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Rare coding variants in 35 genes associate with circulating lipid levels – a multi-ancestry analysis of 170,000 exomes

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297 **Abstract**

298 Large-scale gene sequencing studies for complex traits have the potential to identify
299 causal genes with therapeutic implications. We performed gene-based association
300 testing of blood lipid levels with rare (minor allele frequency<1%) predicted damaging
301 coding variation using sequence data from >170,000 individuals from multiple
302 ancestries: 97,493 European, 30,025 South Asian, 16,507 African, 16,440
303 Hispanic/Latino, 10,420 East Asian, and 1,182 Samoan. We identified 35 genes
304 associated with circulating lipid levels. Ten of these: *ALB*, *SRSF2*, *JAK2*, *CREB3L3*,
305 *TMEM136*, *VARS*, *NR1H3*, *PLA2G12A*, *PPARG* and *STAB1* have not been
306 implicated for lipid levels using rare coding variation in population-based samples.
307 We prioritize 32 genes identified in array-based genome-wide association study
308 (GWAS) loci based on gene-based associations, of which three: *EVI5*, *SH2B3*, and
309 *PLIN1*, had no prior evidence of rare coding variant associations. Most of the
310 associated genes showed evidence of association in multiple ancestries. Also, we
311 observed an enrichment of gene-based associations for low-density lipoprotein
312 cholesterol drug target genes, and for genes closest to GWAS index single
313 nucleotide polymorphisms (SNP). Our results demonstrate that gene-based
314 associations can be beneficial for drug target development and provide evidence that
315 the gene closest to the array-based GWAS index SNP is often the functional gene for
316 blood lipid levels.

317

318 **Introduction**

319 Blood lipid levels are heritable complex risk factors for atherosclerotic cardiovascular
320 diseases.¹ Array-based genome-wide association studies (GWAS) have identified
321 >400 loci as associated with blood lipid levels, explaining 9-12% of the phenotypic
322 variance of lipid traits.²⁻⁸ These studies have identified mostly common (minor allele
323 frequency (MAF)>1%) noncoding variants with modest effect and helped define the
324 causal roles of different lipid fractions in cardiovascular disease.⁹⁻¹³ Despite these
325 advances, the mechanisms and causal genes for most of the identified variants and
326 loci have not yet been determined.

327

328 Conventional GWAS with array-derived or imputed common variants are unlikely to
329 directly implicate causal genes, while genetic association studies testing rare variants
330 in coding regions have this potential. Advances in next generation sequencing over
331 the last decade have facilitated increasingly larger studies with improved power to
332 detect associations of rare variants with complex diseases and traits.^{14; 15} However,
333 most exome sequencing studies to date have been insufficiently powered for rare
334 variant discovery; for example, Flannick et al. estimated that it would require 75,000
335 to 185,000 sequenced cases of type 2 diabetes (T2D) to detect associations at
336 known drug target genes at exome-wide significance.¹⁵

337

338 Identifying rare variants with impact on protein function has helped elucidate
339 biological pathways underlying dyslipidemia and atherosclerotic diseases such as
340 coronary artery disease (CAD).^{14; 16-25} Successes using this approach have led to the
341 development of novel therapeutic targets to modify blood lipid levels and lower risk of
342 atherosclerotic diseases.^{26; 27} The vast majority of participants in these studies have

343 been of European ancestry, highlighting the need for more diverse study sample.
344 Such diversity can identify associated variants absent or present at very low
345 frequencies in European populations and help implicate new genes with
346 generalizability extending to all populations.

347

348 We have assembled exome sequence data from >170,000 individuals across
349 multiple ancestries and systematically tested the association of rare variants in each
350 gene with six circulating lipid phenotypes: low-density lipoprotein cholesterol (LDL-C),
351 high density lipoprotein cholesterol (HDL-C), non-HDL-C, total cholesterol (TC),
352 triglycerides (TG), and the ratio of TG to HDL-C (TG:HDL). We find 35 genes
353 associated with blood lipid levels, show evidence of gene-based signals in array-
354 based GWAS loci, show enrichment of lipid gene-based associations in LDL-C drug
355 targets and genes in close proximity with GWAS index variants, and test lipid genes
356 for association with CAD, T2D, and liver enzymes.

357

358 **Subjects and Methods**

359 **Study Overview**

360 Our study samples were derived from four major data sources with exome or
361 genome sequence data and blood lipid levels: CAD case-control studies from the
362 Myocardial Infarction Genetics Consortium (MIGen, n = 44,208) and a UKB nested
363 case-control study of CAD (n = 10,689); T2D cases-control studies from the AMP-
364 T2D-GENES exomes (n = 32,486); population-based studies from the TOPMed
365 project freeze 6a data (n = 44,101) restricted to the exome, and the UKB first tranche
366 of exome sequence data (n = 40,586) (see **Supplementary Note**). Informed consent

367 was obtained from all subjects and committees approving the studies are available in
368 the supplement.

369

370 Within each data source, individuals were excluded if they failed study-specific
371 sequencing quality metrics, lacked lipid phenotype data, or were duplicated in other
372 sources. We additionally removed first- and second-degree relatives across data
373 sources while we kept relatives within each data source since we were able to adjust
374 for relatedness within each data source using kinship matrices in linear mixed
375 models. If samples from the same study were present in different data sources, we
376 used the samples in the data source which has the largest sample size from the
377 study and removed the overlapping set from the other data source. For instance,
378 samples from the Atherosclerosis Risk in Communities (ARIC) Study were removed
379 from TOPMed and kept in MIGen which had more sequenced samples from ARIC.
380 Similarly, samples from the Jackson Heart Study were kept in TOPMed and removed
381 from MIGen. To obtain duplicate and kinship information across data sources we
382 used 14,834 common (MAF>1%) and no more than weakly dependent ($r^2 < 0.2$)
383 variants using the make-king flag in PLINK v2.0. Single-variant association analyses
384 were performed within each data source, case-status, and ancestry combination. The
385 data were sequenced and variant calling performed separately by data source and
386 this allowed us to look for effects by case-status and genetically-inferred and/or self-
387 reported ancestry groups. We performed gene-based meta-analyses by combining
388 single-variant summary statistics and covariance matrices generated from
389 RVTESTS.²⁸ We performed ancestry-specific gene-based meta-analyses by
390 combining single-variant summary data from five major ancestries with >10,000

391 across all data sources: European, South Asian, African, Hispanic, and East Asian
392 ancestries.

393

394 **Phenotypes**

395 We studied six lipid phenotypes; total, LDL-C, HDL-C, non-HDL-C, TG and TG:HDL.

396 TC was adjusted by dividing the value by 0.8 in individuals reporting lipid lowering

397 medication use after 1994 or statin use at any time point. If LDL-C levels were not

398 directly measured, then they were calculated using Friedewald equation for

399 individuals with TG levels < 400 mg/dl using adjusted TC levels. If LDL-C levels were

400 directly measured then, their values were divided by 0.7 in individuals reporting lipid

401 lowering medication use after 1994 or statin use at any time point.⁵ TG and TG:HDL

402 levels were natural logarithm transformed. Non-HDL-C was obtained by subtracting

403 HDL-C from adjusted TC levels. Residuals for each trait in each cohort, ancestry, and

404 case status grouping were created after adjustment for age, age², sex, principal

405 components, sequencing platform, and fasting status (when available) in a linear

406 regression model. Residuals were then inverse-normal transformed and multiplied by

407 the standard deviation of the trait to scale the effect sizes to the interpretable units.

408

409 **Sequencing and Quality Control**

410 *Myocardial Infarction Genetics Consortium (MIGen)*

411 A set of common variants was extracted for sample quality control including relative

412 inference, principal component analysis, and estimation of heterozygosity. SNPs on

413 autosomes and not in low complexity regions or segmental duplications were

414 extracted. SNPs with quality of depth (QD) > 2, call rate >98%, self-reported-race-

415 specific Hardy-Weinberg equilibrium (HWE) p-value >1×10⁻⁸, Variant Quality Score

416 Recalibration (VQSR) of PASS and MAF>1% were retained. Sample relatedness
417 was estimated with KING and duplicate samples removed. Genetically inferred
418 ancestry was assigned to each individual by calculating principal components jointly
419 with 1000 Genomes phase 3 version 5 and building a 5-Nearest Neighbor classifier
420 using the top 6 principal components. Heterozygosity was estimated within each
421 genetic ancestry group and samples with F statistic above 0.3 were removed.
422 Genetic sex was inferred based on high quality X-chromosome variation including
423 variants with call rate >0.95, MAF>2%, a PASS VQSR, QD>3 if the variant is an
424 insertion or deletion and QD>2 if it is SNP. Samples with discordant phenotypic sex
425 and genetic sex were removed. Finally, sample quality control metrics were
426 calculated using Hail and samples with call rate<0.9, a mean depth (DP)<30 and
427 mean genotype quality (GQ)<0.8 were excluded. A total of 44,240 samples with lipid
428 data measurements were included after further excluding duplicates and relatives
429 with other data sources (**Table S1**).

430

431 Variant quality control was performed amongst remaining samples and a total of
432 8,716,575 autosomal variants were included after removing those that fail HWE as
433 calculated by genetic ancestry group ($p\text{-value} < 1 \times 10^{-8}$), lie in low complexity regions
434 or segmental duplications, with inbreeding coefficient < -0.3, are insertions or
435 deletions with $QD \leq 3$ or SNPs with $QD \leq 2$ or variants where VQSR does not PASS
436 with the exception of singletons where variants with VQSRTrancheSNP99.60to99.80
437 were retained.

