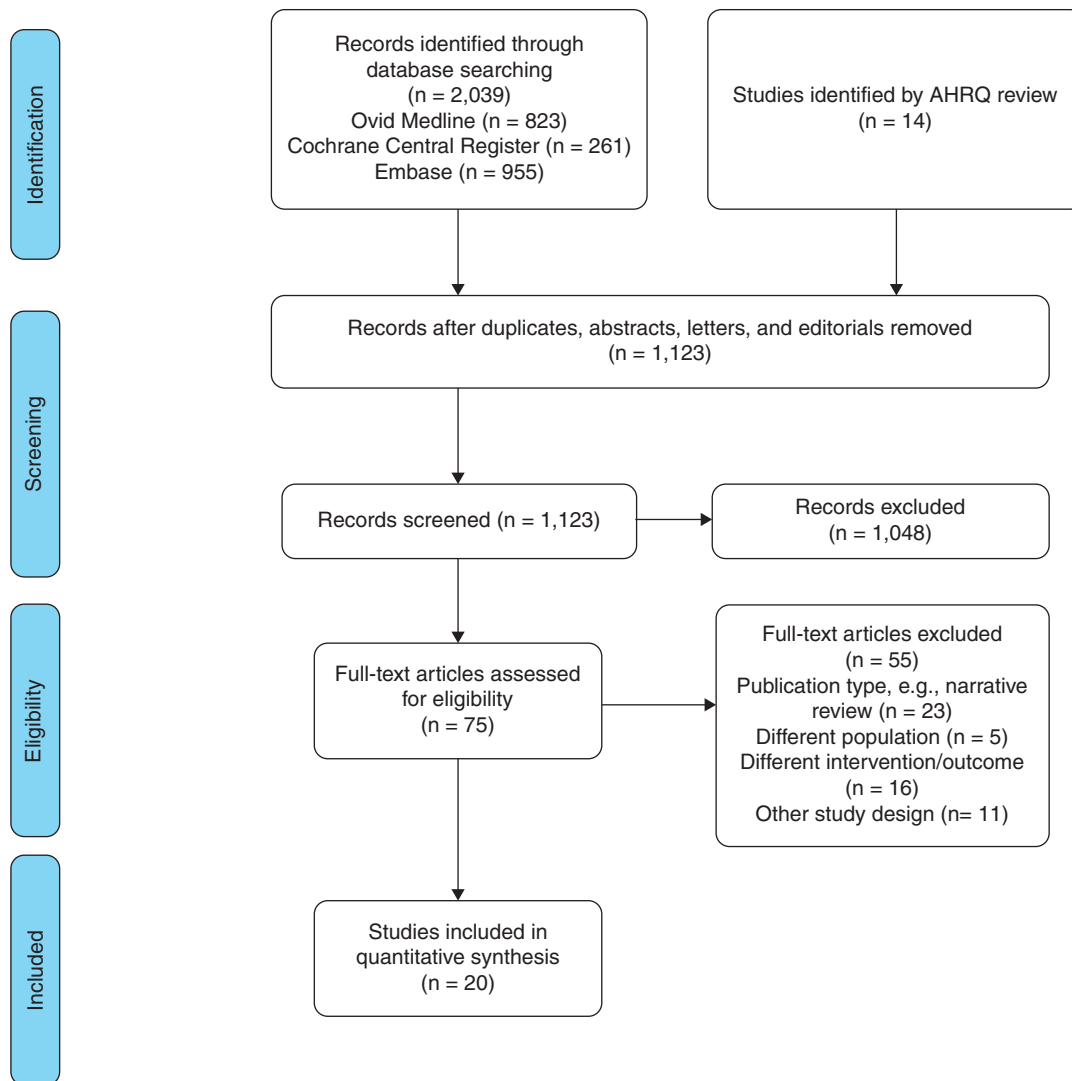


Figure 1. Flowchart summarizing the workflow for the systematic review of the literature to identify studies relevant to this single-question guideline. The methodologists used an existing comparative-effectiveness review as a starting point for the systematic review, which was conducted by using relevant databases. The flowchart that overviews the inclusion and exclusion criteria is presented in the Preferred Reporting Items for Systematic Reviews and Meta-Analyses format. AHRQ = Agency for Healthcare Research and Quality.

represent the desired outcome of appropriately applied therapy for asthma to complement the more patient-centered outcomes such as quality of life and symptom-free days. In the clinic, asthma control is often assessed by using validated questionnaires, including the Asthma Control Test (ACT) and the 7-item Asthma Control Questionnaire (ACQ-7) (68–70). Such patient-reported outcome measures are considered to be clinically relevant because they are strong predictors of future exacerbations (71, 72). Further evidence of asthma control may also be determined from spirometry or other surrogate lung function measurements.