438

439 *Trans-Omics for Precision Medicine (TOPMed)*

440 Whole genome sequencing at 30X mean depth was performed at one of six
441 sequencing centers: Broad Institute of MIT and Harvard, Northwest Genomics
442 Center, New York Genome Center, Illumina Laboratory Services, Psomagen, Inc.
443 (formerly MacroGen USA), Baylor College of Medicine Human Genome Sequencing
444 Center. For most studies, all individuals in the study were sequenced at the same
445 center. Sequence reads were aligned to human genome build GRCh37 or GRCh38
446 at each center using similar, but not identical, processing pipelines. The resulting
447 sequence data files were transferred from all centers to the TOPMed Informatics
448 Research Center (IRC), where they were re-aligned to build GRCh38, using a
449 common pipeline to produce a set of ‘harmonized’ .cram files. Processing was
450 coordinated and managed by the ‘GotCloud’ processing pipeline. The IRC performed
451 joint genotype calling on all samples. Quality control was performed at each stage of
452 the process by the Sequencing Centers, the IRC, and the TOPMed Data
453 Coordinating Center (DCC). Only samples that passed QC were included in the call
454 set.

455

456 The two sequence quality criteria that were used to pass sequence data on for joint
457 variant discovery and genotyping are: estimated DNA sample contamination below
458 3%, and fraction of the genome covered at least 10x 95% or above. DNA sample
459 contamination was estimated from the sequencing center read mapping using
460 software `verifyBamId`.²⁹

461

462 The genotype used for analysis are from “freeze 6a” of the variant calling pipeline
463 performed by the TOPMed Informatics Research Center (Center for Statistical
464 Genetics, University of Michigan, Hyun Min Kang, Tom Blackwell and Gonçalo

465 Abecasis). Variant detection (SNPs and indels) from each sequenced (and aligned)
466 genome was performed by the vt discover2 software tool. The variant calling
467 software tools are under active development; updated versions can be accessed at
468 <http://github.com/atks/vt>, <http://github.com/hyunminkang/apigenome>, and
469 https://github.com/statgen/topmed_variant_calling.

470

471 One individual from duplicate pairs identified by the DCC was removed, retaining the
472 individual with lipid levels available when one did not have lipid levels. If both
473 individuals had lipid levels, one individual was randomly selected. Individuals were
474 excluded when their genotype determined sex did not match phenotype reported sex
475 (n=6) and individuals <18 years old were excluded (n=865). Ancestry was defined as
476 self-reported ancestry.

477

478 *AMP-T2D-GENES*

479 Sequencing and quality control were performed as previously described.¹⁵ Following
480 sequencing and variant calling, we measured samples and variants according to
481 several sequence quality metrics and excluded those that were outliers relative to the
482 global distribution. These exclusions produced an “analysis” dataset of 45,231
483 individuals and 6.33M variants. We then estimated, within each ancestry, pairwise
484 IBD values, genetic relatedness matrices (GRMs), and PCs for use in downstream
485 association analysis. We used the IBD values to generate lists of unrelated
486 individuals within each ancestry, excluding 2,157 individuals from an “unrelated
487 analysis” set of 43,090 individuals (19,828 cases and 23,262 controls) and 6.29M
488 non-monomorphic variants.

489

490 *UK Biobank*

491 We used two UKB datasets with exome sequence data. The first is a CAD case
492 control study with 12,938 individuals. 29 samples were removed as they had
493 discordant genotypes with genotyping array data, 17 showed mismatch between the
494 reported and genetically inferred sex, 4 had excess heterozygosity and 6 had a call
495 rate <95%. To perform the sex-mismatch analyses, variants on the X-chromosome
496 were selected after filtering out low quality genotypes, call rate<95%, MAF<2%, low
497 QD score (3 for INDELs and 2 for SNPs), low confidence regions and segmental
498 duplications and those that do not have PASS VQSR. A set of high quality common
499 autosomal variants were extracted for relative inference, principal component
500 analysis, and estimation of heterozygosity after removing low confidence regions and
501 segmental duplications, low quality genotypes, QD<2, call rate<98%, self-reported
502 ancestry-specific HWE $p > 1 \times 10^{-6}$ among controls, MAF<1% and do not have PASS
503 VQSR. Heterozygosity was estimated within each ancestry and samples with F
504 statistic>2 were removed. Genetically inferred ancestry was obtained using the 1000
505 Genomes as reference. Sample QC metrics were then calculated in HAIL using
506 autosomal variants after filtering out low-quality genotypes, variants with ancestry-
507 specific HWE $p < 1 \times 10^{-6}$, low confidence regions and segmental duplications, low QD
508 score (3 for INDELs and 2 for SNPs) and those that do not have PASS VQSR.
509 Samples with call rate below 95%, mean DP below 30 and mean GQ below 80 were
510 removed. Variant QC was done through filtering out monomorphic variants, call rate
511 below 95%, those with HWE ($p < 1 \times 10^{-6}$), lie in low confidence regions or segmental
512 duplications, are insertions or deletions with QD ≤ 3 or SNPs with QD ≤ 2 or
513 variants where VQSR does not PASS unless singleton in which case retain those
514 with VQSRTrancheSNP99.60to99.80. A total of 11,216 PC-identified European

515 ancestry participants were included after additional removal of duplicates and
516 relatives across data sources. A total of 2,734,519 variants were included.

517

518 The second UKB data set is a population-based dataset. Samples were filtered out if
519 they showed mismatch between genetically determined and reported sex, high rates
520 of heterozygosity or contamination (D-stat > 0.4), low sequence coverage (<85% of
521 targeted bases achieving >20X coverage), duplicates, and exome sequence variants
522 discordant with genotyping chip. More details are described elsewhere.³⁰ The
523 "Functionally Equivalent" (FE) call set was used.³¹ A total of 43,243 PC-identified
524 European ancestry individuals were included after additional removal of duplicates
525 and relatives across data sources.

526

527 ***Variant Annotation***

528 We compiled autosomal variants with call rate>95% within each case and ancestry
529 specific analysis dataset with MAC \geq 1 (across the combined data). Variants were
530 annotated using the Ensembl Variant Effect Predictor³² and its associated Loss-of-
531 Function Transcript Effect Estimator (LOFTEE)³³ and the dbNSFP³⁴ version 3.5a
532 plugins. We limited our annotations to the canonical transcripts. The LOFTEE plugin
533 assesses stop-gained, frameshift, and splice site disrupting variants. Loss-of-function
534 variants are classified as either high confidence or low confidence. The dbNSFP is a
535 database that provides functional prediction data and scores for non-synonymous
536 variants using multiple algorithms.³⁴ This database was used to classify missense
537 variants as damaging using two different definitions based on bioinformatic prediction
538 algorithms. The first is based on MetaSVM³⁵ which is derived from 10 different
539 component scores (SIFT, PolyPhen-2 HDIV, PolyPhen-2 HVAR, GERP++,

540 MutationTaster, Mutation Assessor, FATHMM, LRT, SiPhy, PhyloP). The second is
541 based on 5 variant prediction algorithms including SIFT, PolyPhen-2 HumVar,
542 PolyPhen-2 HumDiv, MutationTaster and LRT score. Additionally, we ran a deep
543 neural network analysis (Splice AI) to predict splice-site altering variants.³⁶ Multi-
544 ancestry and ancestry-specific variant descriptive analyses were performed using
545 variant-specific statistics obtained from the largest sample size out of the 6
546 phenotypes.

547

548 **Single-Variant Association Analysis**

549 Each data source was sub-categorized based on ancestry and CAD or T2D case
550 status in the studies ascertained by disease status. Subgrouping data sources
551 yielded a total of 23 distinct sample sub-categories. As relatives were kept within
552 each sub-group, we performed generalized linear mixed models to analyze the
553 association of single autosomal variants with standard-deviation corrected-inverse-
554 normal transformed traits using RVTESTS.²⁸ RVTESTS was used to generate
555 summary statistics and covariance matrices using 500 kilobase sliding windows. To
556 obtain the single-variant associations, we performed a fixed-effects inverse-variance
557 weighted meta-analysis for multi-ancestry and within each of the five major
558 ancestries. An exome-wide significance threshold of $P < 7.2 \times 10^{-8}$ (Bonferroni
559 correction for six traits and using previously recommended threshold for coding
560 variants $P < 4.3 \times 10^{-7}$)³⁷ was used to determine significant coding variants.

561

562 **Gene-Based Association Analysis**

563 We used summary level score statistics and covariance matrices from autosomal
564 single-variant association results to perform gene-based meta-analyses among all

565 individuals and within each ancestry using RAREMETALS version 7.2.³⁸ Samoan
566 individuals only contributed to the overall analysis. Gene-based association testing
567 aggregates variants within each gene unit using burden tests and SKAT which allows
568 variable variant effect direction and size.³⁹ The “rareMETALS.range.group” function
569 was used with MAF<1%, which filters out all variants with combined MAF>1% in all
570 meta-analytic datasets. All variants with call rates<95% and not annotated as LOF
571 using LOFTEE, splice-site variants or damaging missense as defined by MetaSVM or
572 by all SIFT, PolyPhen-2 HumVar, PolyPhen-2 HumDiv, MutationTaster and LRT
573 prediction algorithms (Damaging 5 out of 5) were excluded in the gene-based meta-
574 analyses.

575

576 We used 6 different variant groupings to determine the set of damaging variants
577 within each gene, 1) high-confidence LOF using LOFTEE, 2) LOF and predicted
578 splice-site altering variants, 3) LOF and MetaSVM missense variants, 4) LOF,
579 MetaSVM missense and predicted splice-site altering variants, 5) LOF and damaging
580 5 out 5 missense variants, and 6) LOF, damaging 5 out 5 missense and predicted
581 splice-site altering variants. An exome-wide significance threshold of $P < 4.3 \times 10^{-7}$,
582 Bonferroni corrected for the maximum number of annotated genes ($n=19,540$) and
583 six lipid traits, was used to determine significant coding variants. Two gene
584 transcripts, *DOCK6* and *DOCK7*, that overlap with two well-studied lipid genes,
585 *ANGPTL8* and *ANGPTL3*, respectively, met our exome-wide significance threshold.
586 After excluding variation observed in *ANGPTL8* and *ANGPTL3*, *DOCK6* and *DOCK7*,
587 respectively, were no longer significant and have been excluded as associated
588 genes.