Assessment of $F_{E_{NO}}$ in the clinic may be complementary to these other assessment tools, particularly if there is evidence that the use of $F_{E_{NO}}$ -based care improves specific outcomes that reflect asthma control. In particular, the frequency of exacerbations is a critical endpoint because exacerbations cause significant morbidity, cause mortality in some cases (73, 74), and are associated with increased asthma-related costs (75) and reduced long-term lung function (76–78). Unscheduled visits to the emergency department or urgent care settings, overuse of short-acting β -agonists, and frequent use of oral corticosteroids similarly reflect evidence of

poor asthma control. Overall, therapies such as ICSs have been shown to reduce the frequency of exacerbations (6, 7); however, whether an individual patient should be treated with such a therapy and the dose that is adequate to suppress inflammation may not be apparent without additional diagnostic tests because the intensity of the disease varies from individual to individual and the response to therapy can be heterogeneous. The expert panel therefore focused on these key outcome measures and whether the assessment of $F_{E_{NO}}$ during the evaluation of an individual with asthma leads to a significant change in these outcome

measures. These outcomes described in the next section are available in Table E4, which includes relevant forest plots that display risk ratios for each outcome.

Validated questionnaires assessing asthma control. The ACT and the ACQ-7 are both validated questionnaires that assess asthma control (68–70). The results of these simple assessment tools were viewed by the panel as critical outcome measurements for the utility of $F_{E_{NO}}$ assessment when treatment is being contemplated. The two different questionnaires could not be combined into a single metric, and the results did not identify evidence that $F_{E_{NO}}$ -based care was associated with significant changes in asthma control on the basis of these questionnaires. Scores on the ACT range from 5 to 25, with higher values (>19) reflecting greater levels of asthma control. The minimal important difference (MID) for the ACT is around 3.0; however, the odds of exacerbation and frequency of bronchodilator use rise steeply when the scores are below approximately 17 (70). Although the mean difference (MD) in the ACT score trended toward favoring $F_{E_{NO}}$ -based care, this difference was not statistically significant (MD, 0.40; 95% confidence interval [CI], -0.49 to 1.28). The trend toward favoring $F_{E_{NO}}$ -based care as assessed by using the ACT was entirely from one of two studies, with no overall difference being demonstrated in one study conducted in adolescents and young adults (79) but an overall difference being demonstrated in the other study that was conducted in children (80). Within the childhood study, the effect was much stronger in the younger children for whom the Childhood ACT was used to monitor asthma control (69), with a 1.8-point difference being demonstrated by using the Childhood ACT (80).

The ACQ-7 is designed to identify uncontrolled asthma, with values ≥ 1.5 being associated with poor asthma control and values ≤ 0.75 being associated with good control (68). The MID in this outcome is around 0.5 (81). There was no overall difference in the ACQ-7 scores between $F_{E_{NO}}$ -based care and usual care (MD, -0.01 ; 95% CI, -0.19 to 0.16), and although there was significant heterogeneity between the studies, none of the effect sizes were close to the MID of this instrument. The largest effect favoring $F_{E_{NO}}$ -based care was in a trial that enrolled patients with markedly uncontrolled asthma at the onset of the study, with this study reporting a significant difference in the

ACQ-7 results when using $F_{E_{NO}}$ -based care; however, the differences in the ACQ-7 scores between the groups were fairly small relative to the marked improvement in asthma control identified in both treatment groups (82). The two other studies did not reveal a difference with $F_{E_{NO}}$ -based care, although asthma control was much better at baseline in one study (83); in the other study, the score on the ACQ-7 was used as part of the algorithm to adjust asthma care (84).