589

590 We performed a series of sensitivity analyses for our results. We repeated the multi-
591 ancestry gene-based analyses using a $MAF < 0.1\%$, and compared our exome-wide
592 significant gene-based results using a $MAF < 1\%$ to using a $MAF < 0.1\%$. We
593 compared the single variants in our top gene-based associations with respective
594 traits using GWAS summary data.⁸ Gene-based tests were repeated excluding
595 variants identified in GWAS using $P < 5 \times 10^{-8}$. Furthermore, all single variants included
596 in each of the top gene-based association were analyzed in relation to the respective
597 trait. To determine the variants that were contributing mostly to the gene-based
598 signal, counts and proportions were obtained at different P value thresholds.

599

600 To understand whether variants contributing to top gene-based signals were similar
601 or different across different ancestries, we determined the degree of overlap across
602 ancestries for all variants incorporated and then for those with $P < 0.05$. Finally, we
603 checked for overlap across the most significant (lowest P value) variant from each of
604 the gene-based signals.

605

606 Heterogeneity of gene-based estimates in all gene-trait-variant grouping
607 combinations passing exome-wide significant levels was assessed across the five
608 main ancestries (European, South Asian, African, Hispanic and East Asian) and
609 between T2D and CAD cases and controls using Cochran's Q.

610

611 **Replication of gene-based associations**

612 We performed replication of our top gene-based associations with blood lipid levels in
613 the Penn Medicine BioBank (PMBB) and UK Biobank samples that did not contribute
614 to the discovery analysis.

615

616 The PMBB is a repository of genotype and phenotype data for 43,731 patients at the
617 University of Pennsylvania Perelman School of Medicine. All individuals recruited for
618 PMBB are patients of clinical practice sites of the University of Pennsylvania Health
619 System. Appropriate consent was obtained from each participant regarding storage
620 of biological specimens, genetic sequencing, and access to all available EHR data.

621 The study was approved by the Institutional Review Board of the University of
622 Pennsylvania and complied with the principles set out in the Declaration of Helsinki.

623 The six lipid phenotypes studied were HDL-C (n=21,247), LDL-C (n=21,040), non-
624 HDL-C (n=21,087), TC (n=21,153), TG (n=21,418), and TG:HDL (n=21,213). All
625 available lipid trait measurements up to July 2020 were included. HDL-C, LDL-C, TC,
626 and TG levels were measured directly and accessible via PMBB. Non-HDL-C levels
627 were obtained by subtracting HDL-C from TC levels. TG and TG:HDL levels were
628 logarithmically transformed to normalize their distribution for association testing. Due
629 to the clinical nature of the biobank, samples often had multiple phenotype values
630 corresponding to a patient's various clinical appointments. Gene-based associations
631 were performed on the minimum, median, and maximum phenotype values to
632 account for both potentially protective and pathogenic effects. For the gene-based
633 association analysis, 10 different variant groupings were used to determine the set of
634 damaging variants within each gene including the six groupings used in the initial
635 study. The additional four groupings used predicted loss-of-function (pLOF) variants
636 that included frameshift, stop gain, and splicing variants as annotated by RefGene.
637 Missense variants were annotated using Rare Exome Variant Ensemble Learner
638 (REVEL) and filtered for those with a pathogenicity score >0.5. The four additional
639 groupings consisted of, 1) pLOF, MAF ≤ 0.1%, 2) pLOF, MAF ≤ 0.1%, REVEL

640 missense, 3) pLOF, $MAF \leq 1\%$, and 4) pLOF, $MAF \leq 1\%$, and REVEL missense. Each
641 of the 10 groupings were used in a gene-based association test with the minimum,
642 median, and maximum values of the 6 lipid phenotypes. Furthermore, ancestry-
643 specific associations were also performed to elucidate any potential ancestry-specific
644 effects. This included associations among African and European ancestries
645 separately, and then the two populations meta-analyzed. All associations were
646 adjusted for sex, age, and principal components. The first 5 principal components
647 were used for African ancestry associations, and the first 10 principal components
648 were used for European ancestry associations.

649

650 In UK Biobank, we analyzed the association of rare variant aggregates from the 10
651 genes against four lipid phenotypes in the UK biobank whole exome sequencing
652 (WES) data. Variant aggregates were obtained for the following four categories 1)
653 LOFTEE – HC 2) LOFTEE - HC & predicted splice site altering 3) LOFTEE - HC &
654 deleterious-METAsvm 4) LOFTEE - HC & deleterious-METAsvm & predicted splice
655 site altering. We removed UK Biobank individuals used in the discovery analysis,
656 resulting in 150,694 individuals for replication. The phenotypes were adjusted for lipid
657 lowering medications, where total cholesterol was adjusted by dividing by 0.8 and
658 LDL-C by dividing by 0.7. Triglycerides were natural log transformed for analysis. The
659 phenotypes were inverse rank normalized and scaled by the standard deviation of
660 the trait and adjusted for covariates (sex, age, age2, PC1-PC10, if British ancestry).
661 Rare variant aggregate test was conducted using STAAR⁴⁰ with a MAF of 0.01 for
662 the four lipids. Effect estimates were calculated using glmm.wald burden test. As a
663 sensitivity analysis to determine the effects of statin treatment on the results, a
664 similar analysis was carried out for a subset of individuals where samples with statins

665 were removed, resulting in 127,459 individuals without statin treatment. For our top
666 associations in our discovery, we found the effect sizes and p-value in the analysis
667 including and excluding individuals on statin treatment remained similar (**Figure S1**).

668

669 **Gene-Based Analysis of GWAS Loci and Drug Targets**

670 We performed gene-based analysis using the six variant groups for genes in GWAS
671 loci. A locus was defined as the region around each GWAS index variant \pm 200kb.
672 Top GWAS signals were obtained from a recent meta-analysis of >300,000
673 individuals in the Million Veterans Program.⁸ In-silico lookup of gene-based
674 associations for respective lipid traits were then performed for all genes within
675 defined GWAS loci. Drug target genes were obtained from the drug bank database⁴¹
676 using the following search categories: “Hypolipidemic Agents, Lipid Regulating
677 Agents, Anticholesteremic Agents, Lipid Modifying Agents and
678 Hypercholesterolemia”. A liberal definition for drug targets was used – drugs with any
679 number of targets and targets targeted by any number of drugs – and then in-silico
680 lookups were performed for gene-based associations.

681

682 **Gene-set Enrichment Analysis**

683 Gene-set enrichment analyses were performed for sets of Mendelian-, protein-
684 altering- and non-protein altering GWAS, and drug target genes with LDL-C, HDL-C
685 and TG. 21 Mendelian genes were included based on previous literature²: *LDLR*,
686 *APOB*, *PCSK9*, *LDLRAP1*, *ABCG5*, *ABCG8*, *CETP*, *LIPC*, *LIPG*, *APOC3*, *ABCA1*,
687 *APOA1*, *LCAT*, *APOA5*, *APOE*, *LPL*, *APOC2*, *GPIHBP1*, *LMF1*, *ANGPTL3*, and
688 *ANGPTL4*. We analyzed GWAS gene sets based on their coding status and their
689 proximity to the most significant signal in the GWAS. Coding variants were defined as

690 missense, frameshift, or stop gained variants. Gene sets for coding or non-coding
691 variants were then stratified into three categories based on proximity to the most
692 significant variant within each locus – closest-, second closest- and greater than
693 second closest gene. For each gene within each set, we obtained the most
694 significant association in the multi-ancestry or ancestry specific meta-analysis set
695 using any of the six different variant groups. Then each gene within each gene set
696 was matched to 10 other genes based on sample size, total number of variants,
697 cumulative MAC, and variant grouping nearest neighbors using the matchit R
698 function. Then we compared the proportions using Fisher’s exact test between the
699 main and matched gene sets by applying different P-value thresholds.

700

701 **Association of Lipid Genes with CAD and T2D data and liver fat/markers**

702 We determined the associations of 40 genes identified in the main and GWAS loci
703 analyses with CAD, T2D, and glycemic and liver enzyme blood measurements. The
704 association with T2D was obtained from the latest gene-based exome association
705 data from the AMP-T2D-GENES consortium.¹⁵ The reported associations were
706 obtained from different variant groups based on their previous analyses. We
707 additionally performed gene-based association analyses with CAD using the MIGen
708 case-control, UKB case-control, and UKB cohort samples using the variant groups
709 described above. Further, six traits including fasting plasma glucose, HbA1c, alanine
710 aminotransferase, aspartate aminotransferase, gamma glutamyl transferase and
711 albumin were analyzed in the UKB dataset. Single variant association analyses were
712 performed with RVTESTS. Linear mixed models incorporating kinship matrices were
713 used to adjust for relatedness within each study. Covariance matrices were
714 generated using 500 kilobase sliding windows. RAREMETALS was used to assess

715 associations between aggregated variants (MAF<1%) in burden and SKAT tests with
716 CAD and each of the six quantitative traits. We used 6 different variant groupings to
717 determine the set of damaging variants within each gene, 1) high-confidence LOF
718 using LOFTEE, 2) LOF and predicted splice-site altering variants, 3) LOF and
719 MetaSVM missense variants, 4) LOF, MetaSVM missense and predicted splice-site
720 altering variants, 5) LOF and damaging 5 out of 5 missense variants, and 6) LOF,
721 damaging 5 out of 5 missense and predicted splice-site altering variants.

722

723 **Results**

724 **Sample and variant characteristics**

725 Individual-level, quality-controlled data were obtained from four sequenced study
726 sources with circulating lipid data for individuals of multiple ancestries (**Figure 1**).
727 Characteristics of the study samples are detailed in **Table S1**. We analyzed data on
728 up to 172,000 individuals with LDL-C, non-HDL-C (a calculated measure of TC minus
729 HDL-C), TC, HDL-C, TG, and TG:HDL ratio (a proxy for insulin resistance).^{42; 43}
730 56.7% (n=97,493) of the sample are of European ancestry, 17.4% (n=30,025) South
731 Asian, 9.6% (n=16,507) African American, 9.6% (n=16,440) Hispanic, 6.1%
732 (n=10,420) East Asian, and 0.7% (n=1,182) Samoan, based on genetically-estimated
733 and/or self-reported ancestry.

734

735 After sequencing, we observed 15.6 M variants across all studies; 5.0 M (32.6%) we
736 classified as transcript-altering coding variants based on an annotation of frameshift,
737 missense, nonsense, or splice site acceptor/donor using the Variant Effect Predictor
738 (VEP).³² A total of 340,214 (6.7%) of the coding variants were annotated as high
739 confidence loss-of-function (LOF) using the LOFTEE VEP plugin,³³ 238,646 (4.7%)

740 as splice site altering identified by Splice AI,³⁶ 729,098 (14.3%) as damaging
741 missense as predicted by the MetaSVM algorithm³⁵, and 1,106,309 (21.8%) as
742 damaging missense as predicted by consensus in all five prediction algorithms (SIFT,
743 PolyPhen-2 HumVar, PolyPhen-2 HumDiv, MutationTaster and LRT).³⁴ As expected,
744 we observed a trend of decreasing proportions of putatively deleterious variants with
745 increasing allele count (**Figure S2, Table S3**).

746

747 **Single-variant association**

748 We performed inverse-variance weighted fixed-effects meta-analyses of single-
749 variant association results of LDL-C, non-HDL-C, TC, HDL-C, TG and TG:HDL ratio
750 from each consortium and ancestry group. Meta-analysis results were well controlled
751 with genomic inflation factors ranging between 1.01 and 1.04 (**Table S4**). Single-
752 variant results were limited to the 425,912 protein-altering coding variants with a total
753 minor allele count (MAC) > 20 across all 172,000 individuals. We defined significant
754 associations by a previously established exome-wide significance threshold for
755 coding variants ($P < 4.3 \times 10^{-7}$)³⁷ which was additionally corrected for testing six traits
756 ($P = 4.3 \times 10^{-7}$ divided by 6) within all study samples or within each of the five major
757 ancestries (**Tables S5-S10**); this yielded in each analysis a significance threshold of
758 $P < 7.2 \times 10^{-8}$. A total of 104 rare coding variants in 57 genes were associated with
759 LDL-C, 95 in 54 genes with non-HDL-C, 109 in 65 genes with TC, 92 in 56 genes
760 with HDL-C, 61 in 36 genes with TG, and 68 in 42 genes with TG:HDL. We identified
761 six missense variants in six genes (*TRIM5* p.Val112Phe, *ADH1B* p.His48Arg, *CHUK*
762 p.Val268Ile, *ERLIN1* p.Ile291Val, *TMEM136* p.Gly77Asp, *PPARA* p.Val227Ala) >1Mb
763 away from any index variant previously associated with a lipid phenotype (LDL-C,
764 HDL-C, TC, or TG) in previous genetic discovery efforts (**Tables S5-S10**).^{3; 7; 8}

765 *PPARA* p.Val227Ala has previously been associated with blood lipids at a nominal
766 significance level in East Asians ($P < 0.05$), where it is more common than in other
767 ancestries.⁴⁴ Both *TRIM5* and *ADH1B* LDL-C increasing alleles have been
768 associated with higher risk of CAD in a recent GWAS from CARDIOGRAM (OR:
769 1.08, $P=2 \times 10^{-9}$; OR=1.08, $P=4 \times 10^{-4}$).⁴⁵ Single variant associations were further
770 performed in each of the five main ancestries (**Table S11**).

771

772 **Gene-based association**

773 Next we performed gene-based testing of transcript-altering variants in aggregated
774 burden and sequence kernel association tests (SKAT)⁴⁶ tests in all study participants
775 and within each of the six main ancestries for six lipid traits: LDL-C, HDL-C, non-
776 HDL-C, TC, TG, and TG:HDL. We excluded the Samoans from the single-ancestry
777 analysis given the small number of individuals. We limited attention to variants with
778 $MAF \leq 1\%$ for each of six variant groups: 1) LOF, 2) LOF and predicted splice-site
779 altering variants using Splice AI, 3) LOF and MetaSVM missense variants, 4) LOF,
780 MetaSVM missense and predicted splice-site altering variants, 5) LOF and damaging
781 5 out 5 missense variants, and 6) LOF, damaging 5 out 5 missense and predicted
782 splice-site altering variants. Meta-analyses results were well controlled (**Table S12**).

783

784 We identified 35 genes reaching exome-wide significance ($P=4.3 \times 10^{-7}$) for at least
785 one of the six variant groupings (**Tables S13-S19**). Most of the significant results
786 were from the multi-ancestry analysis, with multiple ancestries contributing to the top
787 signals (**Figure 2A**) and most of the 35 genes were associated with more than one
788 lipid phenotype (**Figure 2B**). Ten of the 35 genes did not have prior evidence of
789 gene-based links with blood lipid phenotypes (**Table 1**), and seven genes, including

790 *ALB*, *SRSF2*, *CREB3L3*, *NR1H3*, *PLA2G12A*, *PPARG*, and *STAB1* have evidence
791 for a biological connection to circulating lipid levels (**Box 1**).

792

793 We performed a series of sensitivity analyses on our results. To determine whether
794 low frequency variants between 0.1%-1% frequency were driving our gene-based
795 association results, we performed the gene-based multi-ancestry meta-analyses
796 using a maximum MAF threshold of 0.1% instead of 1%. We observed exome-wide
797 significant associations ($P < 4.3 \times 10^{-7}$) for 29 genes using a 0.1% MAF threshold, all
798 observed in our primary analyses using a MAF threshold of 1% (**Table S20**). We then
799 intersected our 35 lipid associated genes from 85 gene-based associations observed
800 in the primary analysis with our results using a MAF threshold of 0.1%. All genes
801 remained at least nominally significant ($P < 0.05$) using a 0.1% MAF threshold,
802 except the *A1CF* and *TMEM136* associations (**Table S21**). Furthermore, we
803 determined whether those signals were driven by previously reported GWAS hits. We
804 identified a total of 7 HDL-C associated variants in 6 genes, 7 LDL-C variants in 3
805 genes, 3 TC variants in 1 gene and 7 TG variants in 6 genes that were previously
806 found to be genome-wide significant in MVP (**Table S22**).⁸ Respective gene-based
807 analyses were repeated without those variants. Gene-based signals at *A1CF* and
808 *BUD13* were lost after removal of 1 variant in each of those genes (**Table S23**).

809

810 The *JAK2* signal was further investigated after splitting the 136 contributing variants
811 into those annotated as somatic using the Catalogue Of Somatic Mutations In Cancer
812 (COSMIC) database and not annotated as a somatic variant. We observed an
813 association only among a set of 26 variants annotated as somatic while no
814 association was observed using the remaining 110 variants (**Table S24**).

815

816 We also determined which of the 35 genes were outside GWAS regions defined as
817 those within $\pm 200\text{kb}$ flanking regions of GWAS indexed Single nucleotide
818 polymorphisms (SNPs) for TC (487 SNPs), LDL-C (531 SNPs), HDL-C, and TG (471
819 SNPs).⁸ We identified 1,295 unique genes included in these lipid GWAS regions.
820 Eight out of the 35 associated genes (23%) were not within a GWAS region (**Table**
821 **S13**).

822

823 To understand whether the gene-based signals were driven by variants that could be
824 identified through single variant analyses, we looked at the proportion of the 35
825 genes that were associated with each trait that have at least one single contributing
826 variant that passed the genome-wide significance threshold of 5×10^{-8} . Seventeen
827 genes were associated with HDL-C at exome-wide significance (**Table S13**); eight
828 genes had at least one variant with $P < 5 \times 10^{-8}$ (**Table S8**). Similarly, we observed 4/9
829 for LDL-C, 4/10 non-HDL-C, 4/14 TC, 7/18 TG, and 6/17 TG:HDL genes with at least
830 one genome-wide significant variant (**Tables S5-S10**).

831

832 For genes with both gene-based and single variant signals, we determined the
833 variants were driving these signals, and determined the single variant associations
834 for all variants contributing to the top 35 genes (**Table S25**). From a total of 85 gene-
835 based associations, 33 had at least one and 19 had only one single variant with
836 $P < 5 \times 10^{-8}$ (**Tables S25 and S26**). All of the 19 had at least 2 variants passing
837 nominal significance ($P < 0.05$) and 13 had at least 10 variants with $P < 0.05$.

838

839 **Comparison of gene-based associations across ancestries**

840 **Comparison of gene-based associations across ancestries**

841 We determined the overlap between single variants included in gene-based signals
842 across the five main ancestries. A large proportion of variants from each ancestry did
843 not overlap with any other ancestry (**Figure S3**). For example, a total of 15 genes
844 were observed to have significant gene-based associations with HDL-C in multi-
845 ancestry meta-analyses. A total 69% of variants from European ancestry samples
846 that contributed to HDL-C gene-based associations did not overlap with any other
847 ancestry, and was 60% in South Asian-, 44% in African-, 40% in Hispanic- and 59%
848 in East Asian ancestry. When restricted to variants with $P < 0.05$ in the multi-ancestry
849 meta-analysis, the overlap among ancestries increased (**Figure S4**). A total 57% of
850 variants from European ancestry did not overlap with any other ancestry, and was
851 42% in South Asian-, 20% in African-, 24% in Hispanic- and 34% in East Asian
852 ancestry. Finally, we determined the top single variant contributing to each gene-
853 based association (**Figure S5**). None of the top variants overlapped with all
854 ancestries and 80% of EUR variants did not overlap with any other ancestry, and was
855 87% in South Asian-, 93% in African-, 80% in Hispanic- and 93% in East Asian
856 ancestry.