Number and frequency of asthma exacerbations. Acute asthma exacerbations were viewed as a critical outcome measure. Studies were identified that reported the frequency of exacerbations (per patient per year) and/or reported exacerbations as the number of patients who experienced an exacerbation and as the relative risk (RR). There were 11 total studies that evaluated the exacerbation frequency (39, 80, 83, 85–92), but only 7 of these studies contributed to the analysis because the variance was not reported in all studies (39, 83, 85, 89–92). Overall, there was a reduction in the frequency of asthma exacerbations, favoring $F_{E_{NO}}$ -based care (MD, -0.15 ; 95% CI, -0.28 to -0.03). The largest effect size within a single study was in a study conducted in children (92); however, the majority of studies contributing to these data reported on either adults alone or adults and children together. The overall certainty for the frequency of exacerbations was considered low.

The rate of exacerbations based on the number of patients in each group was reported in 10 studies with an overall moderate certainty of the evidence (85, 87–95). The overall difference in the number of patients experiencing an exacerbation was significantly lower in the groups that received $F_{E_{NO}}$ -based care (RR, 0.72; 95% CI, 0.56 to 0.93). This result translates on average to 111 fewer exacerbations per 1,000 individuals (95% CI, 175 fewer to 28 fewer). It should be noted that the majority of these studies were conducted in children and that the results favoring a reduction in the number of exacerbations were not as strong in those studies that enrolled adults (85, 89–91).

Oral corticosteroid use. The use of oral corticosteroids was viewed as a critical outcome measure by the panel because it serves as a surrogate measure of exacerbation frequency and severity, and the prevention of oral corticosteroid-associated side effects by dose reduction or omission is likely beneficial to individuals with asthma. A total of six

studies were identified that reported on the number of patients who used oral corticosteroids in each treatment arm. In two of the studies, the number was calculated from the percentage (90, 93). The number of patients treated with oral corticosteroids was significantly reduced when $F_{E_{NO}}$ -based care was used (RR, 0.79; 95% CI, 0.65 to 0.95). This translates into 69 fewer individuals using corticosteroids per 1,000 individuals (95% CI, 115 fewer to 16 fewer). The certainty of this finding was considered moderate. It should be noted that a large portion of the weight of the evidence came from one study in children and adults (79) and that four of the studies were conducted entirely in children (93, 94, 96, 97), but all of these studies were relatively small. All of the included studies with the exception of one small study (97) reported results that favored $F_{E_{NO}}$ -based care.

Emergency room and unscheduled healthcare visits. Emergency room visits, unscheduled healthcare visits, and hospitalizations for asthma were viewed by the panel as critical outcome measures, but there were relatively few data available for each of these measures. Therefore, the certainty of evidence was low to moderate. Three studies reported emergency room and unscheduled healthcare visits as the number of patients in each arm (83, 87, 93), revealing a nonsignificant reduction with using $F_{E_{NO}}$ -based care (RR, 0.67; 95% CI, 0.37–1.22). These studies included both children and adults. Hospitalization for asthma is a relatively rare event, and this outcome was only reported in five studies (79, 83, 87, 88, 93); furthermore, the results could only be combined from three studies (79, 83, 87). Overall, there was no significant difference in the frequency of asthma hospitalizations with data derived from both children and adults (RR, 0.78; 95% CI, 0.36–1.70).

Outcome measures that received a lower priority. The panel ranked a number of patient-centered outcomes as lower priority than the five highest-priority measurements. These patient-centered outcomes included quality of life, symptom-free days, days missed from work or school, daily activities including exercise and sports, and the use of rescue medications.

Three studies evaluated quality of life, but data could not be combined because the studies used slightly different outcome measures. None of the studies showed a significant difference in quality of life with the intervention (83, 84, 96). Specifically, in

adults, there was no difference in the Asthma Quality of Life Questionnaire scores (MD, 0; 95% CI, -0.29 to 0.29) (83) or in the mini-Asthma Quality of Life Questionnaire scores (MD, 0; 95% CI, -0.49 to 0.49) (84), whereas in studies enrolling children, there was no difference in the Pediatric Asthma Caregivers Quality of Life Questionnaire scores (MD, 0; 95% CI, -0.24 to 0.29) (96). Only two studies reported symptom-free days, and these data could not be pooled. Both studies reported numeric improvements in the frequency of symptom-free days, with one study showing 4.1% more symptom-free days (95% CI, -12.8% to 21%) (87) and the other study showing 69% versus 64% symptom-free days ($P = 0.44$) (90); however, these results were not statistically significant. One study addressed the frequency of days missed from school or work, identifying an MD of 1.6 fewer days, but this did not reach statistical significance (95% CI, -6.01 to 2.81 d) (83). No data were identified on the effects on daily activity, exercise, or sports participation.