857

858 But, the gene-based associations were mostly consistent across the six ancestry
859 groupings: European, South Asian, African, Hispanic, and East Asian. Three of the
860 17 HDL-C genes showed association in at least two different ancestries at exome-
861 wide significance level ($P = 4.3 \times 10^{-7}$). Similarly, 3/9 LDL-C, 4/10 non-HDL-C, 5/14 TC,
862 2/18 TG and 2/17 TG:HDL genes showed association in at least two difference
863 ancestries at a exome-wide significance level. Using a less stringent significance

864 level ($P < 0.01$), across the six lipid traits, 59-89% of associated genes from the joint
865 analysis were associated in at least two different ancestries.

866

867 We tested the top 35 genes for heterogeneity across all 303 gene-trait-variant
868 grouping combinations passing the exome-wide significance threshold ($P < 4.3 \times 10^{-7}$).

869 We observed heterogeneity in effect estimates ($P_{\text{Het}} < 1.7 \times 10^{-4}$, accounting for 303
870 combinations) in 19 (6%) different gene-trait-variant grouping combinations and in six
871 different genes: *LIPC*, *LPL*, *LCAT*, *ANGPTL3*, *APOB*, and *LDLR* (**Table S27**).

872 Although the LOF gene-based effect sizes were largely consistent across ancestries,
873 there were differences in the cumulative frequencies of LOF variants for several
874 genes including *PCSK9*, *NPC1L1*, *HBB* and *ABCG5* (**Figures S6-S8**).

875

876 We observed LOF and predicted damaging variants in the *TMEM136* gene
877 associated with TG and TG:HDL only among individuals of South Asian ancestry
878 ($P_{\text{SKAT}} = 3 \times 10^{-9}$ and 2×10^{-11} , respectively) (**Table 1, Figure 2A**). With the same variant
879 grouping and ancestry, we observed associations with reduced TG by burden tests
880 ($\beta = -15\%$, $P = 3 \times 10^{-4}$) and TG:HDL ($\beta = -20\%$, $P = 6 \times 10^{-5}$) (**Tables S18 and S19**).

881 Additionally, a single missense variant was associated only among South Asians
882 (rs760568794, 11:120327605-G/A, p.Gly77Asp) with TG ($\beta = -36.9\%$, $P = 2 \times 10^{-8}$)
883 (**Table S9**). This variant was present only among South Asian (MAC=24) and
884 Hispanics (MAC=8), but showed no association among Hispanics ($P = 0.86$). This
885 gene encodes a transmembrane protein of unknown function.

886

887 **Replication of gene-based associations**

888 We performed replication using the Penn Medicine BioBank (PMBB) and UK Biobank
889 samples that did not contribute to the initial analysis. In PMBB, we observed
890 associations at a nominal significance level ($p < 0.05$) and in the same direction as the
891 discovery for 6 out of the 10 genes without prior evidence of gene-based links with
892 blood lipid phenotypes (*SRSF2*, *JAK2*, *CREB3L3*, *NR1H3*, *PLA2G12A*, *PPARG*) with
893 their respective blood lipids. For the gene *TMEM136*, we found an association of
894 nominal significance for TG and TG:HDL as well, but with a beta in the opposite and
895 positive direction. For the other 3 genes, *ALB*, *VARS*, and *STAB1*, we did not find
896 associations at a nominal significance level for their respective blood lipid traits
897 (**Table S28**). In UK Biobank, we found 7 of the 10 genes were associated at a
898 nominal significance level and in the same direction of effect as the discovery
899 analysis (*ALB*, *JAK2*, *CREB3L3*, *NR1H3*, *PLA2G12A*, *PPARG*, *STAB1*) (**Table S29**).
900 The only two genes that did not show evidence of replication in at least one of the
901 replication studies were *TMEM136* and *VARS*. This may indicate these associations
902 are false positives or that we lack power for replication for these associations. Our
903 replication studies did not include individuals of South Asian ancestry and we
904 observed that our association of *TMEM136* with TG and TG:HDL is driven by
905 individuals of South Asian ancestry.

906

907 **Comparison of gene-based associations by case-status**

908 We analyzed heterogeneity by CAD or T2D case status for the top 35 genes. The top
909 85 signals presented in **Table S13** determined in case-status specific meta-analyses
910 for CAD and T2D. Out of the 85 different gene-based associations, we observed
911 minimal heterogeneity in the results by case status. *LDLR*, *LCAT* and *LPL* showed

912 significant heterogeneity by CAD case status and *LCAT* and *ANGPTL4* by T2D
913 status ($P_{\text{Het}} < 6 \times 10^{-4}$) (**Tables S30 and S31**).

914

915 **Gene-based associations in GWAS loci**

916 We determined whether genes near lipid array-based GWAS signals⁸ were
917 associated with the corresponding lipid measure using gene-based tests of rare
918 variants with the same traits. We obtained genes from 200 Kb flanking regions on
919 both sides of each GWAS signal; 487 annotated to LDL-C GWAS signals, 531 to
920 HDL-C signals, and 471 to TG signals. We analyzed genes within these three sets
921 for gene-based associations with their associated traits. A total of 13, 19, and 13
922 genes were associated ($P < 3.4 \times 10^{-5}$, corrected for the number of genes tested for the
923 three traits) with LDL-C, HDL-C or TG, with 32 unique genes identified in the GWAS
924 loci (**Tables S32-S37**).

925

926 Three of the 32 genes had no prior aggregate rare variant evidence of blood lipid
927 association. Variants annotated as LOF or predicted damaging in *EVI5* were
928 associated with LDL-C ($P_{\text{SKAT}} = 2 \times 10^{-5}$). The burden test showed association with
929 higher LDL-C levels ($\beta = 1.9$ mg/dL, $P = 0.008$) (**Table S32**). Variants annotated as
930 LOF or predicted damaging in *SH2B3* were associated with lower HDL-C ($\beta = -2.5$
931 mg/dL, $P = 1 \times 10^{-6}$) among Europeans and variants that were annotated as LOF in
932 *PLIN1* were associated with higher HDL-C ($\beta = 3.9$ mg/dL, $P = 1 \times 10^{-5}$) (**Table S33**).
933 Other genes in the regions of *EVI5*, *SH2B3*, and *PLIN1* did not show an association
934 with the corresponding lipid traits ($P > 0.05$) in multi-ancestry analyses. A previous
935 report implicated two heterozygous frameshift mutations in *PLIN1* in three families
936 with partial lipodystrophy.⁴⁷ The gene encodes perilipin, the most abundant protein

937 that coats adipocyte lipid droplets and is critical for optimal TG storage.⁴⁸ We
938 observed a nominal associations of *PLIN1* with TG (β =-7.0%, P=0.02). Our finding is
939 contrary to what would be expected with hypertriglyceridemia in a lipodystrophy
940 phenotype given the association with lower TG. This gene has an additional role
941 where silencing in cow adipocytes has been shown to inhibit TG synthesis and
942 promote lipolysis,⁴⁹ which may explain those contradictions.

943

944 **Enrichment of Mendelian-, GWAS-, and drug targets genes**

945 We next sought to test the utility of genes that showed some evidence for association
946 but did not reach exome-wide significance. Within the genes that reached a sub-
947 threshold level of significant association in this study using burden or SKAT tests ($p <$
948 0.005), we determined the enrichment of i) Mendelian dyslipidemia (N=21 genes)-;²
949 ii) lipid GWAS (N=487 for LDL-C, N=531 for HDL-C and N=471 for TG)⁸; and iii) drug
950 target genes (N=53).⁴¹ We stratified genes in GWAS loci according to coding status
951 of the index SNP and proximity to the index SNP (nearest gene, second nearest
952 gene, and genes further away). We tested for enrichment of gene-based signals
953 ($P < 0.005$) in the gene sets compared to matched genes (**Figure 3**). For each gene
954 within each gene set, the most significant association in the multi-ancestry or an
955 ancestry specific analysis was obtained and then matched to 10 genes based on
956 sample size, total number of variants, cumulative MAC, and variant grouping. The
957 strongest enrichment was observed for Mendelian dyslipidemia genes within the
958 genes that reached $P < 0.005$ in our study. For example, 52% of the HDL-C
959 Mendelian genes versus 1.4% of the matched set reached $P < 0.005$ (OR:71, 95%
960 CI: 16-455). We also observed that 45.5% of the set of genes closest to an HDL-C
961 protein-altering GWAS variant reached $P < 0.005$ versus 1.4% in the matched gene

962 set (OR:57, 95% CI: 13-362). Results were significant but much less striking for
963 genes at non-coding index variants. We observed that 8.9% of the set of genes
964 closest to an HDL-C non-protein altering GWAS variant reached $P < 0.005$ versus
965 2.3% in the matched set (OR:4.1, 95% CI: 1.8-8.7). While 8% of the set of genes in
966 the second closest to an HDL-C non-protein altering GWAS variant reached P
967 <0.005 versus 2.6% in the matched set (OR: 3, 95% CI: 1.1-8.3). There was no
968 significant enrichment in second closest or \geq third closest genes to protein altering
969 GWAS signals and in \geq third closest genes to non-protein altering GWAS signals.
970 Drug target genes were significantly enriched in LDL-C gene-based associations
971 (OR: 5.3, 95% CI: 1.4-17.8) but not in TG (OR: 2.2, 95% CI: 0.2-11.2) or HDL-C (OR:
972 1.0, 95% CI: 0.1-4.3) (**Figure 3 and Tables S38-S41**).

973

974 **Association of lipid genes with CAD, T2D, glycemic traits, and liver enzymes**

975 We tested the genes identified through our main (35 genes) and GWAS loci (32
976 genes) for associations with CAD or T2D in our gene-based analyses (40 genes
977 across the two sets). The CAD analyses were restricted to a subset of the overall
978 exome sequence data with information on CAD status which included the MIGen
979 CAD case-control, UK Biobank (UKB) CAD nested case-control, and the UKB cohort
980 with a total of 32,981 cases and 79,879 controls. We observed four genes
981 significantly associated with CAD ($P_{CAD} < 0.00125$, corrected for 40 genes). The four
982 genes associated with lipids and CAD were all primarily associated with LDL-C:
983 *LDLR* (OR: 2.97, $P = 7 \times 10^{-24}$), *APOB* ($P_{SKAT} = 4 \times 10^{-5}$), *PCSK9* (OR: 0.5, $P = 2 \times 10^{-4}$) and
984 *JAK2* ($P_{SKAT} = 0.001$). Several other known CAD associated genes (*NPC1L1*, *CETP*,
985 *APOC3*, and *LPL*) showed nominal significance for association with lipids ($P < 0.05$).
986 We observed nominal associations with CAD for two of the newly-identified lipid

987 genes: *PLIN1* ($P_{\text{SKAT}}=0.002$) and *EVI5* (OR: 1.29, $P=0.002$; **Table S42**). None of the
988 40 lipid genes reached significance for association with T2D in the latest AMP-T2D
989 exome sequence results. We observed nominal associations of T2D with *STAB1*
990 (OR: 1.05, $P_{\text{T2D}}=0.002$) and *APOB* (OR: 1.08, $P_{\text{T2D}}=0.005$) (**Table S43**).¹⁵

991

992 We additionally tested the 40 genes for association with six glycemic and liver
993 biomarkers in the UKB: blood glucose, HbA1c, alanine aminotransferase (ALT),
994 aspartate aminotransferase (AST), gamma glutamyl transferase (GGT), and albumin
995 (**Tables S44-S49**). Using an exome-wide significance threshold of $P=0.0012$, we
996 found associations between *PDE3B* and elevated blood glucose, *JAK2* and *SH2B3*
997 and lower HbA1c, and *APOC3* and higher HbA1c. We found associations between
998 *CREB3L3* and lower ALT, ALB, and higher AST, and between *A1CF* and higher
999 GGT. *ALB* and *SRSF2* were associated with lower and higher albumin levels,
1000 respectively (**Tables S44-S49**).

1001

1002 **Discussion**

1003 We conducted a large multi-ethnic study to identify genes in which protein-altering
1004 variants demonstrated association with blood lipid levels. First, we confirm previous
1005 associations of genes with blood lipid levels and show that we detect associations
1006 across multiple ancestries. Second, we identified gene-based associations that were
1007 not observed previously. Third, we show that along with Mendelian lipid genes, the
1008 genes closest to both protein altering and non-protein altering GWAS signals, and
1009 LDL-C drug target genes have the highest enrichment of gene-based associations.
1010 Fourth, of the new gene-based lipid associations, *PLIN1* and *EVI5* showed
1011 suggestive evidence of an association with CAD.

1012

1013 Our study found that evidence of gene-based associations for the same gene in
1014 multiple ancestries. The heterogeneity in genetic association with common traits and
1015 complex diseases has been discussed extensively. A recent study has shown
1016 significant heterogeneity across different ancestries in the effect sizes of multiple
1017 GWAS identified variants.⁵⁰ However, our study shows that gene-based signals are
1018 detected in multiple ancestries with limited heterogeneity in the effect sizes.
1019 Our study highlights enrichment of gene-based associations for Mendelian
1020 dyslipidemia genes, genes with protein-altering variants identified by GWAS, and
1021 genes that are closest to non-protein altering GWAS index variants. A previous
1022 transcriptome-wide Mendelian randomization study of eQTL variants indicated that
1023 most of the genes closest to top GWAS signals (>71%) do not show significant
1024 association with the respective phenotype.⁵¹ In contrast, our study provides evidence
1025 from sequence data that the closest gene to each top non-coding GWAS signal is
1026 most likely to be the causal one, indicating an allelic series in associated loci. This
1027 has implications for GWAS results, suggesting the prioritization of the closest genes
1028 for follow-up studies. We also observed enrichment of drug target genes only among
1029 LDL-C gene-based associations and not for HDL-C and TG gene-based
1030 associations, consistent with the fact that most approved therapeutics for
1031 cardiovascular disease targeting LDL-C

1032

1033 The gene-based analyses of lipid genes with CAD confirmed previously reported and
1034 known associations (*LDLR*, *APOB*, and *PCSK9*). Using a nominal P threshold of 0.05
1035 we also confirmed associations with *NPC1L1*, *CETP*, *APOC3*, and *LPL*. Of the novel
1036 lipid genes, we observed borderline significant signals with *EVI5* and higher risk of

1037 CAD and between *PLIN1* and lower risk of CAD. The putative cardio-protective role
1038 of *PLIN1* deficiency is supported by previous evidence in mice which has indicated
1039 reduced atherosclerotic lesions with *Plin1* deficiency in bone marrow derived cells.⁵²
1040 This suggests *PLIN1* as a putative target for CAD prevention; however, replication of
1041 the CAD association would be needed to confirm those signals.

1042

1043 There are limitations to our results. First, we had lower sample sizes for the non-
1044 European ancestries, limiting our power to detect ancestry-specific associations, and
1045 detect replication for *TMEM136* that was driven by a variant in South Asians.

1046 However, we find consistency of results across ancestries, and when we relax our
1047 significance threshold, the majority of associations (59-89%) are observed in more
1048 than one ancestry. Second, it has been reported that there was an issue with the
1049 UKB functionally equivalent WES calling.⁵³ This mapping issue may have resulted in
1050 under-calling alternative alleles and therefore should not increase false positive
1051 findings. Third, we relied on a meta-analysis approach using summary statistics to
1052 perform our gene-based testing due to differences in sequencing platforms and
1053 genotyping calling within the multiple consortia contributing to the results. This
1054 approach has been shown to be equivalent to a pooled approach for continuous
1055 outcomes.³⁸

1056

1057 In summary, we demonstrated association between rare protein-altering variants with
1058 circulating lipid levels in >170,000 individuals of diverse ancestries. We identified 35
1059 genes associated with blood lipids, including ten genes not previously shown to have
1060 gene-based signals. Our results support the hypothesis that genes closest to a
1061 GWAS index SNP are enriched for evidence of association.

1062 **Supplemental data**

1063 Supplemental data includes in 8 figures, 49 tables, Study Descriptions, and Banner
1064 Authors.

1065

1066 **Declaration of Interests**

1067 The authors declare no competing interests for the present work. PN reports
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1188

1189 **Data and code availability**

1190 Controlled access of the individual-level data are available through dbGAP (please
1191 refer to the Supplementary Information), and the individual-level UK Biobank data are
1192 available upon application to the UK Biobank

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1441 **Figure titles and legends**

1442 **Figure 1. Study samples and design**

1443 Flow chart of the different stages of the study. Exome sequence genotypes were
1444 derived from four major data sources: The Myocardial Infarction Genetics consortium
1445 (MIGen), the Trans-Omics from Precision Medicine (TOPMed), the UK Biobank and
1446 the Type 2 Diabetes Genetics (AMP-T2D-GENES) consortium. Single-variant
1447 association analyses were performed by ancestry and case-status in case-control
1448 studies and meta-analyzed. Single-variant summary estimates and covariance
1449 matrices were used in gene-based analyses using 6 different variant groups and in
1450 multi-ancestry and each of the five main ancestries. AFR=African ancestry,
1451 EAS=East Asian ancestry, EUR=European ancestry, HIS=Hispanic ancestry,
1452 SAM=Samoan ancestry, SAS=South Asian ancestry

1453

1454 **Figure 2. Exome-wide significant associations with blood lipid phenotypes**

1455 **A)** Circular plot highlighting the evidence of association between the exome-wide
1456 significant 35 genes with any of the six different lipid traits ($P < 4.3 \times 10^{-7}$). The most
1457 significant associations from any of the six different variant groups are plotted. For
1458 almost all of the genes the most significant associations were obtained from the
1459 multi-ancestry meta-analysis. **B)** Strength of association of the 35 exome-wide
1460 significant genes based on the most significant variant grouping and ancestry across
1461 the six lipid phenotypes studied. Most of the genes indicated associations with more
1462 than one phenotype. $\text{Sign}(\beta) \cdot -\log_{10}(p)$ displayed for associations that reached a P
1463 $< 4.3 \times 10^{-7}$. When the $\text{Sign}(\beta) \cdot -\log_{10}(p) > 50$, they were trimmed to 50.