Multiple studies reported ICS use; however, the different medications and doses that were administered did not allow pooling of the data. The majority of the studies showed no difference in ICS use (80, 83–86, 91, 94–96), but there was evidence in some studies of increased ICS use (39, 87–89), and a few studies showed less ICS use (90, 98). Two studies evaluated rescue inhaler use, and neither the individual studies nor the combined data identified a significant effect on rescue inhaler use (MD, 0.07; 95% CI, -0.16 to 0.30) (80, 90). The committee had identified the asthma medication ratio as a key outcome measure (i.e., the ratio of ICSs to short-acting β -agonists), but no data could be identified. One study reported medication adherence as an outcome measure but did not identify a significant difference in adherence (MD, -0.2; 95% CI, -0.34 to 0.06) (83). Two other studies reported the percentage of adherence in each group, but neither identified a significant difference (80, 90).

Although the panel generally ranked lung function measurements as being lower in priority than other measures, 11 studies reported spirometry testing (79, 80, 82–84, 86–88, 90, 96), and the results of nine of these studies could be pooled for analysis that used the percent change in the FEV₁ as the primary outcome (79, 80, 82–84, 86, 87, 90, 96). The results demonstrate an overall improvement in lung function when FE_{NO}-

based care was used, but the effect size was small (MD, 1.11%; 95% CI, 0.02–2.21%). There was some heterogeneity in the studies, with one pediatric study showing an MD of 4.9% (84); there was no obvious difference overall between pediatric and adult studies, which were equally represented among the results. Although the effect size was small and it is uncertain whether this difference is meaningful to individual patients with asthma, these results may provide additional evidence that FE_{NO}-based care can be used to optimize the dose of ICSs, a class of drugs known to improve baseline lung function in asthma (99).

Patient satisfaction with care and adverse events related to medications both received moderate priority by the panel. One study that focused on home monitoring of FE_{NO}, spirometry, and symptoms to facilitate tapering of oral corticosteroids reported that satisfaction with care on a scale of 1–7 was actually lower in the intervention group receiving FE_{NO} testing, but this difference did not reach statistical significance (MD, 0.5; 95% CI, -1.03 to 0.03). Adverse events were reported in four studies, but adverse events were not reported in a manner that allowed the data to be pooled. Two studies reported adverse events in a manner that could be pooled, but the frequency was low and not necessarily related to the intervention (RR, 0.20; 95% CI, 0.04 to 0.98) (39, 80). The other two studies reported no significant difference in adverse events between the groups (79, 96). Three studies reported the percentage of blood eosinophils, but results could not be combined because of differences in reporting; however, no differences in the peripheral blood eosinophil count were identified (39, 82, 84).

Cost-effectiveness. We conducted a limited pragmatic review of studies addressing cost-effectiveness of the use of FE_{NO}-based care to inform treatment decisions. Eight studies were identified that addressed cost-effectiveness (83, 100–106). Although an initial evaluation of cost-effectiveness concluded that the potential costs outweigh the benefits (106), subsequent analyses of the potential costs relative to the benefits have generally favored the cost-effectiveness of FE_{NO}-based care (102), particularly in individuals who experience exacerbations (101). Importantly, it should be noted that a number of the studies addressing costs also used FE_{NO} testing to monitor disease (83, 103, 105), rather than to answer the specific question addressed in this

guideline, but generally found that FE_{NO}-based care was cost-effective or at least comparable with other strategies (104). One study reported that the use of FE_{NO} testing could reduce the costs by identifying potential responders to a T2 biologic (100). Although these data are relatively limited, the panel concluded that the available evidence probably favors the intervention from a cost-effectiveness standpoint.