1464

1465 **Figure 3. Enrichment of Mendelian, GWAS, and drug target genes in the gene-**
1466 **based lipid associations**

1467 Enrichment of gene sets of Mendelian genes (n=21), GWAS loci for LDL-C (n=487),
1468 HDL-C (n=531), and triglycerides (TG) (n=471) genes and drug target genes (n=53).
1469

Table 1. Novel Genes Associated with Blood Lipids

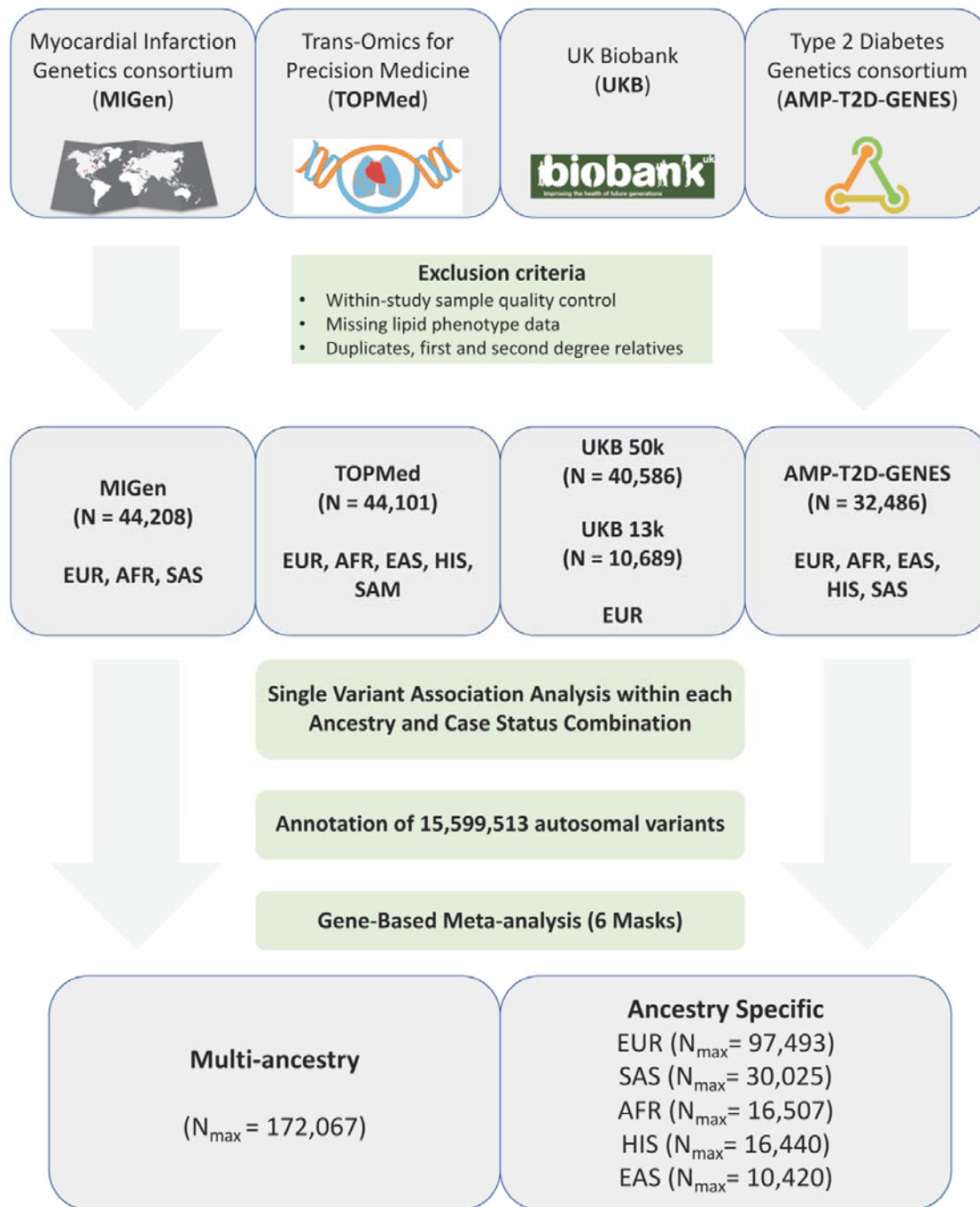
Gene	Name	Trait	N	cMAC	nVAR	beta	se	P	Mask	Test	Ancestry
ALB	Albumin	LDL-C	165,003	51	18	29.51	5.11	7.76E-09	LOF	Burden	Multi-ancestry
		Non-HDL-C	166,327	50	17	33.91	6.07	2.27E-08	LOF	Burden	Multi-ancestry
		TC	172,103	54	18	33.37	5.89	1.48E-08	LOF	Burden	Multi-ancestry
SRSF2	Serine And Arginine Rich Splicing Factor 2	TC	172,103	59	14	-30.59	5.49	2.46E-08	LOF/DAM5of5/SPLICE AI	Burden	Multi-ancestry
JAK2	Janus Kinase 2	TC	975,33	441	136	-7.10	1.98	1.71E-07	LOF/DAM5of5/SPLICE AI	SKAT	EUR
CREB3L3	CAMP Responsive Element Binding Protein 3 Like 3	TG	1702,39	874	71	0.12	0.02	2.43E-15	LOF/DAM5of5/SPLICE AI	Burden	Multi-ancestry
		TG/HDLC	165,380	855	69	0.14	0.02	5.76E-13	LOF/DAM5of5/SPLICE AI	Burden	Multi-ancestry
TMEM136	Transmembrane Protein 136	TG	29,571	157	24	-0.15	0.04	3.39E-09	LOF/DAM5of5/SPLICE AI	SKAT	SAS
		TG/HDLC	29,517	157	24	-0.20	0.05	1.76E-11	LOF/DAM5of5/SPLICE AI	SKAT	SAS
VARS	Valyl-TRNA Synthetase 1	TG	56,140	67	51	0.32	0.06	4.30E-07	LOF/MetaSVM	Burden	EUR
NR1H3	Nuclear Receptor Subfamily 1 Group H Member 3	HDLC	93,044	521	111	3.47	0.60	1.45E-11	LOF/MetaSVM/SPLICE AI	SKAT	EUR
		HDLC	166,441	1975	47	-2.28	0.31	8.12E-14	LOF/DAM5of5	Burden	Multi-ancestry
PLA2G12A	Phospholipase A2 Group X1IA	TG	170,239	2047	47	0.06	0.01	1.17E-08	LOF/DAM5of5	Burden	Multi-ancestry
		TG/HDLC	165,380	1969	46	0.11	0.01	7.56E-13	LOF/DAM5of5	Burden	Multi-ancestry
PPARG	Peroxisome Proliferator Activated Receptor Gamma	HDLC	166,441	147	72	-6.24	1.07	4.71E-09	LOF/DAM5of5/SPLICE AI	Burden	Multi-ancestry
STAB1	Stabilin 1	HDLC	166,441	6550	804	0.83	0.16	2.58E-07	LOF/MetaSVM/SPLICE AI	Burden	Multi-ancestry

cMAC=cumulative minor allele count; nVAR=number of variants in test; AFR=African ancestry, EAS=East Asian ancestry, EUR=European ancestry, HIS=Hispanic ancestry, SAS=South Asian ancestry.

Box 1. Genes with biological links to lipid metabolism

ALB	The association between mutations in the albumin gene and elevated cholesterol levels has been previously observed in rare cases of congenital analbuminemia. ⁵⁴ This has been mainly suggested to result from compensatory increases in hepatic production of other non-albumin plasma proteins to maintain colloid osmotic pressure particularly apolipoprotein B-100 leading to elevations in TC and LDL-C but normal HDL-C levels – which is consistent with our findings – although the exact mechanisms remain uncertain. ⁵⁵ A lipodystrophy-like phenotype has also been linked to analbuminemia which is consistent with the suggestive tendency for increased risk of T2D with LOF and predicted damaging variants in albumin in the population (OR=1.85; P=0.007) (Supplementary Table 30).
SRSF2	The <i>SRSF2</i> gene encodes a highly conserved serine/arginine-rich splicing factor and has previously been linked to acute liver failure in liver-specific knockout in mice with accumulation of TC in the mutant liver. ⁵⁶ Thus, this gene could be linked to a non-alcoholic fatty liver phenotype with accumulation of lipids in the liver as observed with other genes as <i>PNPLA3</i> and <i>TM6SF2</i> . ⁷ Therefore, we looked at association with liver function markers and we found an association between <i>SRSF2</i> and higher albumin levels (P = 1 × 10 ⁻⁴) and a suggestive tendency for higher gamma glutamyl transferase (GGT) (P = 0.05), consistent with potential liver involvement (Supplementary Table 33-36).
CREB3L3	The association between <i>CREB3L3</i> and higher TG supports previous evidence from a single family and cohorts with severe hypertriglyceridemia but not sufficient evidence to be classified as a Mendelian lipid gene (ref). ⁵⁷⁻⁵⁹ This has been additionally supported by functional studies where <i>Creb3l3</i> knockout mice showed hypertriglyceridemia partly due to deficient expression of lipoprotein lipase coactivators (<i>Apoc2</i> , <i>Apoa4</i> , and <i>Apoa5</i>) and increased expression of activator <i>Apoc3</i> . ⁵⁸
NR1H3	The observed association of <i>NR1H3</i> with higher HDL-C and lower TG is supported by previous evidence of a role in non-alcoholic fatty liver disease in mice. ⁶⁰ This gene encodes a liver X receptor alpha (LXR α) which is a nuclear receptor that acts as a cholesterol sensor and protects from cholesterol overload. ^{61; 62} It has previously been shown that disrupting the LXR α phosphorylation at Ser196 in mice prevents non-alcoholic fatty liver disease. ⁶⁰
PLA2G12A	<i>PLA2G12A</i> is in the secretory phospholipase A2 (sPLA ₂) family, which liberates fatty acids in the -sn2 position of phospholipids. This pattern suggests a previously unreported possible lipolytic role of this phospholipase in a manner similar to another member of the adipose-specific phospholipases, <i>PLA2G16</i> , which has been shown to have a lipolytic role in mice. ^{63; 64} Further studies are needed to confirm whether <i>PLA2G12A</i> has a lipolytic role.
PPARG	Rare loss of function mutations in <i>PPARG</i> have been previously found to be associated with reduced adipocyte differentiation, lipodystrophy and increased risk of T2D. ⁶⁵⁻⁶⁷
STAB1	The <i>STAB1</i> gene is a scavenger receptor that has been shown to mediate uptake of oxidized LDL-C. ^{68; 69} There was a suggestive association between LOF variants and higher LDL-C (β = 4.3 mg/dL, P = 2 × 10 ⁻³) consistent with its role in LDL-C uptake.