Panel judgment. In patients with asthma in whom treatment is being contemplated, the panel believed that the evidence favored the assessment of FE_{NO} during evaluation of an individual with asthma in addition to usual care. The problem outlined in this question was believed to be a priority by ATS leadership as well as by the authors of guidelines on asthma (1, 2). Further interest in this area was reinforced by the frequency of citations of the initial guideline for the use of FE_{NO} testing (24) as well as of the recent analyses conducted by the U.S. AHRQ (62) and a recent systematic review conducted in Europe (107). Although the desirable effects of the intervention were relatively modest in magnitude, the outcome measurements that revealed a desirable effect were prioritized by the panel before the analysis and demonstrated reduced exacerbation frequency (28.9% vs. 39.7%; RR, 0.73 [95% CI, 0.62–0.86]) and oral corticosteroid use (26.0% vs. 32.8%; RR, 0.79 [95% CI, 0.65–0.95]), two critical outcomes of asthma management. The panel also noted that in some of these critical outcomes, the results favoring FE_{NO} testing were generally stronger in the pediatric trials.

The panel also noted that there were no differences in a number of patient-reported outcomes, including measures of quality of life, symptom-free days, missed days of work, and patient satisfaction with care, although the studies were underpowered for these outcomes. Furthermore, there were no differences in ICS use, rescue inhaler use, medication adherence, or blood eosinophil levels; however, the judgment of the panel was that these measurements would not necessarily be altered by the intervention, as the intervention is primarily designed to individualize treatment decisions. With regard to the dose of ICSs, the majority of studies showed no overall difference in the dose of ICSs. Some studies reported that the overall ICS dose actually increased, whereas a small minority of studies reported an overall ICS dose decrease. When considering the

certainty of the evidence, the effects on exacerbation frequency and oral corticosteroid use were graded as moderate, whereas other outcome measures that also reflect asthma control were graded as having low certainty.

The undesirable effects of the intervention were generally deemed to be trivial, with a low overall rate of adverse events (RR, 0.20; 95% CI, 0.04 to 0.98) and absolute effect of 55 fewer per 1,000 (95% CI, 66 fewer to 1 fewer) for $F_{E_{NO}}$ -based care compared with usual care being demonstrated. Although the availability of this diagnostic test may be variable among institutions, the resources required for this test appear to be moderate in cost, although resources such as operator training may be a significant consideration depending on the size of the center and the frequency with which the test is conducted. The cost of the test was another significant consideration; however, our preliminary analysis of cost-effectiveness probably favors the intervention of $F_{E_{NO}}$ -based care, although study methodologies and statistical modeling approaches varied and certain subgroups in which $F_{E_{NO}}$ testing may not be effective were not delineated. The intervention was believed to be acceptable to key stakeholders. Primary care asthma clinics found it feasible and acceptable among adults and children above the age of 4 years, as did healthcare providers managing pregnant patients with asthma (108). Overall, the balance between desirable and undesirable effects probably favored the intervention, with the relative absence of a downside and the potential to determine and reduce exacerbations and reduce oral corticosteroid use being shown.

ATS recommendation. In patients with asthma in whom treatment is being considered, we suggest the use of $F_{E_{NO}}$ testing in addition to usual care over usual care alone (conditional recommendation, low confidence in estimates of effect).

Remarks. Despite nearly 10 years of research since the initial guidelines in this area were published, the available data from randomized control trials remain fairly limited, and some of the selected outcome measures may not have had adequate power to detect a difference. The consensus of the group was that $F_{E_{NO}}$ -based care provides the clinician with a simple and noninvasive point-of-care test that provides complementary information over usual care and that the undesirable effects were small relative to the potential benefit. Given the

relatively modest effect sizes and statistical significance, it is reasonable for individual groups of providers to weigh the potential costs and administrative burden relative to the benefits outlined in this guideline. In addition to providers, stakeholders such as individuals with asthma, payors, and the Food and Drug Administration could reasonably grade other outcomes such as quality of life and symptom-free days as a higher priority.

The recommendation places a high value on asthma exacerbations and reduction in need for oral corticosteroid use, which were categorized as critical outcome measures by this panel and other groups (1, 2). Although the balance of desirable and undesirable effects probably favored the intervention, it was noted by the group that usual asthma care has evolved over the past few years. Although phenotyping and endotyping asthma have become paramount in asthma management, there have been no studies to look at the additional benefit of adding $F_{E_{NO}}$ testing once an asthma phenotype has already been classified, although there is some evidence that $F_{E_{NO}}$ testing can be used as an assessment tool for the use of biologics. Furthermore, it has not been determined whether there are subgroups in which $F_{E_{NO}}$ measurement should not be used because the intervention may not be cost-effective or beneficial for achieving the desired outcomes.

Future research opportunities. There are several limitations identified in this report and other contemporary systematic reviews regarding the use of $F_{E_{NO}}$ testing that should be further evaluated. Larger pragmatic randomized controlled trials that are powered on the basis of the available evidence should be conducted to more clearly delineate the benefit of $F_{E_{NO}}$ -based care in patients with asthma in whom treatment is being considered. There is similarly a need for larger trials that evaluate the use of $F_{E_{NO}}$ testing to monitor therapy with serial measurements once the $F_{E_{NO}}$ level is established, and there is a need to better delineate the potential diagnostic accuracy of $F_{E_{NO}}$ testing as a tool to establish the diagnosis of asthma. Timing of the initial assessment of $F_{E_{NO}}$ is also uncertain because there are anticipated differences between the values when individuals with asthma are medication naive and the values when establishing the initial $F_{E_{NO}}$ value while individuals are on a stable dose of therapy, as

was the case in the majority of trials identified in this report.

Another area that requires further investigation is specific subgroups with asthma and the need to adequately power future clinical studies and trials specifically for analyses of these subgroups. Pertinent subgroups include individuals with T2-predominant asthma as well as individuals with allergic sensitization, in whom the value may be greater; however, the value added to other currently used tests such as those measuring peripheral blood eosinophils and allergen-specific IgE needs further delineation. Studies should also further evaluate subgroups in which $F_{E_{NO}}$ -based care may be less helpful, as there are lower anticipated levels in subgroups such as individuals with obesity-associated asthma (109) and cigarette smokers (110–112). There were also notable differences between children and adults in the studies evaluated for this guideline, indicating that larger studies are needed to further define the benefits within these different populations.

Discussion

The recommendations in this single-question guideline were derived from our systematic review of the available evidence and our interpretation of how the evidence may be applied in clinical practice. We gave a conditional recommendation for the use of $F_{E_{NO}}$ testing in addition to usual care in patients with asthma in whom treatment is being considered. This single-question guideline incorporated a decision structure in which experts in the $F_{E_{NO}}$ field initially made a determination about the question with greatest potential impact. An expert panel including individuals with diverse backgrounds in various practice settings and in different regions of the world was assembled to provide input about the selection of the most important single question and to identify the key outcome measures. This guideline is not meant to be all inclusive regarding the use of $F_{E_{NO}}$ testing in clinical practice but was designed to answer this single question in a timely manner by using rigorous statistical methods to evaluate the evidence.

The decision that was reached was based primarily on the supportive evidence of effects on the frequency and percentage of asthma exacerbations as well as the need for treatment with oral corticosteroids, which

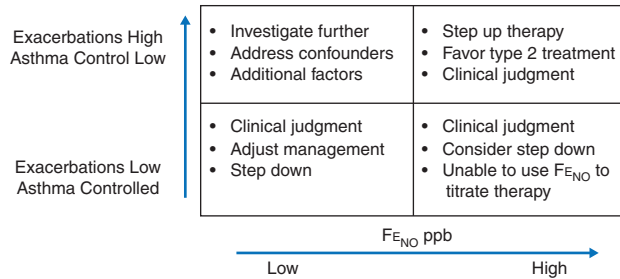


Figure 2. Conceptual framework for the use of fractional exhaled nitric oxide ($F_{E_{NO}}$) testing to guide treatment decisions for individuals with asthma. The decision to act on an individual $F_{E_{NO}}$ value in an individual patient requires that the clinician combine clinical judgments based on the perceived probability of benefit, with particular attention being given to the key outcome measures such as exacerbation risks that were assessed in this guideline. As the level of $F_{E_{NO}}$ increases in value, the specificity for a step up in therapy increases, whereas accepting lower values of $F_{E_{NO}}$ to make treatment decisions places a higher value on the sensitivity to detect the possibility that a step up in therapy may impact asthma control.