Figure 1. Study samples and design

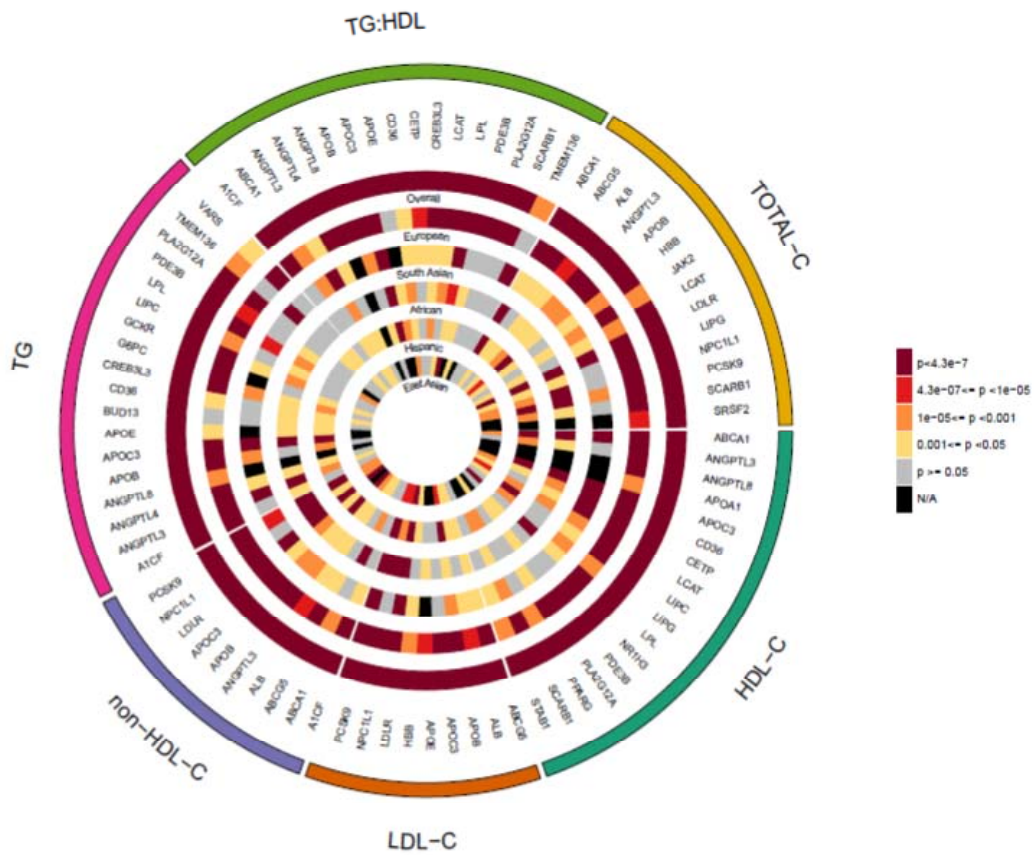


Flow chart of the different stages of the study. Exome sequence genotypes were derived from four major data sources: The Myocardial Infarction Genetics consortium (MIGen), the Trans-Omics from Precision Medicine (TOPMed), the UK Biobank and the Type 2 Diabetes Genetics (AMP-T2D-GENES) consortium. Single-variant

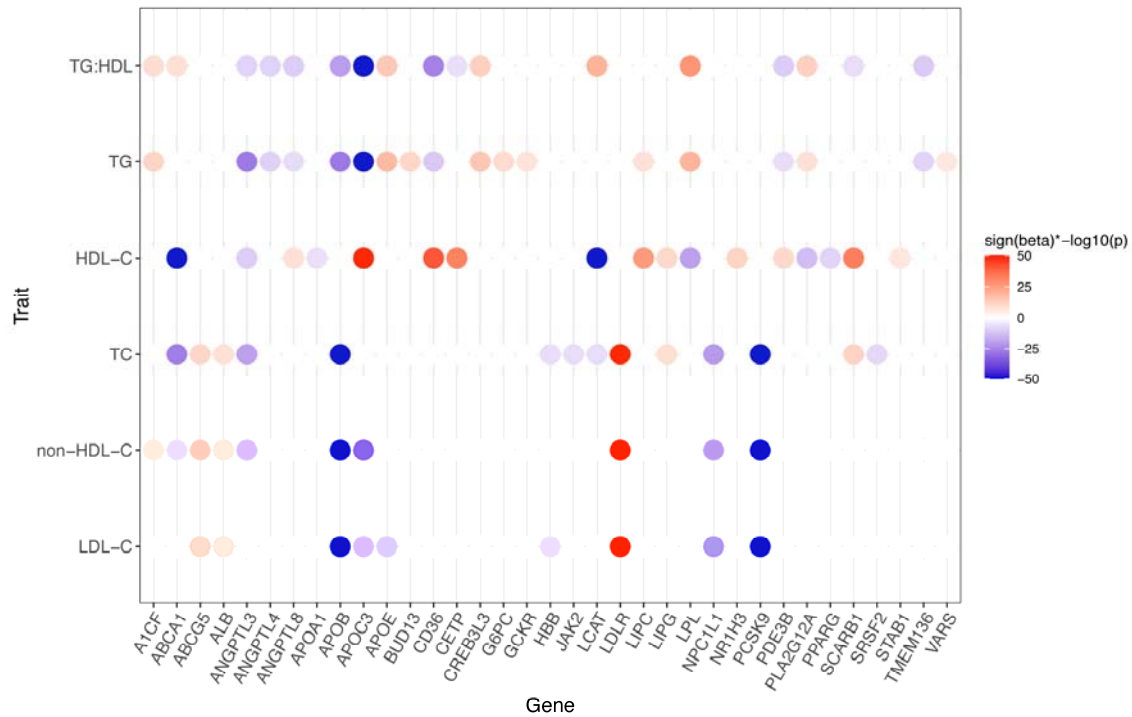
association analyses were performed by ancestry and case-status in case-control studies and meta-analyzed. Single-variant summary estimates and covariance matrices were used in gene-based analyses using 6 different variant groups and in multi-ancestry and each of the five main ancestries. AFR=African ancestry, EAS=East Asian ancestry, EUR=European ancestry, HIS=Hispanic ancestry, SAM=Samoan ancestry, SAS=South Asian ancestry

Figure 2. Exome-wide significant associations with blood lipid phenotypes

A)

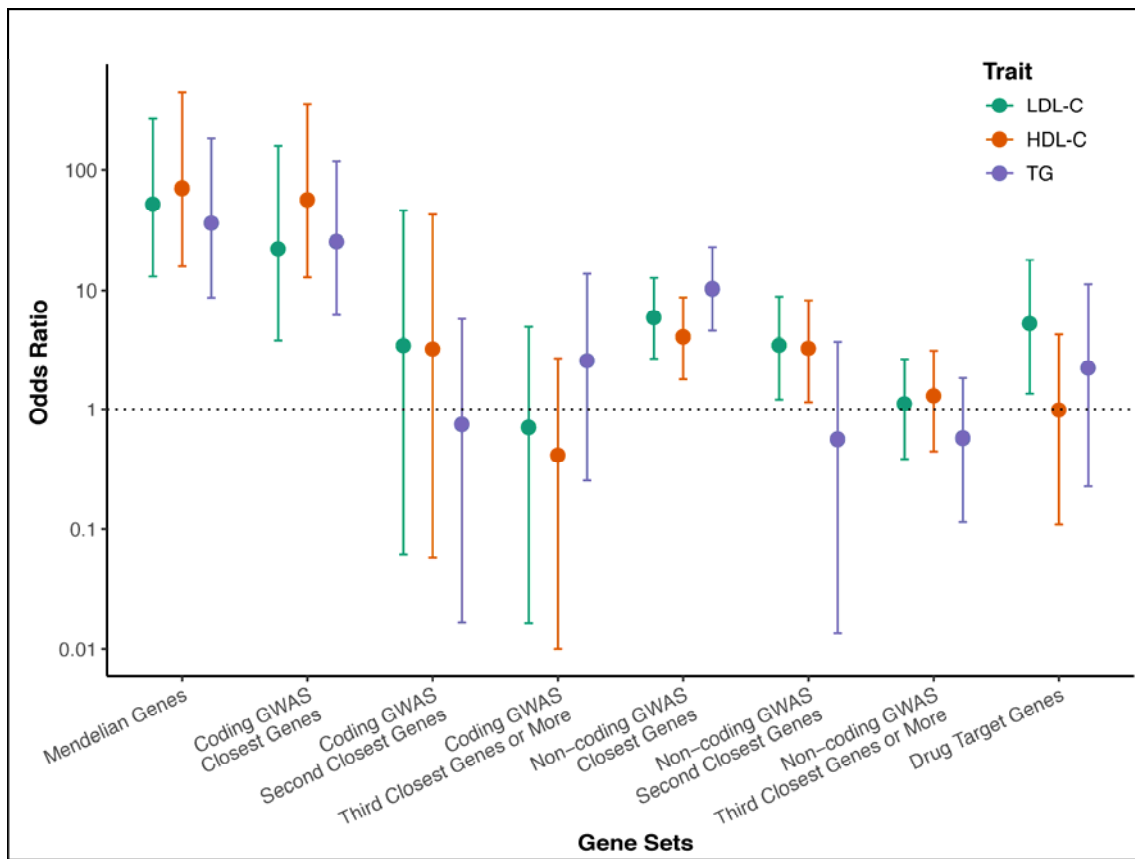


B)



A) Circular plot highlighting the evidence of association between the exome-wide significant 35 genes with any of the six different lipid traits ($P < 4.3 \times 10^{-7}$). The most significant associations from any of the six different variant groups are plotted. For almost all of the genes the most significant associations were obtained from the multi-ancestry meta-analysis. **B)** Strength of association of the 35 exome-wide significant genes based on the most significant variant grouping and ancestry across the six lipid phenotypes studied. Most of the genes indicated associations with more than one phenotype. $\text{Sign}(\beta) \cdot -\log_{10}(p)$ displayed for associations that reached a $P < 4.3 \times 10^{-7}$. When the $\text{Sign}(\beta) \cdot -\log_{10}(p) > 50$, they were trimmed to 50.

Figure 3. Enrichment of Mendelian, GWAS, and drug target genes in the gene-based lipid associations



Enrichment of gene sets of Mendelian genes (n=21), GWAS loci for LDL-C (n=487), HDL-C (n=531), and triglycerides (TG) (n=471) genes and drug target genes (n=53).