were deemed by the panel *a priori* to be critical outcome measures. It should also be noted that the summation of the evidence for several other outcome measures that were deemed a high priority by the panel—asthma control as assessed by using validated questionnaires and acute care visits for asthma, including emergency department visits, urgent care visits and hospitalizations—did not reach statistical significance, but each of these outcomes had mean values that favored $F_{E_{NO}}$ -based care. The conditional recommendation means that there is some uncertainty that the desirable consequences of the intervention outweigh the undesirable consequences. This decision means that most well-informed patients would choose $F_{E_{NO}}$ -based care but that a substantial minority of individuals with asthma may not desire this test and that the decision to conduct this test in an individual patient should be the result of an informed discussion between that individual and the provider.

An important caveat about the results of our recommendation to use $F_{E_{NO}}$ testing when treatment is considered for an individual with asthma is that the precise $F_{E_{NO}}$ value that should initiate a change in decision-making regarding therapy for asthma was not ascertained by the available evidence. In the initial guidelines in this area, a low $F_{E_{NO}}$ value of 25 ppb (20 ppb in children) was considered evidence that a

response to the corticosteroids was unlikely, a high $F_{E_{NO}}$ value above 50 ppb (35 ppb in children) was considered evidence of a likely corticosteroid response, and values between these two boundaries were considered indeterminate. Subsequent studies have used much more narrowly defined ranges for $F_{E_{NO}}$ values, with various algorithms being used to make treatment decisions on the basis of the results. Because of the variability in these studies as well as the inherent phenotypic variability of asthma, it was the consensus of the committee that the available evidence did not provide enough data to recommend specific cut points associated with specific actions such as starting or increasing the dose of an ICS. Although we did not reach this aspirational goal, we believe that the level of $F_{E_{NO}}$ should be combined with other measures that are used to assess asthma control and that the level of $F_{E_{NO}}$ should be interpreted within this context of the pretest probability (Figure 2). This framework is similar to that of other tests such as bronchoprovocation tests, in which the pretest probability combined with the degree of AHR act together to establish the likelihood of asthma (113). The decision of an individual clinician to use a lower $F_{E_{NO}}$ value to identify persistent inflammation means that the sensitivity to detect inflammation is being prioritized over the specificity that a change in treatment will make a difference. Similarly, a decision to use

a higher $F_{E_{NO}}$ value assigns greater priority to the specificity of the finding at the cost of reducing sensitivity (62). The consensus of the panel was to give the clinician latitude within their own practice to use this framework to make treatment decisions.

The panel also recognizes that the landscape of asthma management is in continual evolution. The majority of the patients considered within these studies were already being treated with a stable ICS dose at study enrollment, meaning that the question that was examined is most pertinent to the decision-making that is often encountered during subspecialty consultation for an individual with asthma. Furthermore, it is now common to evaluate individuals for evidence of T2 biomarkers such as peripheral blood eosinophils and serum total and allergen-specific IgE (41). The value added for the use of $F_{E_{NO}}$ testing in an individual who has already undergone phenotyping for T2 asthma or other advanced testing such as bronchoprovocation or assessment of induced sputum eosinophils was not addressed in a manner that was amenable to systematic review. Furthermore, there is evidence that some subgroups such as individuals with asthma associated with obesity and individuals who smoke cigarettes have lower $F_{E_{NO}}$ values and that the value of $F_{E_{NO}}$ -based care may be limited in these individuals (109–112). It should also be noted that if such individuals with low $F_{E_{NO}}$ values were specifically excluded from the analysis, the results could be more strongly in favor of the use of $F_{E_{NO}}$ testing when making treatment decisions in an individual with asthma.

Finally, we would like to reiterate that we selected the single question with the greatest significance to clinical practice as perceived by our panel. Leadership in the ATS also identified two additional questions relevant to the use of $F_{E_{NO}}$ testing that were not addressed in this guideline. In this regard, we view our analysis as being complementary to other broader guidelines on this topic.

The recommendations in this guideline were reviewed by the ATS Quality Improvement and Implementation Committee and none are considered suitable for performance measure development. ■

This official clinical practice guideline was prepared by an *ad hoc* subcommittee of the ATS Assembly on Allergy, Immunology, and Inflammation.

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